Synthesis of high molecular weight polyglycolide in supercritical carbon dioxide

Christian Schmidt | Marc Behl | Andreas Lendlein
Sabine Bauermann

Suggested citation referring to the original publication:
DOI http://dx.doi.org/10.1039/C4RA06815G
Synthesis of high molecular weight polyglycolide in supercritical carbon dioxide†

Christian Schmidt,ac Marc Behl,b Andreas Lendlein*ab and Sabine Beuermann*ac

Polyglycolide (PGA) is a biodegradable polymer with multiple applications in the medical sector. Here the synthesis of high molecular weight polyglycolide by ring-opening polymerization of diglycolide is reported. For the first time stabilizer free supercritical carbon dioxide (scCO₂) was used as a reaction medium. scCO₂ allowed for a reduction in reaction temperature compared to conventional processes. Together with the lowering of monomer concentration and consequently reduced heat generation compared to bulk reactions thermal decomposition of the product occurring already during polymerization is strongly reduced. The reaction temperatures and pressures were varied between 120 and 150 °C and 145 to 1400 bar. Tin(II) ethyl hexanoate and 1-dodecanol were used as catalyst and initiator, respectively. The highest number average molecular weight of 31 200 g mol⁻¹ was obtained in 5 hours from polymerization at 120 °C and 530 bar. In all cases the products were obtained as a dry white powder. Remarkably, independent of molecular weight the melting temperatures were always at (219 ± 2) °C.

Supercritical carbon dioxide (scCO₂) possesses several favorable characteristics as reaction medium for polymer synthesis. It is a chemically inert, non-toxic, and environmentally benign gas, which can be easily separated from the product by reducing the pressure.3–7 Further, CO₂ is well soluble in most polymers resulting in polymer swelling, which changes the mechanical and physical properties of the material. Therefore, CO₂ can reduce the melting temperature (Tm) and the glass transition temperature (Tg) of polymers and monomers. The latter is caused by the plasticizing action of CO₂.7 For example, the Tg of poly(methyl methacrylate) (PMMA) is lowered by up to 60 °C at 100 bar in a CO₂ atmosphere.5,8,9 Supercritical carbon dioxide was used as reaction medium for a wide variety of monomers encompassing conventional (meth)acrylates, fluoroolefins, and cyclic monomers leading to biodegradable polymers.10–22

It was hypothesized that scCO₂ could be used as reaction medium to keep the PGA soluble during polymerization and by this avoid high reaction temperatures whereby high Mn can be achieved. In addition, scCO₂ has proven to be an excellent process medium for particle formation.23,24 Since particles of well-defined size are essential for the controlled drug delivery a combined process combing synthesis and shaping appears to be highly attractive.

Previously, ring-opening polymerizations (ROP) of cyclic monomers were carried out in scCO₂ as reaction medium using tin(n) ethyl hexanoate (SnEH₂) as catalyst.19,22 The polymerization mechanism for the cyclic diester diglycolide as monomer following a coordination-insertion mechanism. First, SnEH₂ reacts with dodecanol to form the catalytic active tin(n) alkoxide.

1. Introduction

Polyglycolide (PGA) is of high interest for medical applications such as implants or drug release systems.1–4 Compared to other dilactone based polyesters PGA degrades fast. Therefore a relatively high molecular weight is required to guarantee a sufficient residence time of the PGA for the therapy. However, the thermal decomposition of PGA starts already below the melting transition (Tm) of the PGA. When diglycolide is polymerized in the melt, the Tm of the oligo- and polyglycolide increase rapidly with increasing molecular weights. Accordingly the reaction temperature needs to be raised as well to avoid solidification of the reaction mixture. Here it needs to be considered that the polymerization of diglycolide is a strong exothermic reaction. An additional challenge to be met is the processing of the PGA into the intended application relevant bodies. The solubility of PGA in common solvents, which are suitable for biomaterials, is low and can only be achieved by processing at low temperatures at high pressures. Otherwise thermal degradations occurs, which becomes apparent by a brown coloring of the PGA.
The alkoxydes coordinates monomer and successive ring-opening of the cyclic monomer occurs. Then, additional monomer units are coordinated and inserted between tin and the previously added monomer unit leading to chain growth. The polymerization is terminated by adding an excess amount of ethyl acetate, leaving an acetyl terminal group in the polymer.

The goal of this work was to explore whether high molecular weight PGA may be obtained using supercritical carbon dioxide as reaction medium and SnEH₂ as catalyst. Since no information is available for ROP of diglycolide in non-stabilized systems under high-pressure conditions several monomer and catalyst concentrations as well as pressures and temperatures were explored.

2. Experimental section

Materials

Diglycolide (purity 99.9%, Sigma, Munich, Germany) was recrystallized from a minimum amount of dry toluene. Tin(n) ethyl hexanoate (purity 95%, Sigma), 1-dodecanol (purity 99.8% Fluka), toluene (purity 99.9%, Sigma), ethyl acetate (purity 99%, WWR) were used as received. CO₂ (purity 99.998%) was obtained from Air Liquide (Düsseldorf, Germany).

Typical polymerization procedure

PGA synthesis under scCO₂ conditions was performed in an optical high-pressure cell (RGT 601, material no. 2.4668, Arbed Saarstahl) with an inner volume of 6 mL. A detailed description is given in ref. 25. The cell was closed on one side and purged with argon before diglycolide (500 mg, 4.4 × 10⁻³ mol) as well as a solution of tin(n) ethyl hexanoate (0.05 mg, 1.2 × 10⁻⁷ mol) and 1-dodecanol (0.18 mg, 9.7 × 10⁻⁷ mol) in toluene (0.1 mL) were quickly transferred into the cell. Afterwards the cell was sealed, CO₂ was added and the required pressure was adjusted using a manual high-pressure generator. To control temperature a resistive heating element (CGE Asthrom) and a PID-controller (Eurotherm 815) were used. The reaction was quenched by releasing the pressure followed by quickly opening the cell to allow for fast removal of the product. The product is obtained as a white powder, as shown in Fig. 1. The crude product was isolated and subsequently purified by Soxhlet extraction with ethyl acetate for 4 hours, which resulted in a white powder. Monomer conversions were determined gravimetrically.

Characterization

Differential scanning calorimetry (DSC). The thermal properties of the polymers were analyzed with a DSC 1/500658/200W STARre System from Mettler Toledo equipped with a FRS5-sensor and nitrogen cooling. For each sample two heating and one cooling cycles were measured. Only the last heating cycle was analyzed to determine the thermal properties of the material. All samples were analyzed using a constant heating and cooling rate of 10 K min⁻¹ in a temperature range from −60 °C to 280 °C.

Elemental analysis (EA). Elemental analyses were performed with a Vario EL III (Elementar) instrument with an error limit of ±0.3% for carbon and hydrogen and ±0.5% for oxygen was used.

Fourier transform-infrared spectroscopy (FT-IR). FT-IR spectra were recorded with a resolution of 2 cm⁻¹ and 32 scans using a Bruker Vertex 70 spectrometer with a globar source, a KBr beam splitter and a DTGS detector. All samples were measured as KBr tablets.

MALDI-TOF mass spectroscopy (MALDI-TOF). MALDI-TOF spectra were attained using an Ultraextreme mass spectrometer (Bruker) in the positive linear and/or reflection mode and trans-2(3-(4-tert-butylphenyl)-2-methyl-2-propenylidene)-malonitrile (DCTB in KTFA/THF) as a matrix. Ions were generated by a nitrogen laser emitting at 337 nm and were accelerated at 20 kV. The detector voltage was 2.4 kV, and the mass spectra were averaged from 500 to 6500 laser shots.

Size-exclusion chromatography (SEC). Molecular weight distributions were measured with an SEC setup consisting of an Agilent 1200 HPLC pump with a refractive index (Agilent) detector with a flow rate of 1 mL min⁻¹ and hexafluoroorisopropion (HFIP, Fluorochem, 99%) containing potassium trifluoroacetate (0.05 M) (Fluka, 99%) as eluent. Poly(methyl methacrylate) (PS) standards were used for calibration. All analyses were performed at 35 °C with three columns (PSS–PG 1000 Å, 7 µm particle size, 8.0 × 300 mm; PSS–PG 300 Å, 7 µm particle size, 8.0 × 300 mm and PSS–PG 100 Å, 7 µm particle size, 8.0 × 300 mm). Due to poor solubility of the samples with the highest molecular weights and crystallinity (marked with an asterisk in Table 1) M₅₅ and PDI of these samples reported represent only the soluble fraction of the material.

Thermal gravimetric analysis (TGA). The thermal degradation of the polymers was measured with a Netzsch TGA 204 Phoenix (Selb, Germany) instrument at a constant heating rate of 10 K min⁻¹ in a temperature range from 25 °C to 400 °C under argon atmosphere.

Wide-angle X-ray scattering (WAXS). The degree of crystallinity of the PGA samples was measured with a X-ray diffractometer Bruker D8 Discover. The X-ray generator was operated at 40 kV and 40 mA. A two-dimensional detector operating in a 1024 × 1024 pixel mode was used. The distance between sample and detector was chosen to be 150 nm and the selected...
wavelength was 0.154 nm. By arranging the detector in an angle of 2θ = 30° a scattering angle between 2θ = 12.5° and 47.5° was detected. The isotropic grid was converted into a one-dimen-
sional scattering curve by integration over an angle χ = 120° over the 2θ area. Such an operation was possible as the raw patterns were all isotropic. Accordingly, the 1-dimensional curve contains the same information as the 2-dimensional pattern. This curve was divided into peaks that belong to the amorphous or crystalline phase by using the Bruker analytical software TOPAS®. The degree of crystallinity was calculated from the ratio of the integrals of both phases by fitting them using a Pearson VII function.

3. Results and discussion

The polymerization conditions and the experimental results are summarized in Table 1. The polymerization kinetics were explored by quenching the reactions after different reaction times t and determining the number average molecular weight $M_n$ whereby the other reaction conditions were kept identical. As shown in Fig. 2 an increase in t at 130 °C resulted in an increase in $M_n$ to 22 500 g mol⁻¹ after 14 h (samples 1 to 4). When T was raised to 150 °C a PGA with $M_n$ of 30 000 g mol⁻¹ was obtained after 3 h (sample 7). It should be noted that only a fraction of the polymer material was soluble in HFIP and analyzed via SEC. Thus, the true $M_n$ value is expected to be even higher. A further increase in t resulted in a material that is completely soluble in HFIP and exhibits a decrease in $M_n$ (Fig. 2, samples 5 to 9 in Table 1), which might be attributed to thermal decomposition. It is remarkable to note that even at 150 °C the

![Fig. 2 Influence of reaction time t on the number average molecular weight $M_n$ of PGA polymerized in the presence of scCO₂ at 130 °C and 150 °C. The lines indicate guides for the eye, margin of error are in most cases smaller than symbol size.](image_url)
PGA was obtained as a white powder. At first sight the finding of white polymer might be in conflict with the decrease in $M_n$ at higher reaction times. However, low molecular weight decomposition products could be extracted by scCO$_2$ during the depressurization process. The data indicate that an increase in $T$ results in an enhancement of molecular weight and conversion. Because of the complex interplay between polymerization conditions and thermal decomposition of the polymer, the reaction conditions were varied with respect to $c_M$, $c_{cat}$, $p_T$, and $T$.

Consideration of the monomer concentration shows that when $c_M$ was raised from 0.72 (sample 10) to 2.88 mol L$^{-1}$ (sample 12) $M_n$ increased from 14 100 to 31 200 g mol$^{-1}$ at 120 °C. The corresponding molecular weight distributions are shown in Fig. 3 A. Sample 12 obtained with $c_M = 2.88$ mol L$^{-1}$ shows the highest $M_n$ that was obtained within this study. Further, the molecular weight distributions do not show significant amounts of low molecular weight material. At first sight, this finding is surprising, since frequently ring-opening polymerizations yield cyclic oligomers as by-products. On the other hand, a recent kinetic study on ROP of glycidol revealed a similar behaviour is found for the variation of the dodecanol concentration. $c_{cat}$: $M_n$ increases from 5900 to 14 600 g mol$^{-1}$ upon raising $c_I$ from $8.05 \times 10^{-5}$ to $1.61 \times 10^{-4}$ mol L$^{-1}$ (samples 22 and 23), while conversions decrease from 79 to 21%. It is highly likely that these observations may be attributed to the influence of water. Dodecanol acts as a protic co-initiator to increase the nucleophilicity of the catalyst and enables the ring-opening reaction.$^{27}$ Water fulfills the same task as dodecanol, but leads to shorter chain lengths. Therefore, it is required to either use completely dried materials or to increase $c_I$ to suppress the activation of the catalyst by water molecules. As sample 23 shows, by enhancing $c_I$ the activation of catalyst molecules by the initiator is promoted yielding polymer with higher $M_n$.

The influence of $c_{cat}$ can be explained by a delicate interplay as an increasing number of catalyst molecules at constant $c_I$ favour the activation by water molecules. However, the data suggests that the effect occurs also at low $c_{cat}$. For low $c_{cat}$, low $M_n$ at increased conversion were determined. It is most likely, that the increased relative concentration of aqueous impurities compared to active species causes an enhancement of the catalyst activation by water.

The data in Table 1 suggests that the molecular weight is also influenced by pressure ($p$). When $p$ was increased from 145 (sample 24) to 480 bar (sample 25) $M_n$ increased from 11 100 to 13 000 g mol$^{-1}$. Such a behaviour was expected as at low pressures significant changes of the CO$_2$ properties occur when $p$ is increased. Once a certain pressure level is reached the physico-

![Fig. 3](imageurl) **Fig. 3** (A) Molecular weight distributions of PGA obtained from reactions with varying $c_M$ at 120 °C, 500 bar, and 5 hours with $n_M/n_{cat}/n_i = 35 000/1/7.9$: (I) 0.72 mol L$^{-1}$, (II) 1.44 mol L$^{-1}$, (III) 2.88 mol L$^{-1}$. (B) Number average molecular weights $M_n$ as a function of $c_{cat}$ at (O) 120 °C, 660 bar, 5 hours, $c_M = 0.72$ mol L$^{-1}$ and (I) at 120 °C, 400 bar, 14 hours, $c_M = 0.72$ mol L$^{-1}$.

![Fig. 4](imageurl) **Fig. 4** Molecular weight distributions obtained at the indicated pressures and the following reaction conditions: 5 hours, 120 °C, $c_M = 1.08$ mol L$^{-1}$, $n_M/n_{cat}/n_i = 35 000/1/7.9$. 

This article is licensed under a Creative Commons Attribution 3.0 Unported Licence.
chemical properties change only slightly, and only a small influence is expected to occur. On the contrary, the molecular weight distributions shown in Fig. 4 indicate that an increase in pressure from 430 to 1250 bar (sample 26 to 28) leads to a distinct decrease in the peak molecular weight of the distribution while dispersities are rather similar. Moreover, with increasing pressure monomer conversions are significantly lowered.

The pressure influence on the molecular weight distribution may be explained as follows. Firstly, the polymerization mechanism is considered. The ring-opening of the cyclic diester results in an increase in the molar volume, and thereby, this step is hindered at high pressure. This reasoning is in agreement with the finding that a reaction leading to a cyclic or a linear product might be directed towards the cyclic product at low pressure, whereas the linear product is obtained at high pressure. Moreover, interactions of the catalyst with CO2 need to be considered. Previously, it was shown that ROP in scCO2 with Sn catalysts proceeds slower at higher pressure. It was discussed that CO2 competes with the coordination of the monomer at the catalyst site, thus, reducing the coordination of the monomer. The coordination of the catalyst with CO2 was suggested to be reversible since no carbonate species were obtained after the polymerization. In addition, it was reported that the effect is less pronounced at higher temperature. While the literature mostly refers to polymerizations at 40 °C in this work the lowest temperature is 120 °C. Thus, it is anticipated that the coordination with CO2 is much less important. This reasoning is supported by the following finding: it was shown that at otherwise identical conditions ROP of ε-caprolactone at 80 °C in toluene proceeds only by a factor of 1.3 faster than in scCO2. The moderate decrease in the presence of scCO2 was explained with a fast equilibrium and the rather short time interval in which the chain ends are in the dormant carbonated state.

On the other hand the linear monomer units in the polymer chains might be more closely packed, which should lead to a higher polymerization rate at higher pressure. In addition, reaction rates are often increased at higher pressure due to higher monomer densities. Another important aspect is the phase behaviour of the monomer–polymer–CO2 system. Generally, with increasing pressure the solubility of polymer and monomer in CO2 is improved. Because of the opposing influences of pressure on the polymerization the reaction pressure should be at an intermediate level to yield high molecular weight polymer.

These observations show that there is a complex interplay between the relevant reaction parameters. Therefore, in future it is crucial to determine the optimum reaction conditions to yield high molecular weight polymer. In this study PGA with the highest number average molecular weight of 31 200 g mol⁻¹ was obtained after 5 h at 120 °C with cM = 2.88 mol L⁻¹ and nM/nC of 35 000 to 1 to 7.9 at 530 bar (sample 12). Since the reaction conditions were not yet optimized with respect to the presence of water, it is anticipated that even higher molecular weight material may become available.

To the best of our knowledge previously only Bratton, Brown and Howdle reported on the synthesis of PGA with scCO2 as reaction medium. The reactions were carried out for 24 hours in dispersion using a hydrocarbon stabilizer at 80 °C and pressures around 250 bar. As catalyst system SnEH2 and butanol were used. In all cases Mn values ranging from 4200 to 4900 g mol⁻¹ were derived. These values are significantly lower than obtained in our work. The reasons may be seen in the lower temperature and the dispersed nature of the system in ref. 22. During the suspension polymerization the CO2-induced lowering of Tm should not play an important role.

The molecular weights of the PGA samples were determined by SEC analyses. In addition, MALDI-TOF was used to characterize some of the polymer samples. The MALDI-TOF mass spectrum of a sample with an SEC-derived Mn of 3900 g mol⁻¹ (full distribution given in Fig. 5A) shows a molecule ion peak at m/z = 5420 g mol⁻¹ (Fig. 5B) (sample 29), which correlates with 89 monomer units, the end groups 1-dodecanol and acetyl originating from the catalyst and termination with ethyl acetate, respectively, and a Na⁺ ion. In the MALDI-TOF spectrum the signals show a characteristic difference m/z = 116 g mol⁻¹, which can be attributed to a diglycolide unit. Signals separated by m/z = 58 g mol⁻¹, reflecting the mass of a glycolide unit, were not found. The molecular weight distribution and the MALDI-TOF mass spectra in Fig. 5 show some deviations in shape and the molecular weights are different. Jackson et al. showed that MALDI-TOF mass spectra are not representative for the molecular weight distribution in cases where the dispersities are higher than 1.2. That effect is due to instrumental limitations of MALDI-TOF and the use of different scaling of the data by both methods. The differences in Mn (most probable peak) values determined by SEC and MALDI-TOF are strongly increased for higher PDI. As the measured sample shows a SEC-derived PDI of 1.6 such a behaviour may be expected. In addition, differences in molecular weight may be caused by the PMMA calibration of the SEC set-up.

FT-IR spectra allowed for an easy identification of the polymer. The spectra given in Fig. 6 clearly show that most bands are present in both polymer and monomer spectra. However, in the wavenumber range from 1600 to 500 cm⁻¹ the monomer

![Fig. 5](image)

**Fig. 5** Comparison of (A) SEC-derived molecular weight distribution and (B) MALDI-TOF mass spectrum.
related absorption bands show a strongly different shape compared to the polymer spectra. Particularly important is the area around 1250 cm$^{-1}$, which is assigned to the OCO stretching vibration. The change of the absorption behaviour is most likely a result of the transformation of the cyclic monomer to a linear polymer. These absorption bands are highly specific for the polymer and independent of its $M_n$ indicating the synthesis of polyglycolide in all cases. Elemental analyses confirm these conclusions. The data shows just slight variations in the polymer's elemental contents. The carbon content varies between 40.5 and 41.4% ($C_{\text{calculated}} = 41.4$%), the hydrogen content between 2.5 and 2.7% ($H_{\text{calculated}} = 3.4$%) and the oxygen content between 52.9 and 53.5% ($O_{\text{calculated}} = 55.2$%).

The thermal properties of the PGA samples were investigated using DSC. The glass transition temperature $T_g$ ranged from 31 to 40 °C and occurred to be independent of $M_n$. The melting temperature $T_m$ shows a slight variation by 4 °C ranging from 217 to 221 °C. These values are in excellent agreement with values between 220 and 228 °C reported in ref. 33 and 34. As an example, Fig. 7A presents the DSC curve of the PGA sample of $M_n = 3900$ g mol$^{-1}$ showing a $T_m$ of $(219 \pm 2)$ °C, while both the polymer samples with higher $M_n$ of 13 000 and 23 100 g mol$^{-1}$ possess a $T_m$ of $(220 \pm 2)$ °C. In all cases the data clearly shows that the thermal properties are almost independent of $M_n$. Since an increase in $T_m$ with increasing molecular weight is expected for polyesters these results indicate that the critical $M_n$ at which the $T_m$ is a function of $M_n$ should be below 3900 g mol$^{-1}$.

The insensitivity of $T_m$ towards $M_n$ can be explained by the high crystallinity detected by WAXS measurements. All samples presented in Fig. 8 show a significant amorphous peak at $2\theta = 20^\circ$ and peaks assigned to the two crystalline phases at $2\theta = 23^\circ$ and $2\theta = 29^\circ$. Even at low $M_n$ and thereby smaller segments high degrees of crystallinity (DOC) occur. The sample with $M_n = 3900$ g mol$^{-1}$ has a DOC of $(45.4 \pm 0.4)$% that is just slightly smaller than for the 13 000 g mol$^{-1}$ sample with DOC of $(48.2 \pm 0.4)$% and the 23 100 g mol$^{-1}$ sample with $(46.3 \pm 0.7)$%. These values are within the range from 40 to 55% reported in literature.$^{35,36}$

Furthermore, thermal gravimetric analysis (TGA) was used to study the decomposition behaviour of the materials. Fig. 7B shows that a first decomposition occurs at lower $T$ in case of the low $M_n$ sample. Decomposition occurs most likely by scission of the end groups. The inflection points of the decomposition curve are detected at 310 °C for the low $M_n$ sample and at 340 °C for higher $M_n$ samples. Beyond 360 °C for the low $M_n$ sample...
and beyond 380 °C for higher M_n samples no further significant weight loss is found. As the decomposition occurs in just one step no intermediate products are generated. Therefore, the data suggests that the decomposition is increased until a certain M_n value is reached. Beyond this M_n no further change in thermal decomposition is found.

With respect to applications the mechanical behaviour of the material is of interest. However, so far the mechanical behaviour could not be investigated. The high-pressure reaction cells yield only small quantities of product, which were not sufficient for the preparation of standardized samples via extrusion and injection moulding. In addition, testing of PGA films was not feasible, because no films suitable for characterization of mechanical properties could be obtained.

4. Conclusions

High molecular weight PGA was synthesized using scCO_2 as reaction medium. Within this study the highest M_n of 31 200 g mol\(^{-1}\) was obtained at the following reaction conditions: 120 °C and 530 bar with 8.24 \times 10^{-5} mol L\(^{-1}\) catalyst, 6.44 \times 10^{-4} mol L\(^{-1}\) initiator, and 2.88 mol L\(^{-1}\) monomer at a reaction time of 5 hours (sample 12). The data suggests that the molecular weight of the polyester is enhanced by increasing T_m. At already comparatively low temperatures and, thus, avoiding thermal decomposition, high molecular weight polymers are accessible. Furthermore, no solvents or any additives, e.g. as surfactants, were involved for the synthesis, which makes this process interesting for degradable polymers intended for biomedical usage.

The use of scCO_2 as reaction medium is not only interesting with respect to obtaining a product free of any residues from solvent or additives, it is also attractive for post-polymerization processes. Being able to synthesize PGA in scCO_2 constitutes a prerequisite for developing integrated processes combining polymer synthesis, formulation, and shaping of the material.

References