

A FIXED POINT FORMULA IN ONE COMPLEX VARIABLE

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ABSTRACT. We show a Lefschetz fixed point formula for holomorphic functions in a bounded domain \mathcal{D} with smooth boundary in the complex plane. To introduce the Lefschetz number for a holomorphic map of \mathcal{D} , we make use of the Bergman kernel of this domain. The Lefschetz number is proved to be the sum of usual contributions of fixed points of the map in \mathcal{D} and contributions of boundary fixed points, these latter being different for attracting and repulsing fixed points.

1. INTRODUCTION

Let \mathcal{D} be a bounded domain with smooth boundary in the complex plane \mathbb{C} , and f be a holomorphic map of \mathcal{D} which is C^∞ up to the boundary of \mathcal{D} . The pull-back operator f^* on differential forms preserves the bidegree and commutes with the Cauchy-Riemann operator $\bar{\partial}$. Hence it induces a homomorphism Hf^* of the cohomology of the complex

$$(1.1) \quad 0 \longrightarrow \mathcal{E}^0(\overline{\mathcal{D}}) \xrightarrow{\bar{\partial}} \mathcal{E}^1(\overline{\mathcal{D}}) \longrightarrow 0$$

where $\mathcal{E}^q(\overline{\mathcal{D}})$ stands for the space of all $(0, q)$ -forms in \mathcal{D} with coefficients smooth up to the boundary, $q = 0, 1$.

The cohomology of (1.1) at step $q = 0$ just amounts to the space $\mathcal{A}(\overline{\mathcal{D}})$ of holomorphic functions in \mathcal{D} which are C^∞ up to the boundary. This space is infinite-dimensional. On the other hand, the cohomology of (1.1) at step $q = 1$ vanishes. It follows that the usual definition of the holomorphic Lefschetz number leads to

$$L(f) = \text{Tr } f^*|_{\mathcal{A}(\overline{\mathcal{D}})},$$

the trace of f^* on $\mathcal{A}(\overline{\mathcal{D}})$. As the space $\mathcal{A}(\overline{\mathcal{D}})$ is of infinite dimension, this trace fails to be defined for all maps f . The problem arises of defining a regularised trace of f^* on the space $\mathcal{A}(\overline{\mathcal{D}})$.

To this end one might invoke any right fundamental solution Φ of the operator $\bar{\partial}$ in $\overline{\mathcal{D}}$. Then the operator $\Pi = 1 - \Phi\bar{\partial}$ is a projection in the space $\mathcal{E}^0(\overline{\mathcal{D}}) = \mathcal{E}(\overline{\mathcal{D}})$ whose range is $\mathcal{A}(\overline{\mathcal{D}})$. The kernel $K_\Pi(\zeta, z)$ of Π is a representation of the Dirac functional $\delta_z(\zeta)$ on the space of holomorphic functions. The regularised trace of f^*

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on $\mathcal{A}(\overline{\mathcal{D}})$ is then defined by

$$(1.2) \quad \text{Tr } f^*|_{\mathcal{A}(\overline{\mathcal{D}})} = \text{p.v.} \int K_{\Pi}(z, f(z)),$$

the principal value referring to fixed points of f on the boundary of \mathcal{D} if there are any.

This agrees on the one hand with the trace of the pull-back operator f^* on $\mathcal{A}(\overline{\mathcal{D}})$ for constant maps f of \mathcal{D} , i.e., $f(z) = w_0 \in \mathcal{D}$. Indeed, in the latter case both sides of (1.2) are equal to 1. On the other hand, equality (1.2) gives readily the most elementary result of the Lefschetz theory. Namely, if f has no fixed points in $\overline{\mathcal{D}}$ then the holomorphic Lefschetz number of f vanishes, for the integral localises to the set of fixed points.

In this paper we take as Φ the right fundamental solution of the Cauchy-Riemann operator $\bar{\partial}$ given by the Neumann problem for the complex (1.1). At step 1 the latter problem actually reduces to the Dirichlet problem for the Laplace operator in \mathcal{D} . The kernel $K_{\Pi}(\zeta, z)$ obtained this way is nothing but the Bergman kernel of the domain \mathcal{D} . We obtain:

Theorem 1.1. *Suppose f is a holomorphic map of \mathcal{D} which extends smoothly to the closure of \mathcal{D} . If f has only isolated fixed points in $\overline{\mathcal{D}}$ then the holomorphic Lefschetz number of f is*

$$L(f) = \sum_{p \in \text{Fix}(f, \overline{\mathcal{D}})} \mu(p).$$

The local indices $\mu(p)$ are infinitesimal invariants of f at p . If $p \in \text{Fix}(f, \mathcal{D})$ then $\mu(p)$ coincides with that appearing in the case of compact Riemannian surfaces. Namely, $\mu(p)$ is the trace of the meromorphic function $1/(1 - f'(z))$ near $z = p$ with respect to the map $z - f(z)$ at 0, cf. § 6 in Tsikh [12]. The local indices of boundary fixed points are more artful, cf. § 5.

A Lefschetz fixed point formula for closed holomorphic curves was first proved by Eichler in [4]. Atiyah and Bott [1] generalised it to the Dolbeault complex on a compact closed complex manifold. For direct constructions along more classical lines we refer the reader to Patodi [9], Toledo and Tong [11], et al.

For strictly pseudoconvex domains \mathcal{D} in \mathbb{C}^n , a holomorphic Lefschetz formula was proved by Donnelly and Fefferman in [3], who worked within the framework of L^2 -cohomology of the Bergman metric. Recall that the Bergman metric, whose Kaehler potential is given by the Bergman kernel, is a complete Kaehler metric on \mathcal{D} . This actually corresponds to the case of a non-compact closed manifold, and so f was assumed to have no fixed points on $\partial\mathcal{D}$. Our results extend to higher-dimensional situation, too.

Brenner and Shubin [2] showed a fixed point formula for elliptic boundary value problems in Boutet de Monvel's algebra. Their results do not apply to the Cauchy-Riemann system, for the latter admits no boundary value problem satisfying the Lopatinskii condition.

2. THE NEUMANN PROBLEM

The Neumann problem for complex (1.1) at extreme step 1 consists of finding, for a given $F \in \mathcal{E}^1(\overline{\mathcal{D}})$, a differential form $u \in \mathcal{E}^1(\overline{\mathcal{D}})$ such that

$$(2.1) \quad \begin{aligned} \bar{\partial}\bar{\partial}^*u &= F & \text{in } \mathcal{D}, \\ n(u) &= 0 & \text{on } \partial\mathcal{D}, \end{aligned}$$

where $\bar{\partial}^*$ is the formal adjoint for $\bar{\partial}$, and $n(u)$ the complex normal part of u on the boundary. Write

$$\begin{aligned} F &= F_1(z) d\bar{z}, \\ u &= u_1(z) d\bar{z}, \end{aligned}$$

then the problem (2.1) becomes in fact the Dirichlet problem for the function $u_1(z)$, namely

$$\begin{aligned} -(1/2)\Delta u_1 &= F_1 \quad \text{in } \mathcal{D}, \\ u_1 &= 0 \quad \text{on } \partial\mathcal{D}. \end{aligned}$$

The latter problem has a unique solution given by

$$u_1(z) = 2i \int_{\mathcal{D}} F_1(\zeta) G(\zeta, z) d\bar{\zeta} \wedge d\zeta$$

for $z \in \mathcal{D}$, where $G(\zeta, z)$ is the Green function of the Dirichlet problem. It has the form

$$(2.2) \quad G(\zeta, z) = \frac{1}{2\pi} \log |\zeta - z| - h(\zeta, z)$$

where $h(\zeta, z)$ is a smooth function defined away from the boundary diagonal in the product $\bar{\mathcal{D}} \times \bar{\mathcal{D}}$. For a fixed $z \in \mathcal{D}$, this function is harmonic in $\zeta \in \mathcal{D}$, continuous in $\zeta \in \bar{\mathcal{D}}$, and satisfies $h(\zeta, z) = (1/2\pi) \log |\zeta - z|$ in $\zeta \in \partial\mathcal{D}$. This forces it to be symmetric in ζ and z , whence $G(\zeta, z) = G(z, \zeta)$. It follows that the Neumann operator at step 1 is

$$(2.3) \quad NF(z) = \int_{\mathcal{D}} F(\zeta) \wedge \left(2i G(\zeta, z) d\zeta d\bar{z} \right),$$

the integral being over $\zeta \in \mathcal{D}$.

The composition $\bar{\Phi} = \bar{\partial}^* N$ gives a right fundamental solution of the Cauchy-Riemann operator in $\bar{\mathcal{D}}$. Indeed, (2.1) implies at once that $\bar{\partial} \bar{\Phi} = 1$ on $\mathcal{E}^1(\bar{\mathcal{D}})$, as desired.

Lemma 2.1. *When regarded as a map in $\mathcal{L}(\mathcal{E}^1(\bar{\mathcal{D}}), \mathcal{E}(\bar{\mathcal{D}}))$, the operator $\bar{\Phi}$ has the Schwartz kernel*

$$K_{\bar{\Phi}}(\zeta, z) = \left(-\frac{1}{2\pi i} \frac{1}{\zeta - z} + 2i \frac{\partial}{\partial z} h(\zeta, z) \right) d\zeta.$$

Proof. Since

$$\bar{\partial}^* (u_1(z) d\bar{z}) = -\frac{\partial}{\partial z} u_1(z),$$

it follows from (2.3) that

$$\begin{aligned} \bar{\Phi} F(z) &= \int_{\mathcal{D}} F(\zeta) \wedge -\frac{\partial}{\partial z} \left(2i G(\zeta, z) d\zeta \right) \\ &= \int_{\mathcal{D}} F(\zeta) \wedge K_{\bar{\Phi}}(\zeta, z) \end{aligned}$$

for all $z \in \mathcal{D}$. □

Note that the second term in $K_{\bar{\Phi}}(\zeta, z)$ is holomorphic in $z \in \mathcal{D}$ for any fixed $\zeta \in \bar{\mathcal{D}}$.

3. AUGMENTED COMPLEX

The operator $\Pi = 1 - \Phi \bar{\partial}$ belongs to $\mathcal{L}(\mathcal{E}(\bar{\mathcal{D}}))$ and extends to an orthogonal projection of $L^2(\mathcal{D})$ onto the subspace of $L^2(\mathcal{D})$ consisting of holomorphic functions of class $L^2(\mathcal{D})$. The Schwartz kernel of Π is just the Bergman kernel of the domain \mathcal{D} . We next compute it.

Lemma 3.1. *When regarded as a map in $\mathcal{L}(\mathcal{E}(\bar{\mathcal{D}}))$, the operator Π has the Schwartz kernel*

$$K_{\Pi}(\zeta, z) = \left(2i \frac{\partial^2}{\partial \bar{\zeta} \partial z} h(\zeta, z) \right) d\bar{\zeta} \wedge d\zeta.$$

Proof. Let $u \in \mathcal{E}(\bar{\mathcal{D}})$. Combining Lemma 2.1, the Cauchy-Pompey theorem and Stokes' integral formula, we obtain

$$\Pi u(z) = - \int_{\partial \mathcal{D}} u(\zeta) K_{\Phi}(\zeta, z) + \int_{\mathcal{D}} u(\zeta) \left(2i \frac{\partial^2}{\partial \bar{\zeta} \partial z} h(\zeta, z) \right) d\bar{\zeta} \wedge d\zeta$$

for all $z \in \mathcal{D}$. The proof of Lemma 2.1 actually shows that

$$K_{\Phi}(\zeta, z) = - \frac{\partial}{\partial z} \left(2i G(\zeta, z) d\zeta \right)$$

for $(\zeta, z) \in \bar{\mathcal{D}} \times \mathcal{D}$. Since $G(\zeta, z)$ vanishes whenever $(\zeta, z) \in \partial \mathcal{D} \times \mathcal{D}$, so does $K_{\Phi}(\zeta, z)$, too. Hence the boundary integral in the formula for Πu vanishes, which completes the proof. \square

The operators $\{\Pi, \Phi\}$ fit together to give a parametrix of the so-called augmented complex

$$(3.1) \quad 0 \longrightarrow \mathcal{A}(\bar{\mathcal{D}}) \xrightarrow{i} \mathcal{E}(\bar{\mathcal{D}}) \xrightarrow{\bar{\partial}} \mathcal{E}^1(\bar{\mathcal{D}}) \longrightarrow 0,$$

where i stands for the embedding operator. This means they satisfy the fundamental equation

$$(3.2) \quad \begin{aligned} \Pi i &= 1 - S_{-1} && \text{on } \mathcal{A}(\bar{\mathcal{D}}), \\ i\Pi + \Phi \bar{\partial} &= 1 - S_0 && \text{on } \mathcal{E}(\bar{\mathcal{D}}), \\ \bar{\partial} \Phi &= 1 - S_1 && \text{on } \mathcal{E}^1(\bar{\mathcal{D}}) \end{aligned}$$

up to operators S_{-1} , S_0 and S_1 with smooth kernels on $\bar{\mathcal{D}} \times \bar{\mathcal{D}}$. In fact, the operators S_0 and S_{-1} vanish by the very construction, while S_1 vanishes on the range of $\bar{\partial}$, i.e., $\bar{\partial} \mathcal{E}(\bar{\mathcal{D}})$.

The pull-back operator f^* defines an endomorphism of (3.1), too, inducing a homomorphism of the cohomology of (3.1). Since this cohomology is finite-dimensional, the Lefschetz number of the later homomorphism is well defined. We denote it by $L_p(f)$, the sub “ p ” indicating to “partial”, for the cohomology of (3.1) at steps -1 and 0 is zero.

4. LEFSCHETZ NUMBER

By the above, the total holomorphic Lefschetz number is

$$(4.1) \quad L(f) = \text{Tr } f^*|_{\mathcal{A}(\bar{\mathcal{D}})} + L_p(f),$$

the first term on the right-hand side being the regularised trace (1.2). We first evaluate the partial Lefschetz number $L_p(f)$.

Lemma 4.1. *Suppose f is a holomorphic map of \mathcal{D} , smooth up to the boundary and having only isolated fixed points in $\overline{\mathcal{D}}$. Then*

$$L_p(f) = -\text{p.v.} \int_{\mathcal{D}} \Delta^*(1 \times f)^* K_{\Pi} - \text{p.v.} \int_{\mathcal{D}} d\varphi(\Phi),$$

where Δ is the diagonal map $\overline{\mathcal{D}} \rightarrow \overline{\mathcal{D}} \times \overline{\mathcal{D}}$, and $\varphi(\Phi) = -\Delta^*(1 \times f)^* K_{\Phi}$ a smooth $(1,0)$ -form away from the set of fixed points of f in the closure of \mathcal{D} .

Proof. Applying f^* to both sides of (3.2) we conclude that the endomorphisms f^* and f^*S of (3.1) are homotopic. Hence it follows that $L_p(f) = L_p(f^*S)$. Since the endomorphism f^*S is smoothing, the alternating sum formula readily yields $L_p(f) = -\text{Tr } f^*S_1$, for $S_{-1} = S_0 = 0$. We now use the fundamental equation (3.2) once again, taking into account that the kernel of the identity operator is supported on the diagonal of $\overline{\mathcal{D}} \times \overline{\mathcal{D}}$. This gives

$$\begin{aligned} L_p(f) &= \lim_{\varepsilon \rightarrow 0} \int_{\mathcal{D} \setminus U_\varepsilon} \Delta^*(1 \times f)^* K_{-S_1} \\ &= \lim_{\varepsilon \rightarrow 0} \int_{\mathcal{D} \setminus U_\varepsilon} \Delta^*(1 \times f)^* K_{\overline{\delta\Phi}}, \end{aligned}$$

where U_ε is an ε -neighbourhood of the set of fixed points of f in $\overline{\mathcal{D}}$. This establishes the formula because $\Delta^*(1 \times f)^* K_{\overline{\delta\Phi}}$ coincides with $-\Delta^*(1 \times f)^* K_{\Pi} - d\varphi(\Phi)$ away from the set $\text{Fix}(f, \overline{\mathcal{D}})$. \square

Lemma 4.1 gives some suggestive evidence to defining the regularised trace of f^* on holomorphic functions by (1.2). Indeed, from (4.1) and the lemma it follows that

$$(4.2) \quad L(f) = -\text{p.v.} \int_{\mathcal{D}} d\varphi(\Phi),$$

the formula looking like that for the case of compact closed manifolds, cf. Theorem 6.2.15 in [10].

5. LOCAL INDICES

Given a point $p \in \text{Fix}(f, \overline{\mathcal{D}})$, we write $U(p, \varepsilon)$ for the disk with centre p and radius $\varepsilon > 0$ in \mathbb{C} . By (4.2) and Stokes' formula, we get

$$(5.1) \quad L(f) = -\text{p.v.} \int_{\partial\mathcal{D}} \varphi(\Phi) + \sum_{p \in \text{Fix}(f, \overline{\mathcal{D}})} \mu(p)$$

where

$$(5.2) \quad \mu(p) = \lim_{\varepsilon \rightarrow 0} \int_{\mathcal{D} \cap \partial U(p, \varepsilon)} \varphi(\Phi)$$

is an infinitesimal invariant of f at p . Note that in general $\mu(p)$ is a complex number, and not an integer.

Lemma 5.1. *Assume that $p \in \mathcal{D}$ is an isolated fixed point of f . If $\varepsilon > 0$ is small enough then*

$$\mu(p) = \int_{\partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{dz}{z - f(z)}.$$

Proof. Combining formula (5.2) and Lemma 2.1 we obtain

$$\mu(p) = \lim_{\varepsilon \rightarrow 0} \int_{\partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{dz}{z - f(z)} - \lim_{\varepsilon \rightarrow 0} \int_{\partial U(p, \varepsilon)} 2i h'_z(z, f(z)) dz,$$

$h'_z(\zeta, z)$ meaning the derivative of $h(\zeta, z)$ in z . The differential form in the first integral on the right-hand side is closed in a small punctured neighbourhood of the fixed point p . Hence the integral does not depend on ε , provided that $\varepsilon > 0$ is sufficiently small. On the other hand, the differential form in the second integral on the right-hand side is smooth in a neighbourhood of p , for $h(\zeta, z)$ is smooth in the product $\mathcal{D} \times \mathcal{D}$. It follows that the second limit is equal to zero, which establishes the formula. \square

In particular, if $p \in \mathcal{D}$ is a simple fixed point of f , i.e., $f'(p) \neq 1$, then

$$(5.3) \quad \mu(p) = \frac{1}{1 - f'(p)},$$

as is easy to check by the Cauchy formula. In the general case the integral is evaluated by the residue theory.

For boundary fixed points of f the computation of the local index $\mu(p)$ is much more subtle. We will touch only the case of simple fixed points of f on the boundary.

Brenner and Shubin [2] specified attracting and repulsing simple fixed points of f on the boundary. Each simple point $p \in \text{Fix}(f, \partial\mathcal{D})$ is either attracting or repulsing. The contribution of an attracting point $p \in \text{Fix}(f, \partial\mathcal{D})$ to the Lefschetz number $L(f)$ amounts to that of any interior simple fixed point, cf. (5.3), while the repulsing points do not contribute to the Lefschetz number at all. In the non-elliptic case the specification is more tricky. A boundary fixed point p of f is said to be attracting, if $|f'(p)| < 1$, and repulsing, if $|f'(p)| > 1$. Once again each simple fixed point p of f on the boundary of \mathcal{D} is either attracting or repulsing. Indeed, if $|f'(p)| = 1$ then close to p the map f reduces to a rotation around p , and so it cannot keep \mathcal{D} invariant.

Lemma 5.2. *Assume that $p \in \partial\mathcal{D}$ is a simple fixed point of f . Then*

$$\mu(p) = \begin{cases} \frac{1}{2} \frac{1}{1 - f'(p)}, & \text{if } p \text{ is attracting;} \\ \frac{1}{2} \frac{1}{f'(p) - 1}, & \text{if } p \text{ is repulsing.} \end{cases}$$

It is worth pointing out that the contribution of a repulsing fixed point is still smaller by absolute value than the contribution of an attracting fixed point.

Proof. The proof follows from calculations of § 7 and a familiar construction of the Green function for planar domains \mathcal{D} . Namely, given a point $z \in \mathcal{D}$, let $C(\zeta, z)$ be a conformal map of \mathcal{D} onto the unit disk with centre at 0 in \mathbb{C} , such that $C(z, z) = 0$. Then

$$G(\zeta, z) = \frac{1}{2\pi} \log |C(\zeta, z)|$$

is the Green function of \mathcal{D} . \square

6. HOLOMORPHIC LEFSCHETZ FORMULA

We are now in a position to formulate our fixed point theorem which makes more precise Theorem 1.1 of the Introduction.

Theorem 6.1. *Suppose f is a holomorphic map of \mathcal{D} which extends smoothly to the closure of \mathcal{D} . If f has only isolated fixed points in $\overline{\mathcal{D}}$ then the holomorphic Lefschetz number of f is*

$$L(f) = \sum_{p \in \text{Fix}(f, \overline{\mathcal{D}})} \mu(p),$$

the local indices $\mu(p)$ being infinitesimal invariants of f at p given by formula (5.2).

Proof. By (5.1) it suffices to show that the integral

$$-\text{p.v.} \int_{\partial \mathcal{D}} \varphi(\Phi) = \lim_{\varepsilon \rightarrow 0} \int_{\partial \mathcal{D} \setminus \bigcup_{p \in \text{Fix}(f, \partial \mathcal{D})} U(p, \varepsilon)} K_{\Phi}(z, f(z))$$

is equal to zero. As has been mentioned in the proof of Lemma 3.1, the kernel $K_{\Phi}(\zeta, z)$ vanishes for all $(\zeta, z) \in \partial \mathcal{D} \times \mathcal{D}$. Since this kernel is actually C^{∞} away from the diagonal in the product $\overline{\mathcal{D}} \times \overline{\mathcal{D}}$, we deduce at once that $K_{\Phi}(z, f(z))$ vanishes for all z away from the set of fixed points of f on the boundary. This finishes the proof. \square

Theorem 6.1 extends obviously to the Dolbeault complex on a strictly pseudoconvex domain in \mathbb{C}^n , thus implying the fixed point formula of [3] as a highly special case. But we will not develop this point here.

7. AUTOMORPHISMS OF THE UNIT DISK

Let $\mathcal{D} = U$ be the unit disk centered at the origin in the complex plane. Then the Green function is

$$G(\zeta, z) = \frac{1}{2\pi} \log |\zeta - z| - \frac{1}{2\pi} \log |1 - \bar{\zeta}z|,$$

cf. (2.2). An easy computation shows that

$$-K_{\Phi}(\zeta, z) = \left(\frac{1}{2\pi i} \frac{1}{\zeta - z} - \frac{1}{2\pi i} \frac{\bar{\zeta}}{1 - \bar{\zeta}z} \right) d\zeta$$

for (ζ, z) away from the diagonal in $\overline{U} \times \overline{U}$.

By (5.2), we get

$$(7.1) \quad \mu(p) = \lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{dz}{z - f(z)} - \lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{\bar{z} dz}{1 - \bar{z}f(z)}$$

if $p \in \overline{U}$ is an isolated fixed point of f . We restrict our discussion to the case $p \in \partial U$, for the local index of interior fixed points $p \in U$ is computed in Lemma 5.1.

The first limit on the right-hand side of (7.1) is standard in the theory of the Cauchy integral, unless p fails to be simple. This is

$$(7.2) \quad \lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{dz}{z - f(z)} = \frac{1}{2} \frac{1}{1 - f'(p)}.$$

To evaluate the second limit on the right-hand side of (7.1) we use the Taylor formula to write

$$f(z) = p + f'(p)(z - p) + o(|z - p|)$$

in a small neighbourhood of p . After changing the variables $z - p = \varepsilon p \zeta$ with $|\zeta| = 1$, we get

$$\begin{aligned} - \lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{\bar{z} dz}{1 - \bar{z} f(z)} &= \lim_{\varepsilon \rightarrow 0} \int_{\substack{\zeta \in \partial U \\ \Re \zeta < 0}} \frac{1}{2\pi i} \frac{(1 + \varepsilon \bar{\zeta}) d\zeta}{f'(p)\zeta + \bar{\zeta} + \varepsilon f'(p) + o(\varepsilon)} \\ &= \lim_{\varepsilon \rightarrow 0} \int_{\substack{\zeta \in \partial U \\ \Re \zeta < 0}} \frac{1}{2\pi i} \frac{(\zeta + \varepsilon) d\zeta}{f'(p)\zeta^2 + 1 + \varepsilon f'(p)\zeta + o(\varepsilon)}, \end{aligned}$$

for the boundary of U is smooth at p . If $|f'(p)| \neq 1$ then we can pass to the limit under the integral sign, thus obtaining

$$\int_{\substack{\zeta \in \partial U \\ \Re \zeta < 0}} \frac{1}{2\pi i} \frac{\zeta d\zeta}{f'(p)\zeta^2 + 1} = \frac{1}{2} \int_{\zeta \in \partial U} \frac{1}{2\pi i} \frac{\zeta d\zeta}{f'(p)\zeta^2 + 1},$$

the last equality being a consequence of the invariance of the differential form under the transformation $\zeta \mapsto -\zeta$.

In the case $|f'(p)| < 1$ the polynomial $f'(p)\zeta^2 + 1$ is different from zero in the closure of U . Hence the latter integral vanishes by the Cauchy theorem.

For $|f'(p)| > 1$ the integral in question is easily evaluated by the residue theorem. It is equal to $1/2f'(p)$. Summarising, we have

$$(7.3) \quad - \lim_{\varepsilon \rightarrow 0} \int_{U \cap \partial U(p, \varepsilon)} \frac{1}{2\pi i} \frac{\bar{z} dz}{1 - \bar{z} f(z)} = \begin{cases} 0, & \text{if } p \text{ is attracting;} \\ \frac{1}{2} \frac{1}{f'(p)}, & \text{if } p \text{ is repulsing.} \end{cases}$$

Combining the equalities (7.1) and (7.2), (7.3), we arrive at the formula of Lemma 5.2, namely

$$\mu(p) = \begin{cases} \frac{1}{2} \frac{1}{1 - f'(p)}, & \text{if } p \text{ is attracting;} \\ \frac{1}{2} \frac{1}{f'(p) - 1}, & \text{if } p \text{ is repulsing.} \end{cases}$$

Example 7.1. Consider the family of linear-fractional automorphisms of the unit disk U , given by

$$f(z) = \frac{z - a}{1 - \bar{a}z}$$

where $a \in U$ is different from zero. The map f has two fixed points $p = \pm a/|a|$, both points belonging to the boundary. Since

$$f'\left(\pm \frac{a}{|a|}\right) = \frac{1 \pm |a|}{1 \mp |a|},$$

the point $+a/|a|$ is repulsing and the point $-a/|a|$ is attracting. Hence it follows that

$$\begin{aligned} \mu\left(+\frac{a}{|a|}\right) &= -\frac{1}{4} \frac{1 - |a|}{1 + |a|} \frac{1 - |a|}{|a|}, \\ \mu\left(-\frac{a}{|a|}\right) &= \frac{1}{4} \frac{1 + |a|}{|a|}, \end{aligned}$$

and so

$$L(f) = \frac{1}{1 + |a|}.$$

Note that $L(f)$ tends to 1 when $a \rightarrow 0$, while single local indices $\mu(\pm a/|a|)$ have no limit values for $a \rightarrow 0$.

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