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# Impact of training methods and patient characteristics on exercise capacity in patients in cardiovascular rehabilitation

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## Abstract

**Aim:** We aimed to identify patient characteristics and comorbidities that correlate with the initial exercise capacity of cardiac rehabilitation (CR) patients and to study the significance of patient characteristics, comorbidities and training methods for training achievements and final fitness of CR patients.

**Methods:** We studied 557 consecutive patients ( $51.7 \pm 6.9$  years; 87.9% men) admitted to a three-week in-patient CR. Cardiopulmonary exercise testing (CPX) was performed at discharge. Exercise capacity (watts) at entry, gain in training volume and final physical fitness (assessed by peak  $O_2$  utilization ( $VO_{2peak}$ )) were analysed using analysis of covariance (ANCOVA) models.

**Results:** Mean training intensity was  $90.7 \pm 9.7\%$  of maximum heart rate (81% continuous/19% interval training, 64% additional strength training). A total of  $12.2 \pm 2.6$  bicycle exercise training sessions were performed. Increase of training volume by an average of more than 100% was achieved (difference end/beginning of CR:  $784 \pm 623$  watts  $\times$  min). In the multivariate model the gain in training volume was significantly associated with smoking, age and exercise capacity at entry of CR. The physical fitness level achieved at discharge from CR as assessed by  $VO_{2peak}$  was mainly dependent on age, but also on various factors related to training, namely exercise capacity at entry, increase of training volume and training method.

**Conclusion:** CR patients were trained in line with current guidelines with moderate-to-high intensity and reached a considerable increase of their training volume. The physical fitness level achieved at discharge from CR depended on various factors associated with training, which supports the recommendation that CR should be offered to all cardiac patients.

## Keywords

Cardiac rehabilitation, exercise tests, cardiorespiratory fitness, multivariate modelling

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## Background

Subsequent to an acute coronary event (ACS), or cardiac intervention, patients require special attention to maintain or improve their functional capacity, or to restore their quality of life, respectively.<sup>1</sup> Such cardiac rehabilitation (CR) is frequently performed in form of structured, multifaceted and interdisciplinary programmes. While the programmes differ in many details, the objectives and core components are well established.<sup>2–4</sup> The latter include baseline patient assessment, nutritional counselling, risk factor management (lipids, blood pressure, weight, diabetes mellitus and smoking), psychosocial interventions, nutritional and physical

activity counselling as well as exercise training.<sup>5</sup> In patients after a cardiovascular event, physical activity needs to be tailored to the individual's exercise capacity

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and risk profile, with the aim to reach and maintain each individual's highest possible fitness level and to safely perform endurance exercise training 30–60 min daily (3–5 days per week) in combination with resistance training 2–3 times a week. Sufficiently high training intensities should be sought.<sup>5</sup>

A number of systematic reviews, including Cochrane reviews, have clearly demonstrated significant benefits in clinical outcomes (reduced all-cause and cardiac mortality, nonfatal re-infarction and reduced hospitalization rates) and changes in modifiable risk factors (total cholesterol, triglycerides and systolic blood pressure), respectively in patients after CR.<sup>6,7</sup> Therefore, CR programmes are strongly recommended (Class I indication) for patients after a cardiovascular event by the European Society of Cardiology, the American Heart Association, the American College of Cardiology and other professional societies.<sup>8–11</sup>

Against this background, we analysed data from the patient database of our CR unit to address the questions, (a) whether training is performed according to the current guidelines, (b) what determines the exercise capacity at the initiation of CR, (c) does the physical fitness, measured by  $VO_{2peak}$  at discharge from CR, depend on patient characteristics and training effects.

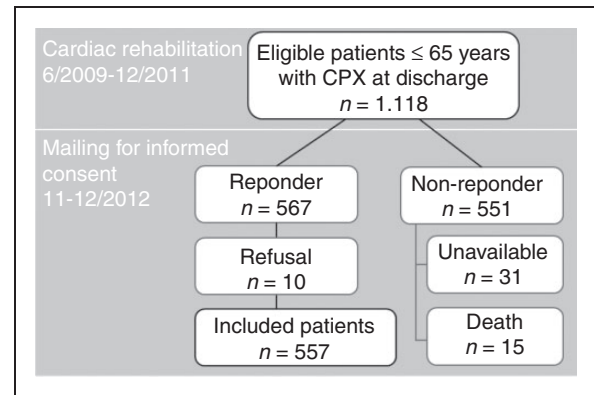
## Methods

The present study was a retrospective analysis of patient data in the electronic patient files of the Klinik am See, a rehabilitation centre for cardiovascular diseases. Patients, as a rule, are transferred for a standardized multicomponent three-week in-patient CR programme after treatment for a cardiovascular event from an acute hospital. CR includes patient education, diet counselling, psychological support, risk factor management as well as training components (bicycle exercising, (Nordic) walking, strength training).

Between June 2009–December 2011, 1118 patients younger than 65 years and occupied (employed or self-employed) attended the CR programme and underwent cardiopulmonary exercise (CPX) testing before discharge. For the present analysis, all patients were contacted by mail. Finally, 557 patients gave their informed consent and were included into the analysis (Figure 1).

At admission to CR, patients underwent a symptom-limited bicycle exercise stress test to determine exercise intensity. Training volume as a product of exercise intensity (watts) and exercise time (min) was collected at entry and end of CR.

Cardiopulmonary exercise testing with respiratory gas analysis was performed using the modified Naughton treadmill protocol.<sup>12</sup> Peak  $O_2$  utilization



**Figure 1.** Flow chart of inclusion process. CPX: cardiopulmonary exercise testing.

( $VO_{2peak}$ ) was defined as the highest  $VO_2$  value in ml/kg/min (or max  $O_2$  utilization with an oxygen uptake steady-state plateau averaged over 20–30 s), achieved at individual maximum load during incremental exercise testing. The  $VE/VCO_2$  slope specifies the relationship between minute ventilation (VE) and carbon dioxide output ( $VCO_2$ ), and represents a global parameter of respiratory efficiency. A satisfactory cardiopulmonary exercise test was characterized by a respiratory exchange ratio (RER) > 1.1, which indicates complete metabolic exertion. During rest, during exercise, and after exercise, regular blood pressure measurements and single-breath manoeuvres were scheduled to generate intra-breath curves. The standard values of Wasserman et al. were used.<sup>13</sup> Blood lactate levels were not assessed routinely.

The variables extracted from the database comprised socio-demographic and clinical parameters, lipid profile, comorbidities including parameters of cardiac function (from exercise testing, or two-dimensional echocardiography to assess systolic and diastolic dysfunction as well as left ventricular mass), and training volume at admission and at discharge (watts × time unit).

Patients' occupations were characterized as light (<4 metabolic equivalents (METs, 1 MET = 3.6 ml × kg<sup>-1</sup> × min<sup>-1</sup>)), light to moderate (<6 METs), moderate (<8 METs) and heavy profile (>8 METs).

The study protocol was approved by the ethics committee of the University of Potsdam. Data protection rules were closely observed, and patient data were processed anonymously.

## Statistics

To demonstrate small effect sizes of 0.25 standard deviations (SDs), around 500 evaluable patients are required. We calculated that the collection of data

from three years would be sufficient to reach the required number of patients.

Descriptive analysis was performed as absolute and relative frequencies for categorical variables and as mean  $\pm$  SD for continuous variables. To determine the factors with dominant impact on (a) the initial exercise capacity (watts) at bicycle exercise stress test of the patients, (b) the percentage gain in training volume and (c) the final fitness of the patients ( $VO_{2peak}$ ), regression models were fitted to the data. First, the training volume tolerated at the first exercise test was regressed to all patient characteristics determined at admission. Subsequently the percentage gain in training volume was studied with the same covariates in an analysis of covariance (ANCOVA)-like regression analysis where the baseline training volume was additionally included in the model. We preferred to use the percentage gain as compared to the absolute gain since the model fit was better and the residual distribution of the percentage model was nearly normal. Finally, we regressed the final fitness as measured by  $VO_{2peak}$  (a) to the patient characteristics alone, (b) to patient characteristics, training volume and training methods together in order to find out which percentages the final fitness depends on: the initial exercise capacity or the training successes. For this purpose we report  $r^2$  values of the two models and the partial eta squared of the most relevant factors or covariates as measures of the variance explained by models or uniquely by certain factors.

## Results

A total of 557 patients were eligible for analysis. Patient characteristics, risk factors and comorbidities are displayed in Table 1. Overall, patients were  $52 \pm 7$  years old, with substantially more men (88%) than women (12%). The last reported work was light in 25.1%, light-to-moderate in 30.3%, moderate in 38.1%, and heavy in 6.5%.

Index diseases that rendered patients eligible for CR were mostly percutaneous coronary intervention (PCI) with or without ACS (62%), followed by coronary artery bypass grafting, valve surgery or other interventions or diseases.

### Training parameters

Echocardiographic and electrocardiogram (ECG) findings at CR initiation are shown in Table 2. On ergometry, mean exercise capacity of patients was  $115 \pm 36$  watts, and mean heart rate was  $119 \pm 19$  beats per min. Left ventricular systolic function was reduced (left ventricular ejection fraction (LVEF)  $\leq 40\%$ ) in 12.5%, and diastolic dysfunction was noted in 59.8% of the cohort.

**Table 1.** Patient characteristics at cardiac rehabilitation (CR) initiation.

Age, years	51.7 $\pm$ 6.9
Gender (male)	489 (87.9%)
NYHA I/II/III/IV	58.6%/33.6%/7.7%/0%
6-Min walk distance, m	395 $\pm$ 73
<b>Indication for CR</b>	
PCI with/without ACS	348 (62.5%)
CABG	90 (16.2%)
Valve surgery	53 (9.5%)
Other <sup>a</sup>	66 (11.8%)
<b>Hospital stay (days)</b>	9.6 $\pm$ 8
<b>Risk factors</b>	
Arterial hypertension	411 (73.8%)
Hyperlipoproteinaemia	382 (68.6%)
Current smoking	156 (28.0%)
Ex-smoking ( $\geq 5$ years)	268 (48.1%)
<b>Comorbidities, number</b>	0.5 $\pm$ 0.7
Diabetes mellitus	100 (18.0%)
COPD	21 (3.8%)
Joint, spine pain	131 (23.5%)
<b>Medication</b>	
Beta blocker	495 (88.9%)
ACE inhibitor	401 (72.0%)
Angiotensin receptor blocker	78 (14.0%)
Aldosterone antagonist	65 (11.7%)

ACE: angiotensin converting enzyme; ACS: acute coronary syndrome; ARB: angiotensin receptor blocker; CABG: coronary artery bypass graft; COPD: chronic obstructive pulmonary disease; NYHA: New York Heart Association; PCI: percutaneous coronary intervention. Values indicate *n* (%) or mean  $\pm$  standard deviation.

<sup>a</sup>Other indications include pulmonary embolism, dilatative cardiomyopathy and myocarditis.

### Factors associated with exercise capacity at initiation of CR

The forest plot in Figure 2 displays parameters that were associated with maximum capacity in bicycle exercise stress test at CR initiation. Factors significantly associated with higher exercise capacity (increase in watts) were PCI as main diagnosis (vs other diagnoses), light professional activities (vs heavy work), and preserved LVEF. On the contrary, factors significantly associated with lower exercise capacity were female gender, previous heart valve replacement, higher age and higher number of comorbidities. No significant association was found for light/moderate or moderate work as last activity and coronary artery bypass graft (CABG) as main diagnosis. The effect sizes were considerable and ranged from +21.1 watts (presence of PCI diagnosis) to -40.4 watts (female gender).

Endurance training was reported in all patients. An average number of  $12.2 \pm 2.6$  training sessions were

performed. Mostly based on their systolic function, patients performed continuous training more often than interval training (80.9% versus 19.1%). A heart rate of  $107 \pm 14$  beats per minute was reported, which

corresponds to  $90.7 \pm 9.7\%$  of the maximum rate achieved. In categorical terms,  $\leq 85\%$  of maximum heart rate were noted in 21%,  $\leq 75\%$  of maximum in 3.2%. Training volume increased by an average of more than 100% (difference end/beginning of CR:  $784 \pm 623$  watts  $\times$  min). Additionally, all patients had walking sessions ( $8.3 \pm 3.3$ ). Strength training was administered to 63.6% of patients with  $6.4 \pm 4.5$  sessions during CR.

**Table 2.** Echocardiographic and electrocardiogram (ECG) findings at cardiac rehabilitation (CR) initiation.

Parameter	Value
<b>2-D-Echo</b>	
<b>Systolic function</b>	
Left ventricular ejection fraction (%)	$54.5 \pm 9.2$
$\leq 40\%$	57 (12.5%)
<b>Diastolic function</b>	
Normal	188 (40.2%)
Diastolic dysfunction I°	154 (32.9%)
Diastolic dysfunction II°	104 (22.2%)
Diastolic dysfunction III°	22 (4.7%)
LVM (g/H) <sup>2,7</sup>	$53.9 \pm 14.9$
<b>ECG</b>	
Sinus rhythm	411 (97.2%)
Atrial fibrillation	12 (2.8%)
<b>Exercise ECG at admission</b>	
Maximum exercise capacity (watts)	$115 \pm 36$
Max heart rate ( $\text{min}^{-1}$ )	$119 \pm 19$

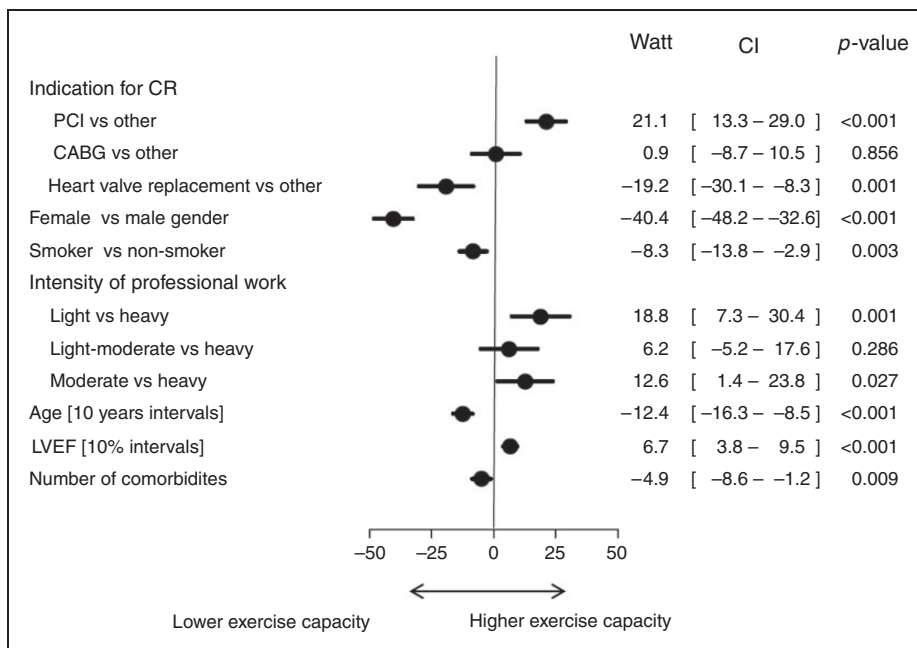
LVM: left ventricular mass. Values indicate *n* (%) or mean  $\pm$  standard deviation.

### Change of training volume during CR

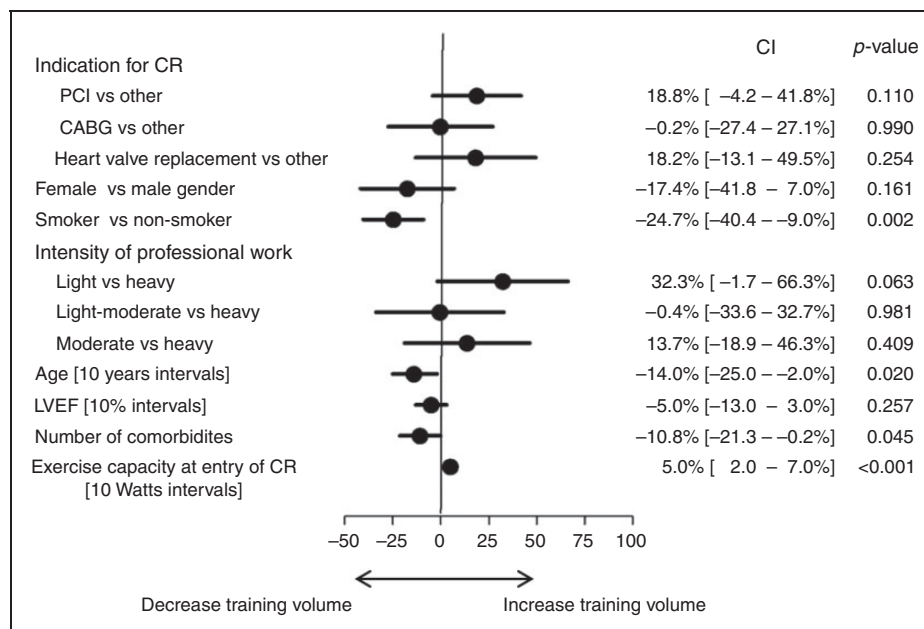
The only factor which was statistically significantly associated with an increase of training volume (watts  $\times$  min; Figure 3) was increased exercise capacity at CR entry; a non-significant trend for increased volume was noted for PCI and light work. Factors significantly associated with decreased training volume were current smoking, number of comorbidities and age.

### Physical fitness at discharge

Only  $r^2 = 29.4\%$  of the observed variation in final fitness as determined by  $\text{VO}_{2\text{peak}}$  at discharge from CR could be attributed to patient-related factors. If training factors were added to the model, the explained variance increased to  $r^2 = 43.0\%$ . Table 3 shows the contributions of factors and covariates. Of the patient-related factors, age (7.7% of total effect), indication for CR and gender



**Figure 2.** Impact of patient characteristics on exercise capacity (bicycle stress test) at initiation of cardiac rehabilitation (CR). The forest plot displays mean effect (bullet) and 95% confidence intervals (CIs, horizontal lines). The vertical line (0) represents 'no effect', while bullets right to the line represent increased training volume, left to the line decreased training volume. CABG: coronary artery bypass graft; LVEF: left ventricular ejection fraction (%); PCI: percutaneous coronary intervention.



**Figure 3.** Impact of patient characteristics on gain in training volume (%) during the cardiac rehabilitation (CR) stay. The forest plot displays mean effect (bullet) and 95% confidence intervals (CIs, horizontal lines). The vertical line (0) represents 'no effect', while bullets right to the line represent increased training volume, left to the line decreased training volume. CABG: coronary artery bypass graft; LVEF: left ventricular ejection fraction (%); PCI: percutaneous coronary intervention.

**Table 3.** Determinants of physical fitness (peak  $O_2$  utilization ( $VO_{2peak}$ )) at discharge from cardiac rehabilitation (CR).

Variable	p Value	Partial eta squared (explained variance) %
<b>Demography and patient characteristics</b>		
Age, years	<0.001	7.7
Gender, female/male	0.033	1.0
Blue/white collar work	0.183	1.1
<b>Comorbidities, risk factors and cardiac function</b>		
Number of comorbidities	0.305	0.2
Smoking	0.441	0.1
Left ventricular ejection fraction, %	0.505	0.1
<b>Indication for cardiac rehabilitation<sup>a</sup></b>	0.024	2.1
<b>Training factors</b>		
Exercise capacity at entry of CR, watts max	<0.001	3.6
Training intensity, % of heart rate	0.975	0.0
Increase of training volume, watts × min	<0.001	4.2
Additional strength training, yes/no	0.824	0.0
Training method, continuous/interval	<0.001	3.0

The table shows which percentages the final fitness ( $VO_{2peak}$ ) depend on the most important variables. For example, the variables 'exercise capacity at entry of CR' and 'increase of training volume' explain the fitness together to the same amount as the variable 'age'.

<sup>a</sup>Percutaneous coronary intervention (PCI), coronary artery bypass graft (CABG), heart valve replacement/other.

uniquely explained significant percentages of the variance between patients. Of the training factors, increase of training volume, maximum exercise capacity at initiation of CR and training method contributed

significantly to the explained variance. Of note, no additional contributions were found for LVEF, number of comorbidities, smoking, training intensity and additional strength training.



## Discussion

According to this analysis, during a three-week structured CR, patients underwent mainly endurance training and in part additional strength training with high intensity, in line with current guideline recommendations. They achieved a doubling of training volume within a short time frame.

Fitness at the end of CR as determined by  $VO_{2peak}$  was mainly influenced by age, the exercise capacity at initiation of CR and increase of training volume, respectively.

The role of graded (incremental) exercise testing in order to directly evaluate functional capacity to patients entering CR is part of guideline recommendations of various societies.<sup>14–16</sup> In our institution, too, the bicycle exercise stress test is a standard tool for initial patient assessment including risk stratification and individualized exercise prescription.<sup>17</sup>

Measured peak aerobic capacity ( $VO_{2peak}$ ) is the most accurate objective and reproducible parameter of exercise capacity (usually by symptom-limited treadmill testing), which in turn is a powerful independent predictor of prognosis in men and women with known or suspected coronary disease referred for CR.<sup>18,19</sup> Cardiopulmonary exercise testing is generally a safe procedure, even in a population with underlying high-risk cardiovascular diagnoses.<sup>20</sup>

$VO_{2peak}$  values on entry to CR have been reported to be extremely low, particularly in women, approaching values seen in patients with severe chronic heart failure. This underscores the importance of CR after a major cardiac event to improve physical function and long-term prognosis.<sup>21</sup>

Patients underwent aerobic endurance training with modalities being in line with current recommendations.<sup>5</sup> Aerobic training in the moderate-to-high-intensity domain is supported by strong evidence in patients with both preserved and reduced left ventricular function.<sup>22–24</sup> Continuous training, for which the majority of evidence in the literature is available,<sup>2</sup> was much more often applied than interval training in our study (81% vs 19%). Resistance strength training was frequently performed as well (63%), in line with other studies, confirming it is effective and also well tolerated in patients after a cardiovascular event.<sup>25</sup> Indeed, the risk of cardiovascular complications is not higher after high-intensity exercise compared to moderate-intensity exercise in a cardiovascular rehabilitation setting as shown in a recent study in Norway.<sup>26</sup>

In the analysis of factors that are associated with physical performance (at CR initiation), the strongest effects were noted for gender: women not surprisingly had a lower performance of –40 watts. It has been described in a US study that women in exercise-based CR do have a significantly lower exercise capacity at

the beginning of the programme compared to men (woman  $VO_{2peak}$  14.5 vs men 19.3 ml/kg/min).<sup>21</sup> Patients after valve surgery in our study had a lower performance too, but comparative data on fitness in this patient group are very limited.<sup>5</sup> Conversely, patients with higher LVEF at entry and those with PCI (a less invasive procedure compared to CABG) as well as light (versus heavy) intensity of professional work also had significantly higher performance compared to patients without these conditions.

The effect on training volume from CR within three weeks can be substantial as shown in this study. Patients after PCI (e.g. less stressful intervention without thoracotomy) and those with previously light professional work as well as those with higher exercise capacity and CR entry obtained higher training volume increases.

In the analysis of determinants of physical fitness ( $VO_{2peak}$ ) at the end of CR, the influence of individual patient-related factors but also training characteristics was surprisingly small. Age had the strongest relative, albeit in absolute terms moderate, influence (7.7% of total effect), followed by increase of training volume, training method and maximum exercise tolerance at entry. However, while age is an unchangeable parameter the training variables can be influenced during CR. Interestingly, not only the index diagnosis, comorbidities, LVEF, gender, but also training intensity or additional strength training had no (or only negligible) impact on fitness. As only few patient-related factors (that in addition cannot be modified) influenced physical fitness, it can be concluded that it is CR per se which independently increases fitness.

### Methodological considerations

Among the strengths of the study was the availability of rather complete datasets with respect to clinical characteristics and exercise test results. Otherwise, while the volume of endurance training is well documented, the individually prescribed additional training components such as strength training or walking are difficult to quantify.

The study was monocentric, the clinic is associated with an established research centre and therefore the institution likely to adhere to the current guidelines. However, characteristics of patients in this study were similar to cohorts in other CR institutions in Germany.<sup>27,28</sup> The study cohort is at low risk, with mean age 51.7 years, because CPX was only performed as an objective assessment for evaluation of ability to work. Other limitations of our study are those inherent to any retrospective database analysis.<sup>29</sup> The time frame of observation is limited to the typical three-week



CR period which is covered by health insurance in Germany. Long-term assessments were not performed due to logistical reasons, but they are needed, as the positive physiological and clinical effects of training disappear after one month of detraining.<sup>30,31</sup>

## Conclusion

Patients underwent training sessions with moderate-to-high intensity in accordance with guideline recommendations and reached a considerable increase of their training volume by the end of the CR stay. The physical fitness level achieved at discharge from CR is dependent on age, but to a higher extent on various factors related to training (exercise capacity at entry, increase of training volume and training method). Since that the latter factors can be influenced, CR should be offered to all cardiac patients.

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