

**Impact of climate change effects on  
diversity and function of pelagic heterotrophic bacteria  
studied in large-scale mesocosm facilities**

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## **Preface**

All parts of this work were conducted at the Leibniz-Institute of Freshwater Ecology and Inland Fisheries, Department of Experimental Limnology, Stechlin, Germany. Additionally, experimental work was partly performed at the Tvärminne Zoological Station (Hanko, Finland), The Sven Lovén Centre for Marine Sciences, Kristineberg (Fiskebäckskil, Sweden) and the Plataforma Oceánica de Canarias (PLOCAN) (Telde, Gran Canaria, Spain) during KOSMOS-mesocosm studies (Kiel Off-Shore Mesocosms for Ocean Simulations) on the effects of ocean acidification. This work was carried out within the framework of the following projects: SOPRAN (Surface Ocean Processes in the Anthropocene; BMBF), BIOACID II and III (Biological Impacts of Ocean Acidification; BMBF) and TemBi (Climate-driven changes in biodiversity of microbiota; Leibniz-SAW).



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## II. Key to abbreviations

BCC	Bacterial community composition
BGE	Bacterial growth efficiency
BIOACID	Biological Impacts of Ocean Acidification (project acronym)
BPP	Bacterial protein production
BR	Bacterial respiration
C	Carbon
CC	Climate change
CO <sub>2</sub>	Carbon dioxide
Chl <i>α</i>	Chlorophyll <i>α</i>
DIC	Dissolved inorganic carbon
DOC	Dissolved organic carbon
DOM	Dissolved organic matter
ER	Ecosystem respiration
<i>f</i> CO <sub>2</sub>	Fugacity of CO <sub>2</sub>
FL	Free-living
FT-ICR-MS	Fourier-transform ion cyclotron resonance mass spectrometry
GPP	Gross primary production
[H <sup>+</sup> ]	Proton concentration
KOSMOS	Kiel off-shore mesocosms for future ocean simulations
NEP	Net ecosystem production
OA	Ocean acidification
OC	Organic carbon
OM	Organic matter
PA	Particle associated
PAR	Photosynthetically active radiation
<i>p</i> CO <sub>2</sub>	Partial pressure of CO <sub>2</sub>
POC	Particulate organic carbon
POM	Particulate organic matter
PP	Primary production
ppm	Parts per million
TemBi	Climate driven changes in biodiversity of microbiota (project acronym)
TEP	Transparent exopolymer particles
[ ]	Concentration





### III. List of publications

The following four manuscripts were evaluated for this thesis. Thereby, manuscripts I-III have been published as articles in peer-reviewed journals.

My own contributions are indicated for each publication: ED – experimental design of study; DC – sampling and data collection; DA – data analysis; C – conceptualisation of manuscript; MS – writing of manuscript.

- I. **Hornick, T.**, Bach, L. T., Crawford, K. J., Spilling, K., Achterberg, E. P., Woodhouse, J. N., Schulz, K. G., Brussaard, C. P. D., Riebesell, U., and Grossart, H.-P.: Ocean acidification impacts bacteria–phytoplankton coupling at low-nutrient conditions, *Biogeosciences*, 14, 1-15, <https://doi.org/10.5194/bg-14-1-2017>, 2017.  
**(ED, DC, DA, C, MS)**
- II. Spilling, K., Schulz, K. G., Paul, A. J., Boxhammer, T., Achterberg, E. P., **Hornick, T.**, Lischka, S., Stuhr, A., Bermúdez, R., Czerny, J., Crawford, K., Brussaard, C. P. D., Grossart, H.-P., and Riebesell, U.: Effects of ocean acidification on pelagic carbon fluxes in a mesocosm experiment, *Biogeosciences*, 13, 6081-6093, <https://doi.org/10.5194/bg-13-6081-2016>, 2016.  
**(ED, DC, DA, MS)**
- III. Zark, M., Broda, N. K., **Hornick, T.**, Grossart, H.-P., Riebesell, U. and Dittmar, T.: Ocean Acidification Experiments in Large-Scale Mesocosms Reveal Similar Dynamics of Dissolved Organic Matter Production and Biotransformation. *Front. Mar. Sci.* 4:271. doi: 10.3389/fmars.2017.00271, 2017.  
**(ED, DC, DA, MS)**
- IV. **Hornick, T.**, Ganzert, L., Berger, S. A., Gessner, M. O., Giling, D. P., Nejstgaard, J. C., Woodhouse, J. N., Grossart, H.-P.: Experimental summer storm induces changes in bacterial community structure with lasting biogeochemical consequences, in preparation for submission.  
**(ED, DC, DA, C, MS)**

### Further relevant publications for this thesis

Nausch, M., Bach, L. T., Czerny, J., Goldstein, J., Grossart, H.-P., Hellemann, D., **Hornick, T.**, Achterberg, E. P., Schulz, K.-G., and Riebesell, U.: Effects of CO<sub>2</sub> perturbation on phosphorus pool sizes and uptake in a mesocosm experiment during a low productive summer season in the northern Baltic Sea, *Biogeosciences*, 13, 3035-3050, <https://doi.org/10.5194/bg-13-3035-2016>, 2016.

- Bach, L. T., Taucher, J., Boxhammer, T., Ludwig, A., The Kristineberg KOSMOS Consortium, Achterberg, E. P., Algueró-Muñiz, M., Anderson, L. G., Bellworthy, J., Büdenbender, J., Czerny, J., Ericson, Y., Esposito, M., Fischer, M., Haunost, M., Hellemann, D., Horn, H. G., **Hornick, T.**, Meyer, J., Sswat, M., Zark, M., Riebesell, U.: Influence of Ocean Acidification on a Natural Winter-to-Summer Plankton Succession: First Insights from a Long-Term Mesocosm Study Draw Attention to Periods of Low Nutrient Concentrations, *PLoS ONE* 11(8):e0159068, <https://doi.org/10.1371/journal.pone.0159068>, 2016.
- Bach, L. T., Álvarez-Fernández, S., **Hornick, T.**, Stuhr, A., Riebesell, U.: Simulated ocean acidification reveals winners and losers in coastal phytoplankton, *PLoS ONE* 12(11): e0188198, <https://doi.org/10.1371/journal.pone.0188198>, 2017.
- Taucher, J., Bach, L. T., Boxhammer, T., Nauendorf, A., The Gran Canaria KOSMOS Consortium (**Hornick, T.** and 49 other members), Achterberg, E. P., Algueró-Muñiz, M., Arístegui, J., Czerny, J., Esposito, M., Guan, W., Haunost, M., Horn, H. G., Ludwig, A., Meyer, J., Spisla, C., Sswat, M., Stange, P., and Riebesell, U.: Influence of Ocean Acidification and Deep Water Upwelling on Oligotrophic Plankton Communities in the Subtropical North Atlantic: Insights from an *In situ* Mesocosm Study, *Front. Mar. Sci.* 4:85, <https://doi.org/10.3389/fmars.2017.00085>, 2017.

## Further publications

- Attermeyer, K., Premke, K., **Hornick, T.**, Hilt, S., and Grossart, H.-P.: Ecosystem-level studies of terrestrial carbon reveal contrasting bacterial metabolism in different aquatic habitats. *Ecology*, 94: 2754–2766, doi:10.1890/13-0420.1, 2013.
- Attermeyer, K., **Hornick, T.**, Kayler, Z. E., Bahr, A., Zwirnmann, E., Grossart, H.-P., and Premke, K.: Enhanced bacterial decomposition with increasing addition of autochthonous to allochthonous carbon without any effect on bacterial community composition, *Biogeosciences*, 11, 1479-1489, <https://doi.org/10.5194/bg-11-1479-2014>, 2014.
- Kolmakova, O. V., Gladyshev, M. I., Fonvielle, J. A., Ganzert, L., **Hornick, T.**, and Grossart, H.-P.: Effects of zooplankton carcasses degradation on freshwater bacterial community composition and implications for carbon cycling. *Environmental Microbiology*, doi:10.1111/1462-2920.14418, 2018.

## Participation at conferences

**Hornick, T.**, Berger, S., Nejstgaard, J. C., Giling, D. P., Gessner, M. O., Grossart, H.-P.: Bacterial responses to a heavy summer storm simulated in large-scale lake mesocosms, SAME 14<sup>th</sup> Symposium on Aquatic Microbial Ecology, Uppsala, Sweden, 2015. (poster presentation)

**Hornick, T.**, Ganzert, L., Giling, D. P., Selmecky, G. B., Berger, S. A., Nejstgaard, J. N., Grossart, H.-P.: Summer storm has lasting effects on composition and function of microbial communities in stratified lakes, SAME 15<sup>th</sup> Symposium on Aquatic Microbial Ecology, Zagreb, Croatia, 2017. (poster presentation)

## Further contribution to conferences

Nejstgaard, J. C., Berger, S. A., Giling, S. A., Penske, A., Lenz, M., **Hornick, T.**, Grossart, H.-P., Kasprzak, P., Gessner, M. O.: Storm in a teapot: Simulating an extreme weather event in a large-scale mesocosm platform, Aquatic Sciences Meeting (ASLO), Granada, Spain, 22.-27 Feb. 2015. (oral presentation)

## Published datasets

**Hornick, T.**, Bach, L. T., Crawford, K. J., Spilling, K., Achterberg, E. P., Woodhouse, J. N., Schulz, K. G., Brussaard, C. P. D., Riebesell, U., Grossart, H.-P.: KOSMOS Finland 2012 mesocosm study: Size-fractionated bacterial protein production (BPP) of free-living and particle associated bacteria and abundance of particle associated heterotrophic prokaryotes. *PANGAEA*, <https://doi.org/10.1594/PANGAEA.868621>, 2016.

## Contribution to data-driven project meetings and project reports

**Hornick, T.**, Grossart, H.-P.: Climate-driven changes in diversity and function of pelagic bacteria, TemBi Final Meeting, Neuglobsow, 25.-26. Feb. 2014. (oral presentation)

Enke, G., **Hornick, T.**, Boxhammer, T., Bach, L. T., Czerny, J., Fischer, M., Riebesell, U., Grossart, H.-P.: Measurements of particle size and sinking velocity of sediment trap material, BIOACID Phase II Meeting, Kiel 10.-11. Sept. 2014. (poster presentation)

**Hornick, T.**, Bach, L. T., Czerny, J., Riebesell, U., Grossart, H.-P.: Changes in bacterial community composition during the KOSMOS 2013 ocean acidification experiment, BIOACID Phase II Final Meeting, Kiel 06.-07. Oct. 2015. (poster presentation)

Bach, L. T., Boxhammer, T., Esposito, M., Achterberg, E. P., Meyer, J., Ludwig, A., Haunost, M., Fischer, M., Helleman, D., Audritz, S., Büdenbender, J., Czerny, J., **Hornick, T.**, Grossart, H.-P., Sswat, M., Clemmesen, C., Scheinin, M., Wohlrab, S., Eberlein, T., John,

U., van de Waal, D., Algueró-Muñiz, M., Lange, J., Horn, H., Boersma, M., Zark, M., Dittmar, T., Abrahamson, K., Anderson, L. G., Ericson, Y., Lundve, B., and Riebesell, U.: Effects of ocean acidification on a winter-to-summer phytoplankton succession, BIOACID Phase II Final Meeting, Kiel 06.-07. Oct. 2015. (oral presentation)

**Hornick, T.**, The Tvärminne KOSMOS Consortium, The Kristineberg KOSMOS Consortium, The Gran Canaria KOSMOS Consortium, Riebesell, U., Grossart, H.-P.: Will ocean acidification affect microbial life? – Conclusions from several KOSMOS experiments, BIOACID final meeting and synthesis workshop, Kiel, 29.-31. May 2017. (poster presentation)

Zark, M., **Hornick, T.**, Grossart, H.-P., Dittmar, T.: Carbon turnover related to organic substrate quality and functioning of microbial communities, BIOACID final meeting and synthesis workshop, Kiel, 29.-31. May 2017. (poster presentation)

Grossart, H.-P., **Hornick, T.**, Abschlussbericht zum Leibniz-Wettbewerb geförderten Vorhaben SAW-2011-IGB-2.22 "Klimagetriebene Veränderungen der Biodiversität von Mikrobiota – TemBi": Teilprojekt III – Diversität und Funktion pelagischer heterotropher Bakterien.

Grossart, H.-P., **Hornick, T.**, BIOACID III Zwischenbericht für Berichtszeitraum 01.10-2015 – 31.12.2016, WP 1.3.: „Biogeochemische Funktion von Mikroorganismen“. Bundesministerium für Bildung und Forschung (BMBF)-gefördertes Forschungsvorhaben 03F0728C.

Grossart, H.-P., **Hornick, T.**, BIOACID III Abschlussbericht WP 1.3.: „Biogeochemische Funktion von Mikroorganismen“. Bundesministerium für Bildung und Forschung (BMBF)-gefördertes Forschungsvorhaben 03F0728C.

## IV. Summary

The unprecedented increase in atmospheric concentrations of carbon dioxide (CO<sub>2</sub>) and other greenhouse gases (GHG) by anthropogenic activities since the Industrial Revolution impacts on various earth system processes, commonly referred to as 'climate change' (CC). CC faces aquatic ecosystems with extreme abiotic perturbations that potentially alter the interrelations between functional autotrophic and heterotrophic plankton groups. These relations, however, modulate biogeochemical cycling and mediate the functioning of aquatic ecosystems as C sources or sinks to the atmosphere. The aim of this thesis was therefore to investigate how different aspects of CC influence community composition and functioning of pelagic heterotrophic bacteria. These organisms constitute a major component of biogeochemical cycling and largely determine the balance between autotrophic and heterotrophic processes.

Due to the vast amount of potential CC impacts, this thesis focuses on the following two aspects: (1) Increased exchange of CO<sub>2</sub> across the atmosphere-water interface and reaction of CO<sub>2</sub> with seawater leads to profound shifts in seawater carbonate chemistry, commonly termed as 'ocean acidification' (OA), with consequences for organism physiology and the availability of dissolved inorganic carbon (DIC) in seawater. (2) The increase in atmospheric GHG concentration impacts on the efficiency with which the earth cools to space, affecting global surface temperature and climate. With ongoing CC, shifts in frequency and severity of episodic weather events, such as storms, are expected that in particular might affect lake ecosystems by disrupting thermal summer stratification. Both aspects of CC were studied at the ecosystem-level in large-volume mesocosm experiments by using the Kiel Off-shore Mesocosms for Future Ocean Simulations (KOSMOS) deployed at different coastal marine locations, and the LakeLab facility in Lake Stechlin.

We evaluated the impact of OA on heterotrophic bacterial metabolism in a brackish coastal ecosystem during low-nutrient summer months in the Baltic Sea. There are several in situ experiments that already assessed potential OA-induced changes in natural plankton communities at diverse spatial and seasonal conditions. However, most studies were performed at high phytoplankton biomass conditions, partly provoked by nutrient amendments. Our study highlights potential OA effects at low-nutrient conditions that are representative for most parts of the ocean and of particular interest in current OA research. The results suggest that during extended periods at low-nutrient concentrations, increasing *p*CO<sub>2</sub> levels indirectly impact the growth balance of heterotrophic bacteria via trophic bacteria-phytoplankton interactions and shift the ecosystem to a more autotrophic system.

Further work investigated how OA affects heterotrophic bacterial dissolved organic matter (DOM) transformation in two mesocosm studies, performed at different nutrient conditions. We observed similar succession patterns for individual compound pools during a phytoplankton bloom and subsequent accumulation of these compounds irrespective of the *p*CO<sub>2</sub> treatment. Our results indicate that OA-induced changes in the dynamics of

bacterial DOM transformation and potential impacts on DOM quality are unlikely. In addition, there have been no indications that in dependence of nutrient conditions, different amounts of photosynthetic organic matter are channelled into the more recalcitrant DOM pool. This provides novel insights into the general dynamics of the marine DOM pool.

A fourth enclosure experiment in oligo-mesotrophic Lake Stechlin assessed the impact of a severe summer storm on lake bacterial communities during thermal stratification by artificial mixing. Mixing disrupted and lowered the thermocline, increasing the upper mixed layer and substantially changed water physical-chemical variables. Deep water entrainment and associated changes in water physical-chemical variables significantly affected relative bacterial abundances for about one week. Afterwards, a pronounced cyanobacterial bloom developed in response to mixing which affected community assembly of heterotrophic bacteria. Colonization and mineralization of senescent phytoplankton cells by heterotrophic bacteria largely determined C-sequestration to the sediment. About six weeks after mixing, bacterial communities and measured activity parameters converged to control conditions. As such, summer storms have the potential to affect bacterial communities for a prolonged period during summer stratification. The results highlight effects on community assembly and heterotrophic bacterial metabolism that are associated to entrainment of deep water into the mixed water layer and assess consequences of an episodic disturbance event for the coupling between bacterial metabolism and autochthonous DOM production in large volume clear-water lakes.

Altogether, this doctoral thesis revealed substantial sensitivities of heterotrophic bacterial metabolism and community structure in response to OA and a simulated summer storm event, which should be considered when assessing the impact of climate change on marine and lake ecosystems.

## V. Zusammenfassung

Seit der Industriellen Revolution steigen die Konzentrationen von Kohlenstoffdioxid (CO<sub>2</sub>) und anderen Treibhausgasen in der Erdatmosphäre stetig an. Dieser Anstieg beeinflusst wesentliche Prozesse im Erdsystem was mit dem Begriff „climate change“ oder „Klimawandel“ umschrieben wird. Ebenso aquatische Ökosysteme sind davon betroffen und werden mit erheblichen Veränderungen ihrer abiotischen Umwelt konfrontiert. Mögliche Veränderungen in der Organismenzusammensetzung und in biologischen Prozessen könnten die Folge sein, welche maßgeblich die regulierende Funktion von Gewässern im Klimasystem beeinflussen könnte. Insbesondere eine Verschiebung des Verhältnisses zwischen autotrophen und heterotrophen Prozessen ist dabei von Bedeutung. Dieses bestimmt grundlegend, ob Gewässer CO<sub>2</sub> binden oder emittieren. Heterotrophe Bakterien nehmen dabei eine zentrale Rolle ein. Ziel dieser Doktorarbeit war daher zu bestimmen, wie verschiedene Auswirkungen des Klimawandels die Gemeinschaftsstruktur und Aktivität von heterotrophen Bakterien in Gewässern verändert.

Diese Arbeit konzentriert sich auf zwei Aspekte des Klimawandels: (1) Zum einen bewirkt der atmosphärische Anstieg der CO<sub>2</sub>-Konzentration, dass gleichermaßen mehr CO<sub>2</sub> in Gewässern gelöst wird. Ozeane nehmen dabei einen Großteil des CO<sub>2</sub> auf, welches im Meerwasser das chemische Gleichgewicht des Karbonatsystems verschiebt („Ozeanversauerung“). Die damit verbundene Senkung des pH-Wertes als auch der Anstieg gelösten anorganischen Kohlenstoffs kann zu physiologischen Anpassungen von Organismen führen. (2) Zum anderen beeinflussen Treibhausgase die Absorption von Wärmeenergie der Sonne, was sich in einem kontinuierlichen Anstieg der Erdoberflächentemperatur äußert. Dadurch bedingt werden Veränderungen im Klimasystem der Erde vorhergesagt, welche unter anderem die Häufigkeit und Heftigkeit von episodischen Wetterereignissen (z.B. Stürme) verstärken werden. Insbesondere Sommer-Stürme sind dabei in der Lage die sommerliche thermische Stratifizierung der Wassersäule geschichteter Seen zu zerstören, was weitreichende Auswirkungen auf die Wasserchemie/-physik und die Verteilung von Organismen haben kann. Beide Aspekte des Klimawandels wurden mittels Mesokosmen simuliert, welche größere Wasservolumina einschließen und aufgrund ihrer Größe (ca. 55 m<sup>3</sup>-1200 m<sup>3</sup>) erlauben, dass komplexe Ökosysteme mit trophischen Interaktionen untersucht werden können.

Dabei untersuchten wir den Einfluss der Ozeanversauerung auf heterotrophe bakterielle Prozesse in einem Brackwassersystem der Ostsee, in welchem während der Sommermonate geringe Konzentrationen an gelösten Nährstoffen vorherrschen. Im Gegensatz zu zahlreichen anderen Studien, welche bereits Ozeanversauerungseffekte bei hoher Phytoplankton-Biomasse oder nach Zugabe von Nährstoffen untersuchten, fokussiert diese Studie speziell auf Zeiträume unter Nährstofflimitation. Unsere Ergebnisse zeigen, dass während solcher Phasen, Ozeanversauerungseffekte indirekt das Wachstum von

heterotrophen Bakterien durch veränderte trophische Interaktionen beeinflussen und potentiell zu einer Erhöhung der Autotrophie des Ökosystems führen können.

In einer weiteren vergleichenden Studie zweier Mesokosmenexperimente bei unterschiedlichen Nährstoffbedingungen untersuchten wir, wie Ozeanversauerung die Umsetzung gelösten organischen Materials (DOM) durch heterotrophe Bakterien beeinflusst. Wir beobachteten vergleichbare zeitliche Verläufe ausgewählter DOM-Verbindungen während und nach einer Phytoplankton-Blüte in beiden Experimenten, sowie vergleichbare Akkumulation dieser Verbindungen unabhängig vom  $p\text{CO}_2$ . Diese Ergebnisse deuten darauf hin, dass Änderungen in der DOM-Qualität durch heterotrophe bakterielle Prozesse mit zunehmender Ozeanversauerung unwahrscheinlich sind. Unsere Studie verdeutlicht somit vorherrschende generelle Dynamiken des marinen DOM-Pools.

In einer vierten Mesokosmenstudie im oligotroph-mesotrophen Stechlinsee wurde der Einfluss eines starken Sommer-Sturmes auf See-Ökosysteme während der sommerlichen Stratifizierung untersucht. Mittels oberflächlicher Durchmischung wurde die bestehende Thermokline zerstört und die durchmischte Oberflächenwasserschicht vergrößert. Dies änderte physikalische und chemische Gradienten innerhalb der stratifizierten Wassersäule. Effekte der Einmischung von Tiefenwasser änderten daraufhin die Zusammensetzung der bakteriellen Gemeinschaftsstruktur für ca. eine Woche und stimulierten das Wachstum filamentöser Cyanobakterien (hauptsächlich *Dolichospermum* sp.). Daraufhin entwickelte sich in durchmischten Mesokosmen eine Cyanobakterien-Blüte, welche entscheidend die Entwicklung heterotropher bakterieller Gemeinschaften und Prozesse bestimmte. Nach ca. sechs Wochen konnte in den meisten beprobten Parametern kein Unterschied mehr zu den Kontrollbedingungen detektiert werden. Unsere Studie gibt ein mechanistisches Verständnis, wie Sommer-Stürme nachhaltig bakterielle Gemeinschaften und Prozesse für längere Zeit während der sommerlichen Stratifizierung beeinflussen können.

Die in dieser Arbeit präsentierten Ergebnisse zeigen Veränderungen bakterieller Gemeinschaften und Prozesse in aquatischen Ökosystemen, welche mit dem einhergehenden Klimawandel erwartet werden können. Diese sollten bei Beurteilung klimarelevanter Fragen hinsichtlich eines zukünftigen Gewässermanagements Berücksichtigung finden.



## 1. Chapter 1 - General Introduction

Earth ecosystem processes have become dominated by anthropogenic activities, necessitating a new socio-ecological view of ecosystem processes that incorporates human activities into ecology (Ducklow, 2008). The term 'climate change' is commonly used to describe this issue, however, originally refers to the process '[...]' that alters the composition of the global atmosphere and which is in addition to natural climate variability observed over comparable time periods', as defined by The Framework Convention on Climate Change in its Article 1 (UNFCCC, 1992).

Since the Industrial Revolution, human activities have increased concentrations of atmospheric carbon dioxide (CO<sub>2</sub>) and other greenhouse gases (e.g. methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O)) to levels exceeding by far the natural range observed over the last 800,000 years (Siegenthaler, 2005; Lüthi et al., 2008; Ciais et al., 2013). About 40 % of these emissions have remained in the atmosphere which impacts on the efficiency with which the Earth cools to space, influencing global surface temperature and climate (IPCC, 2013a). Of the 60 % of emissions removed from the atmosphere roughly half was stored on land and inland waters, and half in the oceans (IPCC, 2013a). As such, aquatic ecosystems are faced with extreme abiotic perturbations in the Earth system, that might impact essential ecosystem services provided to human wellbeing and society in large (IPCC, 2013b, 2014). While future changes of the abiotic environment (e.g. temperature, concentration of CO<sub>2</sub>) can be reasonably well projected by climate models within a relatively small range of uncertainty, their effects on organismic physiology, community composition and biological processes are much less understood (Walther et al., 2002; Sarmiento et al., 2004; Goldman et al., 2012; IPCC, 2013b).

The majority of organisms in aquatic ecosystems comprise functional groups of free drifting microorganisms that live in the 'pelagic zone' - the open water column. The so called 'plankton' tightly interacts with one another in the pelagic food-web (e.g. Strickland, 1960; Kirchman, 2008). Here, the interrelations within and between process-relevant functional groups of plankton (e.g. primary producers, consumers, decomposers) and their abiotic environment determine biogeochemical cycles, ecosystem processes and thus invariably link to ecosystem services. In particular, the balance between autotrophic and heterotrophic processes determines net productivity and carbon sequestration. Thereby, pelagic biogeochemical cycling generates important feedbacks in the climate system and mediates the functioning of aquatic systems as C sources or sinks to the atmosphere. Heterotrophic processes are primarily mediated by bacteria which represent a major component in biogeochemical cycling (e.g. Kirchman, 2008). Hence, obtaining scientific data on potential impacts of climate change on bacterial processes is of utmost importance in order to make valid projections of how ecosystem services provided by aquatic environments might be impacted in the future.

## 1.1 Aquatic ecosystems in the carbon cycle

### 1.1.1 Oceans in the global carbon cycle

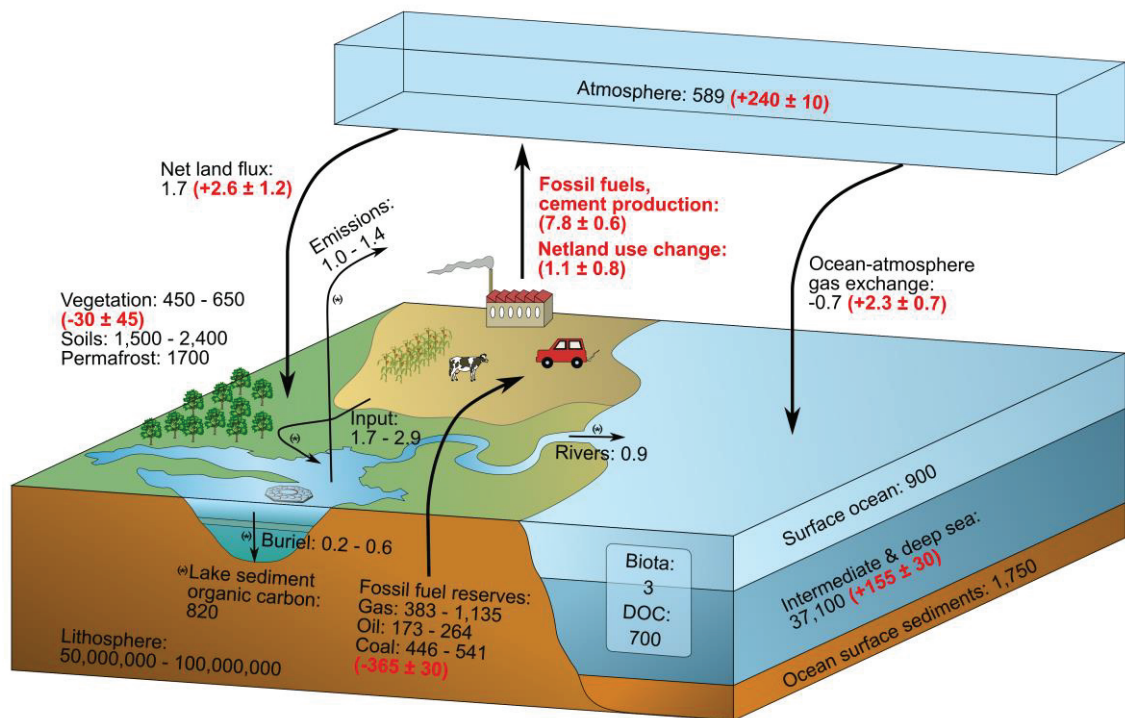
The global carbon (C) cycle describes a series of C reservoirs, which are connected by exchange fluxes of C in the Earth system. After the lithosphere ( $\approx 75,000,000$  PgC) the oceans constitute the second largest pool of C on Earth, containing about 38,000 PgC, followed by the terrestrial biosphere ( $\approx 2,000$  PgC) and the atmosphere ( $\approx 830$  PgC) (Falkowski, 2000; Ciais et al., 2013) (**Fig. 1**). The world oceans cover about 70 % of the Earth's surface and are an important driver of Earth's climate by exchanging approximately 100 PgC per year, including CO<sub>2</sub> and other greenhouse gases (e.g. CH<sub>4</sub>), across the atmosphere-water interface (Raven and Falkowski, 1999).

Most of the C in the oceans is present in the form of dissolved inorganic carbon (DIC,  $\approx 98$  %), which represents about 50 times more soluble inorganic C than contained in the atmosphere (Raven and Falkowski, 1999). About 2 % contributes to the pool of dissolved organic carbon (DOC) and only a negligible amount consists of particulate organic carbon (POC), which comprises also the living biomass (Falkowski, 2000; Hansell et al., 2009; Sarmiento and Gruber, 2002). Although the photosynthetic biomass in the world's oceans approximates only to about 0.2 % relative to terrestrial ecosystems, marine net primary production (NPP) is estimated to equal roughly the total terrestrial NPP (Field, 1998; Falkowski and Raven, 2007). The huge discrepancy between NPP and biomass points to a high turnover of autotrophic biomass, which is performed due to interactions between autotrophic and heterotrophic parts of the marine food-web. Thereby, interactions within the marine food-web generate important feedbacks in the climate system which impact on the greenhouse gas exchange between oceans and the atmosphere and thus, largely determine the sequestration of atmospheric CO<sub>2</sub> in the deep ocean. In marine systems all these processes are summarized in the concept of the 'biological C pump' which is the sum of processes that transport biogenic C from the euphotic zone at the surface to the oceans interior where the material is mineralized (Hansell et al., 2009).

### 1.1.2 Inland waters in the global carbon cycle

Because inland aquatic systems cover only a small fraction of the Earth's surface area (ca. 3.7 %) (Verpoorter et al., 2014) they have rarely been considered as potentially important quantitative components of the C cycle (Cole et al., 2007; Battin et al., 2009; Raymond et al., 2013). Mostly due to methodological limitations, they were regarded as part of terrestrial ecosystems, functioning solely as 'pipes' which transport C from terrestrial ecosystems to the oceans (Cole et al., 2007; Battin et al., 2009). However, inland waters are extremely active sites for transport, transformation, and storage of C from the terrestrial environment and therefore may have an effect that is disproportional to their spatial extent (Dean and Gorham, 1998; Cole et al., 2007; Battin et al., 2008; Tranvik et al., 2009; Biddanda,

2017; Tranvik et al., 2018). Cole et al. (2007) proposed that roughly twice as much C ( $\approx 1.9$  PgC  $\text{yr}^{-1}$ ) enters inland aquatic systems from land as is exported from land to the sea ( $\approx 0.9$  PgC  $\text{yr}^{-1}$ ). More recent estimates suggest that inland waters transport, mineralize and bury about 2.7-2.9 PgC  $\text{yr}^{-1}$ , which is in the range of NEP of the terrestrial biosphere ( $\approx 1-4$  PgC  $\text{yr}^{-1}$ ) or corresponds to the size of the terrestrial C sink for anthropogenic emissions ( $\approx 2.8$  PgC  $\text{yr}^{-1}$ ) (Randerson et al., 2002; Tranvik et al., 2009; Battin et al., 2009). Most of the terrestrial C entering inland waters is respired by heterotrophic biota ( $\approx 1.2$  PgC) and released as  $\text{CO}_2$  to the atmosphere (Battin et al., 2009; Raymond et al., 2013). However, inland waters have also been recognized to affect the global land greenhouse gas sink estimates substantially via the release of methane ( $\text{CH}_4$ ) (Bastviken et al., 2004, 2011). On the other hand, inland waters function as important sites for C sequestration, giving a negative climate feedback. Thus, Tranvik et al. (2009) estimated that the rate of OC burial in inland water sediments may exceed OC sequestration on the ocean floor.



**Figure 1: Overview of the global carbon cycle.** Numbers represent reservoir masses in PgC and annual C exchange fluxes in PgC  $\text{yr}^{-1}$ . Black numbers and arrows indicate reservoir masses and exchange fluxes estimated for the time prior to the Industrial Era. The red numbers and arrows indicate annual anthropogenic fluxes averaged over the 2000-2009 time period and refer to the perturbation of the C cycle during the Industrial Era post 1750. Masses and fluxes without asterisks (\*) are estimates from Ciais et al. (2013). Thereby, uncertainties are reported as 90 % confidence intervals. Reservoir sizes and fluxes marked with asterisks (\*) are estimates for inland waters and denote minimum and maximum values derived from Cole et al. (2007), Tranvik et al. (2009) and Ciais et al. (2013), respectively. Please note: Only estimates for the most important reservoir masses and fluxes with focus on inland waters and oceans are given. The scheme does not represent a closed budget of the carbon cycle.

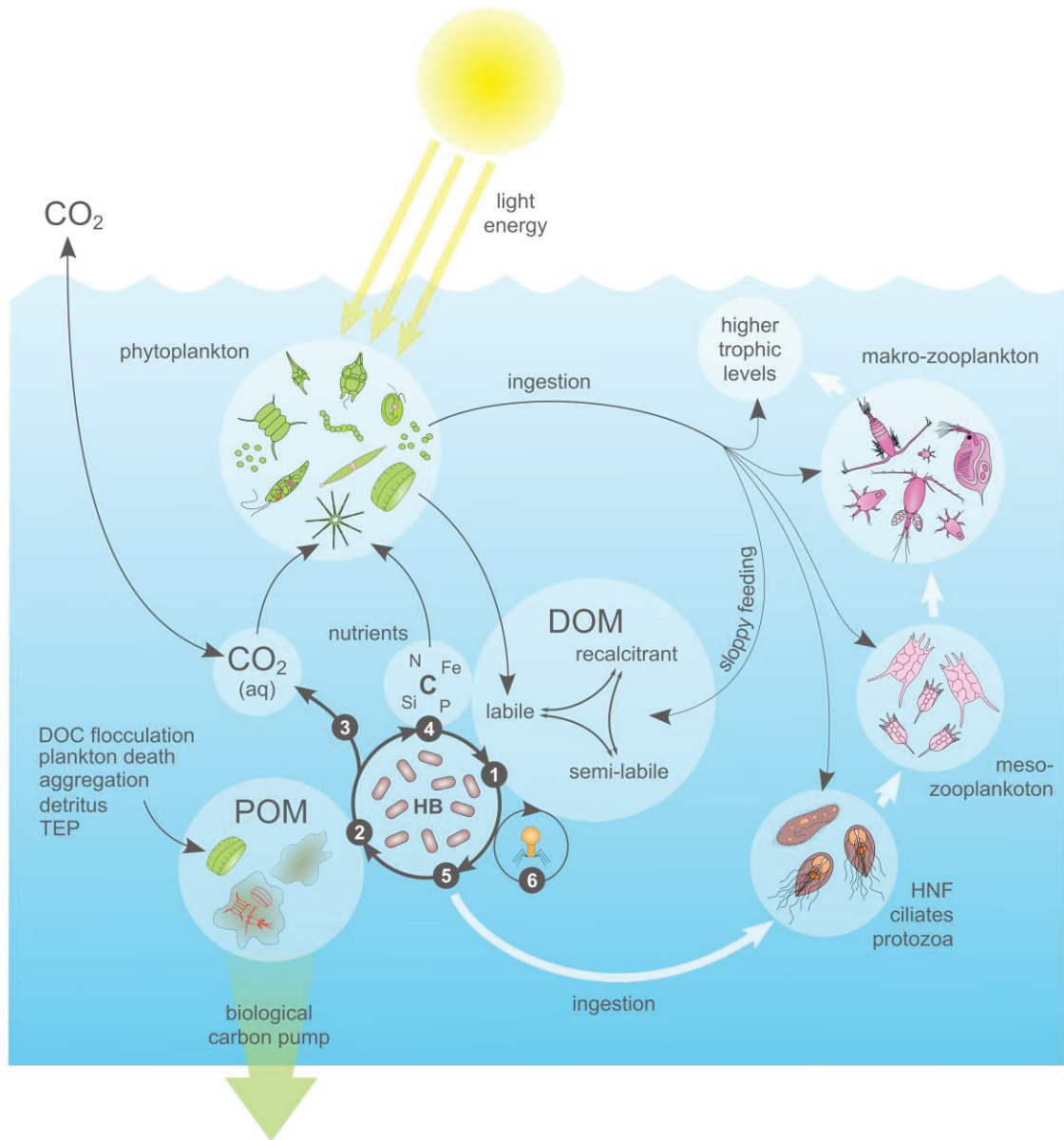
## 1.2 Role of heterotrophic bacteria for carbon cycling in the planktonic food web

Functional groups of plankton interact within the pelagic food web, channelling C, additional macronutrients (e.g. phosphorus (P), nitrogen (N)) and other chemical elements between inorganic forms, the living biomass and dead organic matter (OM). They comprise the main drivers of biogeochemical cycling and form the base of the aquatic food-web (e.g. Kirchman, 2008).

In the euphotic zone, photoautotrophic phytoplankton perform primary production, transforming carbon dioxide (CO<sub>2</sub>) and inorganic nutrients into organic compounds and oxygen using sunlight energy and water as the electron donor (e.g. Strickland, 1960, 1965). Primary production directly (e.g. production, exudation, death) or indirectly via trophic interrelations (e.g. consumption, remineralization, aggregation) adds to the pool of dissolved (DOM) and particulate organic matter (POM) (summarized, e.g. in Dittmar and Stubbins (2014)). Heterotrophic bacteria catalyze dominant reactions which affect OM. Approximately one-half of the C that is fixed by marine autotrophs is directly processed by bacteria (Cole et al., 1988; Ducklow et al., 1993; Buchan et al., 2014).

Since bacteria are limited to the uptake of sufficiently small DOM of up to ca. 600 Da, they release extracellular enzymes to catalyze the initial step in converting high-molecular-weight (HMW) organic matter to smaller substrates, which can be transported across the bacterial cell membrane (Weiss et al., 1991; Arnosti, 2011). Thereby, hydrolytic enzymes mediate a biochemical mechanism for large-scale transfer of organic matter from POM (i.e. sinking particles, detritus, etc.) to the dissolved phase affecting nutrient recycling in surface waters and finally the sequestration of OM to the sediment (Smith et al., 1992). Subsequently, heterotrophic bacteria mineralize DOM back to inorganic forms (e.g. CO<sub>2</sub>) or excrete metabolically transformed products. Another fraction of DOM is used for biomass production which can have possible consequences for trophic interrelations by channelling DOM via ingestion to higher trophic levels (e.g. Pomeroy, 1974; Williams, 1981; Azam et al., 1983; Azam, 1998; Hessen and Tranvik, 1998; Carpenter et al., 2005). The recognition that much of energy is transferred through a DOM-bacteria-protozoan pathway by e.g. Pomeroy (1974), Williams (1981) or Sieburth and Davis (1982), later termed as 'microbial loop' (Azam et al., 1983), marks an important change in the understanding of the bacteria's role in pelagic C cycling (e.g. Tranvik, 1992; Kirchman, 2008). Thereby, heterotrophic bacteria are regarded not only as mineralizers, responsible for the recycling of nutrients for the primary producers, but also exhibit a relevant trophic role as producers (analogous to phytoplankton), which are consumed by other organisms (e.g. Ducklow, 1983; Azam and Malfatti, 2007) (**Fig. 2**). As a consequence, about one fourth of the total net primary production is channelled through DOM and the 'microbial loop' to higher trophic levels, which would otherwise be unavailable for larger secondary consumers (Azam et al., 1983). Generally, the concept of the 'microbial loop' can be applied for both marine as well as

freshwater systems (Hobbie, 1988; Tranvik, 1992). However, macrozooplankton (Cladocera) may be efficient grazers of bacterioplankton only in freshwaters, short-circuiting the 'microbial loop' by a direct bacteria-macrozooplankton transfer (Bosselmann and Riemann, 1986; Hobbie, 1988).



**Figure 2: Heterotrophic bacteria (HB) in the aquatic food web.** A large fraction of organic matter that is synthesized by primary producers (phytoplankton) becomes dissolved (DOM) or particulate organic matter (POM). HB mediate important biogeochemical processes such as the decomposition and transformation of DOM (1) and POM (2). Thereby, organic matter is transformed by the release of extracellular enzymes, respired to CO<sub>2</sub> (3) or assimilated into HB biomass. The decomposition of DOM by HB regenerates nutrients that are used by primary producers and other organisms in the aquatic food web (4). Grazing of HB channels HB biomass via the 'microbial loop' (white arrows) to higher trophic levels (5). Viral lysis of HB releases DOM ('viral loop') (6). OM transformations by HB influence air-sea exchange of CO<sub>2</sub> and carbon storage through POM sinking flux ('biological carbon pump'), thus, playing a major role in C cycling (e.g. Azam and Malfatti, 2007).

### 1.2.1 DOM in the aquatic environment

DOM comprises the largest pool of organic carbon (OC) and one of the largest reactive carbon pools in the biosphere (Druffel et al., 1992; Hedges, 1992). About 90 % of this organic matter occurs as non-living DOM (Cauwet, 1978), the vast majority of which is still uncharacterized and their cycling largely unknown (Druffel et al., 1992; Ogawa and Tanoue, 2003; Dittmar and Stubbins, 2014). Depending on size or molecular weight, extraction procedure, reactivity, elemental composition or other molecular characterizations, DOM comprises different fractions, which contribute to the DOM pool (Druffel et al., 1992; Amon and Benner, 1994; Ogawa and Tanoue, 2003; Dittmar and Stubbins, 2014).

A conceptual framework regularly used in global models on DOM turnover divides the bulk of DOM into distinct fractions with different microbial reactivity based on fate, transformation and accumulation in the water column (Hansell et al., 2009; Dittmar and Stubbins, 2014; Moran et al., 2016). The biologically labile fraction represents a large C flux, but with rapid turnover it constitutes a very small fraction (<1 %) of DOM (Hansell et al., 2009). Labile DOM comprises, e.g. free amino acids, sugars and organic acids which turn over in minutes to days through rapid bacterial uptake and mineralization (e.g. Hansell and Carlson, 2002). A more biologically resistant fraction is not immediately mineralized and accumulates as biologically semi-labile DOM with an annual to multi-decadal time scale of removal (e.g. Carlson, 2002). Ocean models indicate that especially the semi-labile fraction is the most reactive fraction during biogeochemical cycling, accounting for net export to depths > 100 m at 1.8 PgC yr<sup>-1</sup>, or about 20 % of the global export production (Hansell et al., 2009). The vast amount of DOM, however, is composed of refractory/recalcitrant C, highly resistant to microbial oxidation, with average turnover times of hundreds to thousands of years (Hansell and Carlson, 2002; Ogawa and Tanoue, 2003; Dittmar and Stubbins, 2014).

Primary production by phytoplankton is the ultimate source of marine DOM (e.g. Ogawa and Tanoue, 2003). In coastal environments and especially inland waters, DOM might to a higher degree also originate from allochthonous DOM introduced into the aquatic environment from the terrestrial catchment site (i.e. soil, stream runoff). Terrestrial DOM quality and quantity are largely dependent on site-specific properties, (Tranvik, 1992). For instance, large clear-water lakes are less influenced by such compounds in contrast to small or more humic inland waters (Tranvik, 1988).

Photosynthetic cells passively or actively excrete excess photosynthates when C fixation exceeds incorporation into new cell material; an overflow metabolism of carbon during nutrient limitation (Fogg, 1983; Bjørnsen, 1988). Additionally, phyto- and bacterioplankton actively release DOM for the engineering of microenvironments through the excretion of transparent exopolymeric compounds, mainly consisting of polysaccharides (TEP) (Bjørnsen, 1988; Logan et al., 1995; Gogou and Repeta, 2010; Passow and Alldredge, 1994; Passow, 2000; Passow et al., 2001; Passow, 2002; De La Rocha and Passow, 2007). Such compounds affect the buoyancy of unicellular organisms (Mari, 2008), facilitate chemical

communication among cells (Guan and Kamino, 2001; Skindersoe et al., 2008), create an envelope that surrounds plankton (in which large parts of the cell's ectoenzymes are embedded to scavenge DOM from the water) (Heissenberger et al., 1996; Stoderegger and Herndl, 1998; Carlson et al., 2007), or largely influence the aggregation of cells in plankton blooms (Mari et al., 2005; Passow and Alldredge, 1994; Logan et al., 1995). Further loss of valuable organics to the water column is largely determined by sloppy feeding through heterotrophic consumers (i.e. macrozooplankton) (Banse, 1995; Møller et al., 2003) and cell death caused by viral lyses (Gobler et al., 1997; Middelboe and Jørgensen, 2006; Noble and Fuhrman, 1999). Thus, up to 30 % of DOM originate from protistan grazing on phytoplankton and bacteria (Sherr and Sherr, 1994). In addition, about 20-50 % of the microbial biomass is turned over daily by viral infection, releasing intracellular OM into the surrounding water (Suttle, 2007).

### 1.2.2 Factors impacting DOM transformation and bacterial dynamics

Historically, environmental conditions such as temperature, pH, salinity, oxygen concentration or the availability of light and nutrients have been mainly considered to impact bacterial dynamics in activity and biomass (Pomeroy and Deibel, 1986; Nedwell, 1999; Kirchman et al., 2005; Shiah and Ducklow, 1994; Wijtes et al., 1993; Berggren et al., 2010; Sulzberger and Durisch-Kaiser, 2009). Also trophic interrelations were recognized to account for bacterial losses due to viral mortality and grazing, but also to stimulate bacterial production by increases in phytoplankton- and bacteria-derived DOM availability, substrate regeneration through the viral shunt (Fuhrman and Suttle, 1993; Fuhrman, 1999; Suttle, 2007) and sloppy feeding by grazers (Pomeroy, 1974; Porter et al., 1985). Several comparative studies of a wide range of natural aquatic systems demonstrated that bacterial production is correlated with and averages about 30 % of net primary production (Ducklow and Carlson, 1992; Cole et al., 1988; del Giorgio and Cole, 1998).

More recently, the development of high-resolution methods to characterize biogeochemical substrate pools and the bacterial community composition (BCC) by, e.g. Fourier transform ion cyclotron mass spectroscopy (FTICR-MS) or Sequencing-Techniques (e.g. 454 pyrosequencing, Illumina MiSeq), in combination with advances in bioinformatics, allow for a more detailed studying of the biogeochemical complexity and bacterial diversity (Grossart, 2010). It is thus not surprising that the recognized spatio-temporal variability in bacterial activity and biomass towards environmental conditions and food-web characteristics is also mirrored to a certain extent in changes in the BCC and chemical diversity of mainly DOM.

A recent publication that evaluates structure and function of the global ocean microbiome reveals temperature to be the major environmental factor shaping taxonomic and functional microbial community composition in the photic open ocean (Sunagawa et al., 2015). However, defining determinants of community structure in the global plankton interactome by also taking into account food-web interactions suggests that abiotic factors have a more limited effect on community structure and biotic factors (i.e. selective grazing,

infection, etc.) should be considered as more important (Lima-Mendez et al., 2015; Verity and Smetacek, 1996).

A considerable parameter in the selection process for specific bacterial populations is the substrate and nutrient availability by phytoplankton-derived OM (Grossart and Simon, 2007; Allgaier et al., 2008). Thereby, the availability and subsequent bacterial usage of DOM is largely determined by both DOM quality and quantity (e.g. Marcarelli et al., 2011; Roiha et al., 2012; Nelson and Wear, 2014; Attermeyer et al., 2014; Sarmiento et al., 2016). It has been suggested, that the quantity of C defines productivity while its quality defines the BCC (Roiha et al., 2012; Attermeyer et al., 2014). Quantity and chemical composition of phytoplankton-released compounds varies with phytoplankton species and the physiological status of the phytoplankton, influencing both the stoichiometry (C to N to P ratio) and bioreactivity of phytoplankton-derived POM and DOM (Bjørnsen, 1988; Biddanda and Benner, 1997; Azam and Malfatti, 2007). The bacterioplankton community structure can change rapidly in response to growth and decay of different phytoplankton, indicating that DOM from different algae select for specific metabolic degradation pathways and thus differential bacterial communities (Covert and Moran, 2001; Pinhassi and Berman, 2003; Pinhassi et al., 2004; Grossart and Simon, 2007). How differences in structure and C turnover of microbial communities subsequently translate into differences in the functioning of whole aquatic ecosystems is currently subject of intense research (Graham et al., 2016; Giling et al., 2017a).

### **1.3 Mesocosms as a tool for studying complex food web interactions**

Mesocosm experiments provide an important bridge between smaller, more tightly controlled microcosm experiments and the biological complexity of natural systems. Whilst laboratory microcosm experiments have been regularly used to test for mechanistic relationships at the cellular or organismic level (i.e. to relate changes in environmental conditions to physiological properties or population growth rate) their limited realism can make extrapolations to natural systems difficult to justify (Riebesell et al., 2010). Mesocosms can include a more biological complexity at larger scales to test for community- and ecosystem-level responses, especially for taxa that cannot be housed in microcosms but may be important for community responses to climate change (Stewart et al., 2013). Thereby, mesocosm experiments can help to disentangle direct effects of environmental variables (e.g. temperature, pH) from indirect effects which only evolve during complex food-web interactions (i.e. impact on food availability to higher trophic levels). An important strength of mesocosm experiments is that they allow for the manipulation of replicate enclosed populations in combination with key properties of natural systems. Thus, they have the predictive power to test statistically for causal relationships at larger scales. This is of great importance, since modelling the impact of climate change effects on complex plankton communities is often hampered by the lack of predictive ability of ecological data



and mechanistic insights into trophic interrelations at larger temporal and spatial scales (Evans, 2012; Stewart et al., 2013).

### 1.3.1 Mesocosm systems

All data evaluated in this thesis were collected from large-volume mesocosm experiments, 1.5 to 5 months in duration, conducted in two different mesocosm facilities to study climate change impacts on marine and lake ecosystems.

(1) The Kiel Off-shore Mesocosms for Future Ocean Simulations (KOSMOS) facility consists of sea-going mesocosm units made of flexible-wall polyurethane bags with an attached floating frame (Riebesell et al., 2013). Bags are 2 m in diameter and reach a depth of 15-19 m (ca. 35-55 m<sup>3</sup> volume) with a sediment trap attached to the bottom in order to allow the study of carbon export (Boxhammer et al., 2016) (**Fig. 3B**). Although the system is open to the atmosphere, the facility has been used for making C and nutrient budgets (Czerny et al., 2013a; Spilling et al., 2016b; Boxhammer et al., 2018) based on the determination of air-sea gas exchange (Czerny et al., 2013c) and enclosed volumes (Czerny et al., 2013b).

(2) The LakeLab ([www.lake-lab.de](http://www.lake-lab.de)) installed in Lake Stechlin, an oligo-mesotrophic, dimictic hardwater lake in northeastern Germany (53°08'36" N, 13°01'41" E), consists of 24 mesocosm units. Each unit has a diameter of 9 m, reaches a depth of 14-21 m and consists of flexible, light-impermeable walls which are moored in the sediment, thus, enclosing about 1.2 million liters of water and the upper sediment layer (**Fig. 3C**). Thermal stratification patterns and patterns in plankton distribution within the water column, including the occurrence of a deep chlorophyll maximum (DCM), can be mimicked realistically in the LakeLab, allowing for climate change research in deep lakes.

## 1.4 Impact of climate change on aquatic ecosystems

During the 420,000 years prior to the industrial period, the Earth's climate system settled into a persistent pattern of glacial-interglacial cycles, with atmospheric CO<sub>2</sub> concentrations oscillating between 180 ppm in glacial and 280 ppm in interglacial times (Petit et al., 1999). Since the Industrial Revolution, the Earth's atmosphere C content exhibited an increase from about 590 PgC to about 830 PgC, exceeding natural variability as a consequence of anthropogenic fossil fuel CO<sub>2</sub> emissions, cement production and land-use changes (Ciais et al., 2013). This increase corresponds to a current atmospheric CO<sub>2</sub> concentration of about 410 ppm that was reached at the Mauna Loa observatory (Tans and Keeling, 2015). How the atmospheric CO<sub>2</sub> level will develop in future will largely depend on the release of emissions and intergovernmental agreements on the mitigation of climate change (e.g. Schellnhuber et al., 2016). Under business-as-usual conditions, however, projections reach about 1000 ppm CO<sub>2</sub> at the end of the century (representative concentration pathway (RCP) 8.5; (Riahi et al., 2007; Meinshausen et al., 2011; Collins et al., 2013). Although the changes in atmospheric C concentration is considerably less compared to other Earth system C pools,

the atmospheric C content is of critical importance due to its effects on the Earth's climate and exchange of CO<sub>2</sub> across the water-atmosphere interface (Raven and Falkowski, 1999; Rahmstorf et al., 2007; Rhein et al., 2013; Trenberth et al., 2015b).

#### 1.4.1 Impact of ocean acidification

Oceans have taken up approximately 155 Pg ( $\pm 20\%$ ) of anthropogenic C across the water-atmosphere interface (Rhein et al., 2013). Thereby, the oceans' uptake of anthropogenic CO<sub>2</sub> limited atmospheric CO<sub>2</sub> levels, thus, playing a central role in regulating Earth's climate, but at the same time changed the ocean's carbonate chemistry (Raven and Falkowski, 1999). The majority of CO<sub>2</sub> that dissolved in seawater reacted with water (H<sub>2</sub>O), forming carbonic acid (H<sub>2</sub>CO<sub>3</sub>), which then dissociated by losing hydrogen ions (H<sup>+</sup>) to form bicarbonate (HCO<sub>3</sub><sup>-</sup>) and carbonate (CO<sub>3</sub><sup>2-</sup>) ions (Doney et al., 2009). The process decreased pH and increased the concentration of dissolved inorganic carbon species (DIC) (Raven et al., 2005). Even though surface waters will remain alkaline, the corresponding increase in ocean acidity termed the process 'ocean acidification' (OA). Surface seawater pH has already decreased by 0.1 pH units since preindustrial times and might further decrease about 0.3-0.4 pH units until the end of the century (Wolf-Gladrow et al., 1999; Caldeira and Wickett, 2005). In contrast to freshwater systems, which can experience fluctuations up to several pH units even on a daily bases, the surface-ocean pH has been relatively stable between 8.3 and 8.2 over the last 2 million years until the industrial revolution with changes happening on time scales of glacial cycles (Zeebe, 2012). The current increase in ocean acidity is about 100 times faster than any previous natural change, causing a perturbation on marine ecosystems on a time scale which might not allow organisms to adapt (Raven et al., 2005; Pörtner, 2008).

#### 1.4.2 Impact on weather patterns – episodic extreme events

According to different Representative Concentration Pathways (RCP) scenarios for the development of future emissions that are considered by the Intergovernmental Panel on Climate Change (IPCC), the removal of human-emitted GHG from the atmosphere by natural processes will take a few hundred thousand years (Ciais et al., 2013). The long time required to remove anthropogenic GHG makes climate to change (Ciais et al., 2013). GHG impact on the redistribution of energy within the atmosphere and between the atmosphere, land and the ocean, thus, is altering the radiative balance of the Earth (Hartmann et al., 2013; Rhein et al., 2013). As a consequence, temperatures are expected to rise which also impacts associated circulation and weather patterns. It is projected, that the already recorded increase in sea surface temperature and atmospheric moisture will provide a warmer and wetter weather, increasing the occurrence and severity of global weather extremes (Trenberth, 2012; IPCC, 2013b). This is expected to result in more heavy and frequent episodic weather-related events, such as storms, even in the presence of large natural variability and thus high uncertainty of predictions (Easterling, 2000; Rahmstorf et al., 2007;

Rahmstorf and Coumou, 2011; Coumou and Rahmstorf, 2012; Trenberth, 2012; Trenberth et al., 2015a; Trenberth et al., 2015b; Wang et al., 2017a). In particular, summer storms can act as major disturbances on lake ecosystems, perturbing the thermal summer stratification and changing the physical or chemical environment that may affect local plankton communities (Glasby and Underwood, 1996; Shade et al., 2012b). Ecological consequences of such episodic events, however, are challenging to study at the ecosystem scale. As there is an inverse relationship between disturbance frequency and magnitude, extreme events occur infrequently and are hard to predict (Sousa, 1984; Romme et al., 1998; Turner et al., 1998; Turner and Dale, 1998; White and Jentsch, 2001). In addition, harsh environmental conditions associated with extreme events often hinder a targeted sampling and the lack of true replication of natural observations does often not allow to gain a basic knowledge on underlying mechanisms that cause specific post-disturbance responses in plankton communities and ecosystem functioning. Experimental ecosystem-scale approaches, such as the LakeLab facility, to assess how such events alter activity, composition, resilience and function of plankton communities, thus, represent a method of choice to circumvent conditional difficulties related to studying natural episodic events.

### 1.4.3 Potential impact of OA on heterotrophic bacteria within marine plankton communities

The oceanic uptake of CO<sub>2</sub> is accompanied by complex changes in seawater carbonate chemistry which are considered as stressors for marine organism physiology (Doney et al., 2009; Kroeker et al., 2010, 2013). Physiological responses to, e.g. a decrease in pH, [CO<sub>3</sub><sup>2-</sup>] and carbonate saturation ( $\Omega$ ) or an increase in  $p\text{CO}_2/f\text{CO}_2$ , [H<sup>+</sup>], [CO<sub>2</sub>]<sub>(aq)</sub>, [H<sub>2</sub>CO<sub>3</sub>] and [HCO<sub>3</sub><sup>-</sup>] have been reported across various groups of organisms during single species laboratory studies up to ecosystem-level experiments. However, the responses are diverse and non-uniform across organisms, physiological processes and experimental scales (reviewed in e.g. Fabry et al., 2008; Kroeker et al., 2013; Riebesell and Tortell, 2011). One reason for the inconsistency of results, especially between experimental scales, might be that physiological responses to OA comprise simultaneously effects of 'acidification' and 'carbonation', which are inevitably linked through contemporary changes in carbonate chemistry. Thereby, 'acidification' refers to an increase in hydrogen ion concentration that is mainly considered to impact organism homeostasis and calcification processes, whereas 'carbonation' describes an increase in DIC and thus implies a potential 'fertilisation' of autotrophic carbon fixation (Riebesell et al., 2009; Bach et al., 2011, 2013). Both aspects of OA are expected to cause changes in the competitive fitness between different plankton species that impact on the balance between autotrophic and heterotrophic processes.

Although a shift away from the study of individual organisms to complex communities has been observed that allow for studying of autotrophic and heterotrophic processes simultaneously, there is still an imbalance of considerably more studies reporting on a few prominent physiological processes (e.g. calcification) and taxonomic groups mainly related

to phytoplankton (Yang et al., 2016). In particular, potential OA impacts on heterotrophic bacterial processes are not well represented, either in single/multi-species laboratory studies nor community-level experiments (Yang et al., 2016). Little is known on OA effects at the subcellular level, demonstrating differential gene expression patterns in heterotrophic bacteria as a physiological response to elevated  $p\text{CO}_2$  (Bunse et al., 2016). Some studies even argue that bacterial metabolism will be impacted generally under future higher  $\text{CO}_2$ /lower pH conditions (Joint et al., 2011). However, the few ecosystem-level studies on the effects of OA imply that differences in the activity of heterotrophic bacteria result indirectly from concurrent differences in other OA-sensitive variables that represent more important impacts on the bacterial metabolism, rather than being a direct consequence of changes in pH or  $p\text{CO}_2$  (Grossart et al., 2006a; Allgaier et al., 2008; Piontek et al., 2010; Liu et al., 2010). Diverse responses of bacterial production in response to  $p\text{CO}_2$  are reported, partly depending on the community considered (attached versus free-living bacteria) and the normalisation used (e.g. cell-specific rates) (reviewed in Liu et al., 2010). Endres et al. (2014) reported a stimulated bacterial growth at elevated  $p\text{CO}_2$ , studying microbial production and removal processes in the surface ocean during research cruises at low and high latitudes as well as during  $\text{CO}_2$ -manipulation studies simulating future ocean conditions. The authors conclude that the ongoing OA has the potential to facilitate the microbial recycling of freshly produced organic matter, thus strengthening the role of the microbial production of climate-active gases in the surface ocean (Endres et al., 2014). Grossart et al. (2006a) observed significant higher bacterial protein production (BPP), cell-specific protein production (csBPP) and biomass, but only of attached bacteria at elevated  $p\text{CO}_2$ . Allgaier et al. (2008) suggested that there was no difference in bacterial production in response to  $p\text{CO}_2$ , but observed a significant impact of  $p\text{CO}_2$  levels on linear regressions between BPP of free-living bacteria, BPP of attached bacteria or csBPP of attached bacteria and C:N ratios of suspended matter. There are also indications that the extra-cellular activity of particular enzymes might increase due to lower pH as reported for protease as well as  $\alpha$ - and  $\beta$ -glucosidase (Grossart et al., 2006a; Piontek et al., 2010), whereas other studies could not reveal any effect of pH/ $\text{CO}_2$  on enzyme activities (Tanaka et al., 2008). In studies that distinguish between different bacterial life-styles, there is a preferential stimulation of activity and abundance of attached bacteria with elevated  $p\text{CO}_2$ . Generally, attached bacteria experience a higher nutrient-availability in the presence of aggregates, but may also respond to increased production of TEP at increasing  $p\text{CO}_2$  (Engel, 2002; Engel et al., 2004; Mari, 2008). Although there are several indications of a direct positive effect of OA-conditions on polysaccharide exudation, e.g. Egge et al. (2009) could not find any significant effect of elevated  $p\text{CO}_2$  on TEP concentration which stresses the fact that at present-day  $p\text{CO}_2$  TEP production should already be saturated (Engel et al., 2004; Liu et al., 2010). In most studies, little or no effects of  $p\text{CO}_2$  could be detected on bacterial abundances and biomass (e.g. Grossart et al., 2006a; Allgaier et al., 2008; Yamada et al., 2008; reviewed in Liu et al., 2010). It is questionable that potential impacts could have been masked by trophic transfer of bacterial biomass to higher trophic levels via the microbial loop. Such complex trophic

interrelations were mostly not assessed in the scope of the above mentioned studies. Recently, a mass balance approach reports such an enhanced transfer of organic matter to higher trophic levels caused by OA, however not resolving the particular role of heterotrophic bacteria (Boxhammer et al., 2018). Also, a promoted herring larvae survival (Sswat et al., 2018) and a benefitting of copepods (Taucher et al., 2017b) at OA conditions suggest that potential OA-effects on the bacterial biomass could be masked by complex trophic interrelations.

When CO<sub>2</sub>-effects could be detected, bacterial activity and/or abundance changed primarily in dependence on the available quantity and quality of phytoplankton-derived organic matter, which both are considered to be impacted by OA (Sambrotto et al., 1993; Hein and Sand-Jensen, 1997; Riebesell, 2004; Riebesell et al., 2007; Engel et al., 2013; Schulz et al., 2017). An enhancement of primary production has been described as one of the most consistent OA responses, however, a potential impact on phytoplankton production is largely dependent on the prevailing nutrient conditions and phytoplankton community composition (Gruber et al., 2009; Riebesell and Tortell, 2011; Kroeker et al., 2013; Schulz et al., 2017; Alvarez-Fernandez et al., 2018). Thereby, differences in CO<sub>2</sub> related physiology between phytoplankton taxa can lead to differences in their ability to utilize CO<sub>2</sub>, influencing the competitive fitness between phytoplankton and impacting on population growth and phytoplankton community composition (Riebesell, 2004; Kroeker et al., 2013; Dutkiewicz et al., 2015; Pardew et al., 2018). Yet the precise mechanisms are unresolved, changes in carbon concentrating mechanisms (CCM) or photorespiration are potential mechanisms suggested to cause impacts on phytoplankton energy fluxes (Hennon et al., 2017). In general, dinoflagellates and prymnesiophytes including calcifying coccolithophores have been suggested to respond negatively to OA, whereas diatoms, diazotrophic cyanobacteria and small-sized picoeukaryotes are usually favoured (e.g Kroeker et al., 2013; Dutkiewicz et al., 2015; Hennon et al., 2017; Schulz et al., 2017). However, responses across taxa within phytoplankton groups and especially the response in growth and N<sub>2</sub>-fixation rate of diazotrophs does not seem to be uniform (Eichner et al., 2014). Although there are still uncertainties about metabolic phytoplankton responses to OA, it is expected that the impact of OA on the metabolic rates of primary producers will also impact their chemical composition. Thus, OA effects on the chlorophyll *a* content per cell, the phytoplankton fatty acid content and composition or the partitioning of C:N:P have been reported to potentially alter POM/DOM stoichiometry and food-availability for primary and secondary heterotrophic consumers (Hutchins et al., 2009; Riebesell and Tortell, 2011; Hennon et al., 2017; Wang et al., 2017b). Although a comparison of OA studies on natural plankton assemblages by Hutchins et al. (2009) found no general response pattern of POM, C:N or N:P stoichiometry with *p*CO<sub>2</sub>, single studies reveal large potential shifts in future global C:N or N:P export stoichiometry (Engel et al., 2005; Riebesell et al., 2007).

All mentioned impacts of OA on marine plankton will likely change community structure and functional behaviour of different plankton in the pelagic food-web. Potential impacts on

production and growth balance of heterotrophic bacteria are expected (e.g. Motegi et al., 2013). The inconsistency in reported results at different levels of complexity and environmental settings, however, imply that indirect chemical effects on bacterial processes can result in apparently different consequences of acidification. Although it has been recognized that, in particular, different aspects of OA might change in response to nutrient conditions, surprisingly little is known how OA affects the structure of the microbial food chain when nutrient concentrations are low or even limiting for plankton production. However, this is an important factor that has to be considered in predictions on effects of OA, since large parts of the oceans are oligotrophic or experience extended periods of time at low nutrient conditions.

#### 1.4.4 Potential impact of summer storm events on heterotrophic bacteria within lake plankton communities

During the summer and winter stratification period the simultaneous change in temperature and freshwater density with depth separates typical temperate, dimictic lakes in two to three distinct thermal strata that usually do not mix (e.g. Imberger, 1985; Schwoerbel, 1999). As the temperature of maximum density for freshwater is 4°C, mixing typically occurs only twice a year during the spring and fall when the water temperature throughout the water column is about 4°C. In the absence of any temperature or density difference, wind energy is sufficient to overturn the lake water mass. During winter such lakes are usually covered by ice, forming a warmer bottom water of about 4°C (hypolimnion) which is separated from colder surface waters (epilimnion) by temperature-derived density differences. After the spring overturn and with increasing water temperatures, a warmer epilimnion forms on top of the colder hypolimnion, separated by the metalimnion, a layer with a strong temperature and density gradient (Monismith and MacIntyre, 2009; Read et al., 2011). Mostly synonymously used to the term metalimnion, the thermocline specifies the depth of the mixed layer ( $z_{\text{mix}}$ ) and is defined as the depth with the maximal temperature gradient (Hutchinson, 1975). During summer stagnation wind forcing is usually only sufficient to cause a circulation and transport of thermal energy within the upper well-mixed epilimnic region. Thereby, the resistance to mechanical mixing (described, e.g. by buoyancy, Schmidt stability, Brunt-Väisälä frequency or Wedderburn Number) and on the contrary wind stress and convection as destratifying forces determine the epilimnion thickness (Schmidt, 1928; Hutchinson, 1975; Idso, 1973; Thompson and Imberger, 1980; Gorham and Boyce, 1989; Imberger and Patterson, 1990; Schwoerbel, 1999; Read et al., 2011; Winslow et al., 2016). The latter depends mainly on lake surface area, lake orientation, the relationship between lake length and width or specific catchment properties (e.g. forestation, relief) (Patalas, 1984; Gorham and Boyce, 1989; Schwoerbel, 1999). With increasing surface water temperature the resistance to mechanical mixing increases, preventing vertical transfer between bottom and surface waters, thus controlling in-lake vertical fluxes of dissolved and particulate material (Robertson and Imberger, 1994;

Aeschbach-Hertig et al., 2007). Consequently, the vertical partitioning of the water column and its resulting consequences for the availability of nutrients, light and substrates has important implications for the vertical distribution, community composition, activity, migration, and feeding of different autotrophic and heterotrophic plankton (Read et al., 2011). With increasing duration of the stratification period, this often results in a nutrient rich but light-limited meta-/hypolimnion, and a rich in light but nutrient-poor epilimnion (MacIntyre et al., 1999). Further, the decline in PAR with depth increases the contribution of heterotrophic to autotrophic processes, thus increasing meta-/hypolimnic concentration of CO<sub>2</sub> and changing redox conditions.

Summer storms have the potential to perturb this thermal stratification, disrupting the thermocline and deepening the mixed layer (Tsai et al., 2008; Jennings et al., 2012; Klug et al., 2012; Kuha et al., 2016; Kasprzak et al., 2017; Giling et al., 2017a; Perga et al., 2018). Additional mixing during summer thus acts as an unusual extreme ecosystem disturbance for summer communities that happens on comparable short time scales compared to the seasonal mixing with gradual cooling of the epilimnion and periodic entrainment of these waters into the hypolimnion (Shade et al., 2012b). Especially, when meta- and hypolimnic water masses are eroded by the mixing event, communities that are separated over several months and adapted to respective conditions in the hypo-, meta- or epilimnion are eventually mixed together and experience new vertical gradients of environmental conditions that are created by mixing. Mixing by summer storms often leads to an intrusion of colder metalimnic and eventually hypolimnic water into the surface layer, which (i) decreases the surface layer water temperature and increases the thickness of the mixed layer, (ii) redistributes meta-/hypolimnic organisms throughout the whole mixed layer, and (iii) adds nutrient- (P,N) and CO<sub>2</sub>-rich meta-/hypolimnic water to the upper mixed layer. Physical and chemical properties of the water column can be changed for several days with potential long-term effects on spatial distribution, composition and functionality of organisms (e.g. Jennings et al., 2012; Kasprzak et al., 2017). However, possible biological responses are also largely dependent on the terrestrial-aquatic coupling between lake and the catchment (i.e. surface runoff, flood pulse) and a potential resuspension of sediment material into the water column (Battoe, 1985; Weyhenmeyer et al., 2004; Arvola et al., 2006; Jennings et al., 2012; Sadro and Melack, 2012; de Eyto et al., 2016). The additional input of DOM might be an important factor controlling organism physiology when considering lakes with a small volume or a short residence time (Rantakari and Kortelainen, 2005; Ojala et al., 2011; Vachon and del Giorgio, 2014). Small lakes usually experience a reduction in PAR due to the terrestrial DOC input or sediment resuspension (Chrost and Riemann, 1994; Carpenter et al., 1998; Cole et al., 2000; Houser, 2006; Tsai et al., 2008; Blottière et al., 2017). In combination with the increased disturbance, summer storms have the capacity to shift small lakes towards an increased degree of heterotrophy by negatively impacting autotrophic organisms (Battoe, 1985; Jennings et al., 2012). In contrast, large volume, deep, clear-water lakes, like Lake Stechlin, are usually internally regulated even after intense precipitation events (Rantakari and Kortelainen, 2005; Ojala et al., 2011;

Vachon and del Giorgio, 2014). Here, mixing might expose organisms from deeper thermal strata to higher levels of PAR (Giling et al., 2017a). In combination with an increase in inorganic carbon and nutrient availability, thus, mixing has been shown to usually fuel phytoplankton production in the phototrophic zone by releasing organisms from nutrient and light limitation (Weithoff et al., 2000; Jennings et al., 2012; Giling et al., 2017a).

Especially, when a deep chlorophyll maximum (DCM) is eroded by deeper mixing, large amount of biomass can be allocated to the mixed layer, stimulating phytoplankton blooms (Kasprzak et al., 2017). Frequently, deep chlorophyll maxima (DCM) are associated to the thermocline in deep lakes, a situation also occurring regularly in Lake Stechlin during the summer stratification period (Fee, 1976; Moll and Stoermer, 1981; Gervais et al., 1997; Leach et al., 2017). DCM contain high amounts of biomass, concentrated in a certain depth depending on the availability of light and the vertical nutrient gradients between epi- and hypolimnion that establish with thermal stratification, and may contribute substantially to whole-lake metabolism making up to 50 % of GPP and 60 % of ER (Giling et al., 2017b). DCM are typically dominated by diatoms, dinoflagellates, cryptophytes or less frequently cyanobacteria, but can also contain large amounts of mixotrophs, heterotrophic protozoans and up to 10-times higher bacterial biomass compared to the surrounding water (Leach et al., 2017). In July 2011, such a phytoplankton bloom development was observed subsequent the exceptional summer storm 'Otto' after disrupting a DCM and distributing the organisms into the mixed layer (Kasprzak et al., 2017). The storm caused a pronounced cyanobacterial bloom of *Dolichospermum* sp. in clear Lake Stechlin, triggering a cascade of processes towards extreme turbidity never observed before within three decades of lake monitoring (Kasprzak et al., 2017). Also in the Irish deep Lake Leane such a cyanobacteria bloom development of *Dolichospermum flos-aquae* (sensu *Anabaena flos-aquae*) could be observed (Jennings et al., 2012), but also biomass increases and bloom formations of green algae, in particular chlorophytes and cryptophytes, or diatoms have been reported to follow thermocline deepening, lake mixing or storm events (Huisman et al., 2004; Cantin et al., 2011; Jennings et al., 2012).

Changes in the turbulence structure and vertical physical-chemical gradients of lakes by storm events may not only impact phytoplankton productivity, but also induce major shifts in the species composition of phytoplankton communities (Klausmeier and Litchman, 2001; Huisman et al., 2004; Giling et al., 2017a). Models of mixed water columns suggest that the light-to-nutrient ratio is an important determinant of phytoplankton dynamics (Huisman and Weissing, 1995; Diehl, 2002). Also, the plankton sinking velocity, capability in nutrient uptake and storage, or mechanisms for grazing defence are important traits, determining phytoplankton species competition that can be altered directly, or indirectly through food-web interrelations by effects of mixing (Sommer, 1988; van Donk and Hessen, 1993; Huisman and Weissing, 1995; Huisman et al., 1999; Klausmeier and Litchman, 2001; Ptacnik et al., 2003; Jäger et al., 2010; Huisman et al., 2004; Cantin et al., 2011; Sarnelle, 2005). Huisman et al. (2004) suggest that species with the lowest critical light intensity should



competitively exclude all other species during mixing (Huisman and Weissing, 1994; Weissing and Huisman, 1994). Here, in particular cryptophytes and chrysophytes might benefit from a deeper thermocline because of their low light-to-nutrient needs (Ptacnik et al., 2003; Cantin et al., 2011). Further, Arvola et al. (2006) reports on a wash-out of the smallest fraction of plankton during high turbulence.

Although episodic weather-related events have long been recognised to impact vertical physico-chemical gradients and phytoplankton development in lakes, there are only a few studies that specifically address also impacts on heterotrophic bacterial processes, biomass development, and community assembly (Weithoff et al., 2000; Jones et al., 2008; Shade et al., 2010a; b, 2011, 2012b; Jones et al., 2013; Blottière et al., 2017) or focus on metabolic community responses that give an indication on heterotrophic bacterial activity (Vachon and del Giorgio, 2014; Giling et al., 2017a; Perga et al., 2018). In particular, for large and deep clear-water lakes possible consequences for the development of heterotrophic bacteria in response to mixing and to potential mixing-related alterations in phytoplankton development are largely unknown.

Shade et al. (2010a) investigated the influence of post-mixing environmental conditions and biotic interactions on BCC and incubated waters from the epilimnion, hypolimnion and a mixture of both within the epi- and hypolimnion. The authors wanted to know how robust lake bacteria from each thermal strata are to lake mixing (Shade et al., 2010a). Usually, in temperate lakes, bacterial communities follow a seasonal trajectory with distinct communities that are present during summer stratification in each thermal strata and communities occurring during regular spring or fall mixing (Yannarell et al., 2003; Allgaier and Grossart, 2006; Shade et al., 2007, 2008, 2010b; Rösel et al., 2012). In particular, temperature and oxygen concentration, but also the availability of nutrients and the quantity and quality of phytoplankton-derived DOM/POM have been described as key drivers of vertical distribution of bacterial activity and community composition across seasons (Shade et al., 2007, 2008; Rösel et al., 2012; Rösel and Grossart, 2012). Thus, during the stratification period, communities adapted to lower temperatures and oxygen concentrations are present in the hypolimnion, separated from epilimnic communities that experience higher temperatures and oxygen concentrations but may also preferentially be associated to specific phytoplankton (Shade et al., 2007, 2008; Rösel et al., 2012; Rösel and Grossart, 2012). However, the transplant experiment performed by Shade et al. (2010a) revealed, that approximately 30 % of all bacterial operational taxonomic units (OTU) are generalists that can occur in both the epi- as well as hypolimnic layer and only 6 % of epilimnic and 4 % of hypolimnic OTUs were specialists, that could only survive in their respective stratification layer. Additional 9 % and 15 % of OTUs had a preference for either the epilimnion or hypolimnion, respectively (Shade et al., 2010a). Although there was a high contribution of generalist OTUs and a strong influence of the inocula composition in a community's response to transplant, Shade et al. (2010a) observed responses in both epilimnic and hypolimnic communities to mixing that were modulated by the local water

conditions in epi- and hypolimnion. Thus, hypolimnion communities incubated in the epilimnion changed more than those incubated in their ambient hypolimnion and epilimnion-incubated communities were generally more different than hypolimnion-incubated ones (Shade et al., 2010a). Also, the high number of generalist OTUs imply that no matter where the generalist OTUs are transported during mixing, some may be serving as pioneer species during the post-mixing re-stratification (Shade et al., 2010a). To determine the stability of microbial communities after a large summer mixing disturbance event, Shade et al. (2012b) conducted a follow-up whole-ecosystem manipulation during peak summer thermal stratification in a shallow humic lake. Thereby, stability describes the general capacity to return to equilibrium after a perturbation, and includes components of resistance, recovery and resilience (Pimm, 1984; Shade et al., 2011, 2012a; Allison and Martiny, 2008). Resistance is the degree to which the community composition remains unchanged in the face of a disturbance, recovery is the community's ability to return to its pre-disturbance composition or function, and resilience describes the rate at which the microbial composition returns to its original composition after being disturbed (Pimm, 1984; Shade et al., 2011, 2012a; Allison and Martiny, 2008). In their experiment, Shade et al. (2012b) observed that environmental bacterial communities were not resistant to summer mixing, but were highly resilient. A similar pattern was also observed after different episodic mixing events, including typhoons and hurricanes (Yannarell et al., 2007; Jones et al., 2008; Shade et al., 2010b).

Irrespective of changes in community assembly and stability of bacterial communities after water column mixing the question arises how such disturbance events might also impact the activity and biomass of heterotrophic bacteria. Given the central role of bacteria in ecosystem processes, alterations in bacterial production, respiration or enzymatic capacity to degrade substrates have the potential to impact the balance of autotrophic to heterotrophic processes and might consequently change the functioning of a lake as C source or sink to the atmosphere. In a coastal marine ecosystem Chrost and Riemann (1994) observed a short-term increase of aminopeptidase and  $\beta$ -glucosidase activities and bacterial secondary production following a simulated storm in enclosures, however, a perturbation and resuspension of sediments strongly affected the kinetic parameters of both enzymes. Weithoff et al. (2000) and Blottière et al. (2017) monitored only the bacterial abundance development after artificial mixing in mesocosm facilities deployed in shallow to medium shallow eutrophic systems. Whereas Blottière et al. (2017) observed no change in bacterial abundance, the experiment performed by Weithoff et al. (2000) revealed an increase of bacterial abundance following mixing. During the typhoon season, Tsai et al. (2008) report a decrease of gross primary production and ecosystem respiration by approximately 50 % and 25 %, respectively, for a shallow subtropical humic lake. Also, de Eyto et al. (2016) and Perga et al. (2018) observed a decrease in primary production and an associated increase in heterotrophy in a humic Irish lake after an extreme precipitation event as well as an alpine lake after storm events, respectively. However, all observations are related to studies in eutrophic, humic, or shallow systems or associated with high turbidity input from the

watershed. So far, only Kasprzak et al. (2017) and Giling et al. (2017a) report on metabolic consequences of summer storms in deep, clear-water lakes, but with focus on phytoplankton production. Both studies observed an increase in primary production as a result of increased PAR and nutrients associated to storm events, however, consequences for heterotrophic bacterial activity and biomass development remain unresolved (Kasprzak et al., 2017; Giling et al., 2017a).

## 1.5 Objectives and hypotheses

Human impact on the environment has initialized a new epoch, termed 'Anthropocene', with mankind being the major environmental force in creating impacts in the Earth System that are summarized as 'climate change' (Crutzen, 2002; Lewis and Maslin, 2015). While the impact on the abiotic environment can reasonable been projected, potential consequences for organisms and their functioning in aquatic ecosystems are largely unknown. Especially processes maintained by heterotrophic bacteria are often neglected, although they possess a vital ecological role in maintaining nutrient cycles or channelling energy to higher trophic levels. The main objectives of this dissertation are therefore to assess **(1) how and to what extent heterotrophic bacterial processes might be impacted with ongoing climate change** and **(2) how potential climate change-driven alterations in bacterial C processing might affect carbon cycling in aquatic ecosystems**. Due to the vast amount of potential climate change impacts on diverse aquatic ecosystems, this thesis focuses on the impacts of (1) ocean acidification and (2) severe storm events, which are expected to shift in frequency, severity or timing with ongoing climate change, based on results from four large-volume mesocosm experiments that were performed in marine and lake ecosystems. The evaluation of scientific knowledge on both research topics identified existing knowledge-gaps and generated so far unresolved research questions and deduced hypotheses which are subsequent pointed out in detail.

### ***1) Assessing the impact of OA on DOM processing by marine heterotrophic bacteria***

Previous studies on the impact of OA on single plankton species and plankton assemblages have given a first insight into how phytoplankton C production and subsequent bacterial C transformation might be affected. In principal, autotrophs should be fertilised by an enhanced CO<sub>2</sub> availability, increasing the production of POM and DOM (Hein and Sand-Jensen, 1997; Riebesell et al., 2007) and subsequently enhancing bacterial C transformation (Grossart et al., 2006a; Piontek et al., 2010). However, previous experiments assessed mostly the impact of OA at nutrient replete conditions, i.e. during productive phases or following nutrient additions, to stimulate plankton production. Surprisingly little is known about how OA affects the physiology of heterotrophic bacteria or the coupling of heterotrophic bacteria to phytoplankton when nutrient concentrations are low or even limiting for plankton production.

We wanted to fill this knowledge gap and assessed the impact of OA on a Baltic Sea coastal plankton community in July-August after the nominal spring bloom during low-nutrient conditions using pelagic mesocosms which were manipulated with a gradient of CO<sub>2</sub> concentrations extending from present to future conditions. **Chapter 2** presents bacterial production and biovolume dynamics and evaluates consequences of OA for the bacteria-phytoplankton coupling at low-nutrient conditions. **Chapter 3** gives an overview of the biogeochemical pools and fluxes during this mesocosm study and estimates the heterotrophic bacterial contribution to changes in C pool and C flux sizes in respect to increasing concentrations of CO<sub>2</sub>. Thereby the following research questions (RQ) and hypotheses (H) have been addressed:

**RQ1:** *Does OA affect heterotrophic bacterial production when phytoplankton production is low or even limited by nutrients?*

**H1:** *OA will not stimulate heterotrophic bacterial production at low-nutrient concentrations. (Chapter 2)*

**RQ2:** *Does OA impact the C budget of a coastal summer plankton community by affecting the size of heterotrophic bacterial pools and fluxes? Thus, has OA the potential to stimulate C-export by reducing bacterial mineralization processes at low nutrient concentrations?*

**H2:** *OA will not affect the C-budget due to a stimulation of heterotrophic bacterial processes, thus potentially increasing C-export, at low-nutrient concentrations. (Chapter 3)*

After evaluating quantitative consequences of OA for bacterial C cycling at low-nutrient conditions, **Chapter 4** analyses how potential OA-induced changes in bacterial activity relate to qualitative changes in DOM at different nutrient conditions. The production and transport of recalcitrant and semi-labile organic matter maintain the biological carbon pump and determine C export from the surface ocean to the ocean interior (Volk and Hoffert, 1985). Why these molecules resist degradation in the surface ocean where heterotrophic microbes are often limited by substrate and nutrient availability remains unresolved so far (e.g. reviewed in Dittmar and Stubbins, 2014). However, as a substantial and temporally stable component of DOM, semi-labile DOM affects the overall rate of C turnover in the oceans, thus illuminating its composition and identifying impacts on metabolic pathways that can degrade it are important for predictive understanding of carbon sequestration (Moran et al., 2016). So far, OA experiments monitored mainly bulk DOC concentrations by neglecting the quality of the DOM pool or only resolved POM/DOM stoichiometry (Czerny et al., 2013a; Engel et al., 2013). Reasons might be that experiments seeking to measure changes in DOM quality are hampered by inherently low rates of net C turnover and analytical techniques that provide limited structural resolution of resistant molecules. Therefore, we compared two mesocosm studies performed at oligotrophic (Gando Bay, Gran Canaria, Spain) and eutrophic (Gullmarfjord, Sweden) nutrient conditions and related cumulative BPP rates to qualitative changes in DOM by using FT-ICR-MS data. We intended to examine the effect of

OA on how bacterial activity determines the accumulation of semi-labile DOM in the surface ocean.

**RQ3:** *Do the effects of OA translate differently in the transformation of semi-labile/refractory DOM by heterotrophic bacteria depending on the nutrient conditions?*

**H3:** *Under oligotrophic compared to eutrophic conditions OA effects channel more of primary production via the microbial loop into the more recalcitrant DOM pool.*  
**(Chapter 4)**

## ***II) Assessing the impact of severe storm events on heterotrophic bacteria in stratified lake ecosystems***

Future shifts in frequency, severity or timing of storms are an important component of climate change. Observations in lakes indicate that water-column mixing by storms can have pronounced ecosystem-scale consequences impacting plankton dynamics, community assembly and thus C and nutrient cycling. Changes in physical and chemical environmental conditions and a potential increase in phytoplankton production can be expected, as observed for the exceptional summer storm “Otto” that impacted Lake Stechlin in July 2011 (Kasprzak et al., 2017). However, the underlying mechanisms that change community assembly and activity of heterotrophic bacteria have not been rigorously assessed in ecosystem-level experiments. To better understand the ecological mechanisms of storm-induced short-term disturbances in stratified lakes, we experimentally assessed the following research questions and hypotheses related to water-column mixing in summer 2014 by using the LakeLab mesocosm-facility in oligo-mesotrophic Lake Stechlin ([www.lake-lab.de](http://www.lake-lab.de)) **(Chapter 5):**

**RQ4:** *Does water-column mixing change bacterial community composition and activity? How do environmental conditions and biotic interactions drive post-mixing compositional changes and activity of heterotrophic bacteria?*

**H4:** *Artificial mixing does change heterotrophic bacterial community composition and increases metabolic heterotrophic processes due to enhanced autotrophic productivity.* **(Chapter 5)**

**RQ5:** *How resistant/resilient are the bacterial community composition and activity to artificial water-column mixing? How long will a potential recovery of the bacterial community composition and activity to control-conditions take? Are the control-conditions after the potential recovery comparable to pre-mixing conditions?*

**H5:** *The bacterial community is not resistant, but resilient to artificial water-column mixing.* **(Chapter 5)**

**RQ6:** *Do changes in the activity of heterotrophic bacteria relate to changes in C export?*

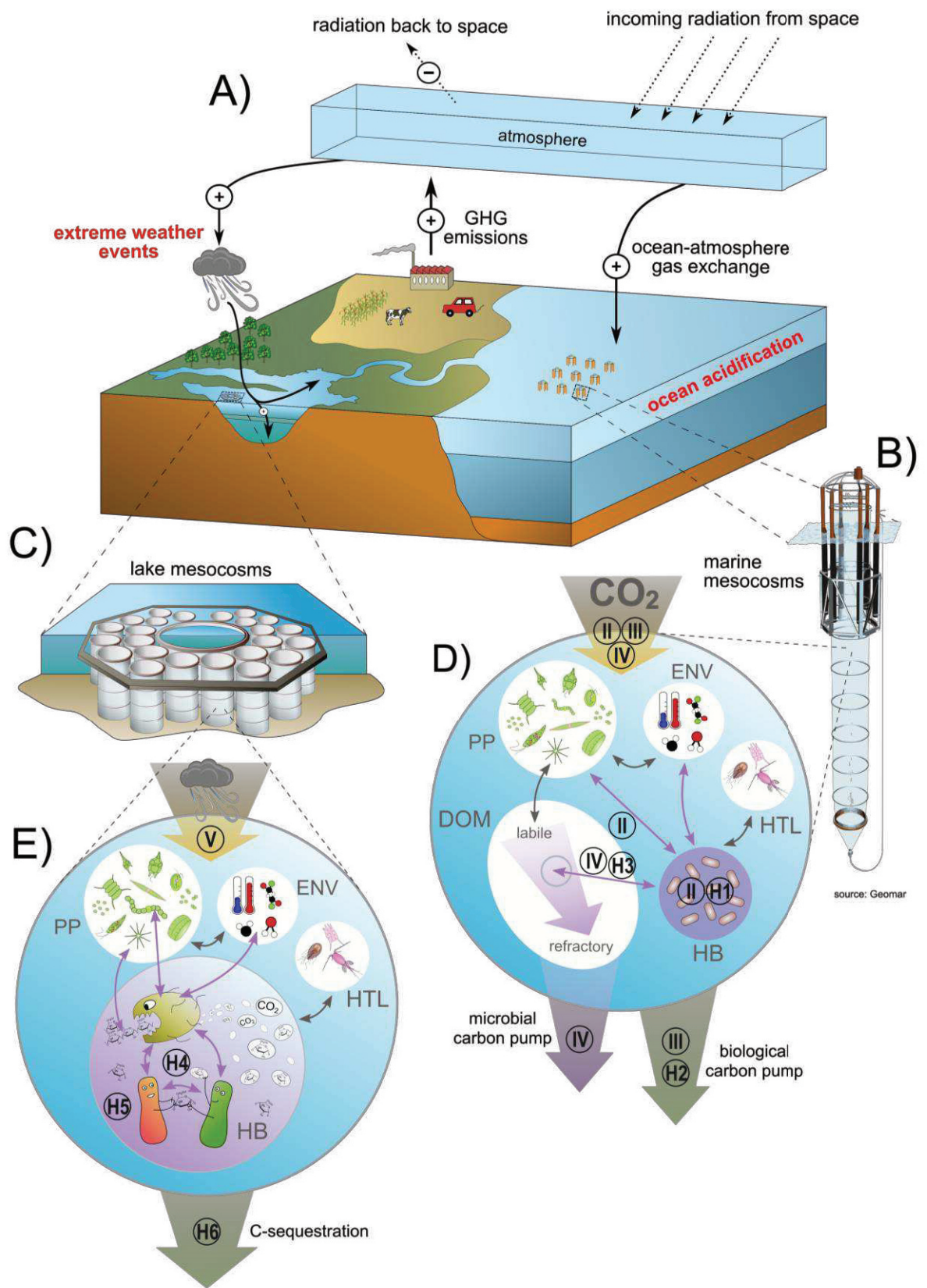
**H6:** *Changes in heterotrophic bacterial activity alter C export.* **(Chapter 5)**

The following chapters present results of two lead author manuscripts (Chapters 2 and 5) and two co-author manuscripts (Chapters 3 and 4) which address the topic-specific hypotheses, however, this thesis also refers to several co-author publications (listed in the publication record) that are particularly relevant. The thesis concludes with a comprehensive synthesis, which discusses the results of the different chapters and embeds them into current knowledge on climate change.

**Figure 3: Graphical summary.**



**A)** The increase in atmospheric GHG concentrations, commonly referred to as 'climate change' (CC), impacts on various Earth system processes (IPCC, 2013b). As such, the change in atmospheric GHG concentrations decreases the radiation back to space affecting Earth surface temperature and climate. Hence, more frequent and intense extreme weather events are expected (Trenberth, 2012; IPCC, 2013b). Further, the increase in atmospheric CO<sub>2</sub> concentration causes ocean acidification via enhanced ocean-atmosphere gas exchange and associated dissolution of CO<sub>2</sub> into the surface ocean (Raven et al., 2005). Both aspects of CC were studied in ecosystem-level, large-volume mesocosm experiments by using **B)** the Kiel Off-shore Mesocosms for Future Ocean Simulations (KOSMOS) at different coastal marine locations and **C)** the LakeLab in Lake Stechlin ([www.lake-lab.de](http://www.lake-lab.de)). This doctoral thesis evaluates, how **D)** ocean acidification in coastal marine ecosystems and **E)** artificial mixing (i.e., simulation of an episodic summer storm event) in lake ecosystems impact community composition and function of pelagic heterotrophic bacteria in both ecosystems. 'Purple' arrows and boxes refer to bacterial processes and food web interactions which were studied in detail. Roman numerals II-IV highlight the chapters of this thesis. H1-H6 refer to specific hypothesis (see section 1.5). ENV-environmental variables (e.g. water chemistry, temperature, PAR); DOM-dissolved organic matter; HB-heterotrophic bacteria; HTL-higher trophic levels (e.g. zooplankton); PP-phytoplankton.







## 2. Chapter 2 - Manuscript 1

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# Ocean acidification impacts bacteria–phytoplankton coupling at low-nutrient conditions

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## Ocean acidification impacts bacteria–phytoplankton coupling at low-nutrient conditions

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**Abstract.** The oceans absorb about a quarter of the annually produced anthropogenic atmospheric carbon dioxide (CO<sub>2</sub>), resulting in a decrease in surface water pH, a process termed ocean acidification (OA). Surprisingly little is known about how OA affects the physiology of heterotrophic bacteria or the coupling of heterotrophic bacteria to phytoplankton when nutrients are limited. Previous experiments were, for the most part, undertaken during productive phases or following nutrient additions designed to stimulate algal blooms. Therefore, we performed an in situ large-volume mesocosm (~55 m<sup>3</sup>) experiment in the Baltic Sea by simulating different fugacities of CO<sub>2</sub> (*f*CO<sub>2</sub>) extending from present to future conditions. The study was conducted in July–August after the nominal spring bloom, in order to maintain low-nutrient conditions throughout the experiment. This resulted in phytoplankton communities dominated by small-sized functional groups (picophytoplankton). There was no consistent *f*CO<sub>2</sub>-induced effect on bacterial protein production (BPP), cell-specific BPP (csBPP) or biovolumes (BVs) of either free-living (FL) or particle-associated (PA) heterotrophic bacteria, when considered as individual components (univariate analyses). Permutational

Multivariate Analysis of Variance (PERMANOVA) revealed a significant effect of the *f*CO<sub>2</sub> treatment on entire assemblages of dissolved and particulate nutrients, metabolic parameters and the bacteria–phytoplankton community. However, distance-based linear modelling only identified *f*CO<sub>2</sub> as a factor explaining the variability observed amongst the microbial community composition, but not for explaining variability within the metabolic parameters. This suggests that *f*CO<sub>2</sub> impacts on microbial metabolic parameters occurred indirectly through varying physicochemical parameters and microbial species composition. Cluster analyses examining the co-occurrence of different functional groups of bacteria and phytoplankton further revealed a separation of the four *f*CO<sub>2</sub>-treated mesocosms from both control mesocosms, indicating that complex trophic interactions might be altered in a future acidified ocean. Possible consequences for nutrient cycling and carbon export are still largely unknown, in particular in a nutrient-limited ocean.

## 1 Introduction

Since the industrial revolution the oceans have absorbed ca. one half of anthropogenic carbon dioxide ( $\text{CO}_2$ ). This has resulted in a shift in carbonate equilibria and pH (e.g. Caldeira and Wickett, 2003) with potential consequences for organismal physiology (Taylor et al., 2012). In principal, autotrophs should be fertilised by an enhanced  $\text{CO}_2$  availability, increasing the production of particulate (POM) and dissolved organic matter (DOM; Hein and Sand-Jensen, 1997; Riebesell et al., 2007). However, most  $\text{CO}_2$  enrichment experiments studying natural plankton assemblages under variable nutrient conditions do not reveal a consistent response of primary production to elevated  $\text{CO}_2$  (e.g. Engel et al., 2005; Riebesell et al., 2007; Bach et al., 2016). Both amount and stoichiometric composition of algal DOM and POM can be affected by changes in  $f\text{CO}_2$ . For example, Riebesell et al. (2007) and Maat et al. (2014) reported an increased stoichiometric draw-down of inorganic carbon (C) to nitrogen (N) at higher levels of  $f\text{CO}_2$ , most likely as a result from C overconsumption (Toggweiler, 1993).

Heterotrophic bacteria, in oligotrophic systems, are largely dependent on phytoplankton-derived organic carbon (e.g. Azam, 1998), and as such respond to alterations in both the quantity and quality of phytoplankton-derived DOM and POM (e.g. Allgaier et al., 2008; Grossart et al., 2006a). Availability and competition for nutrients, however, can substantially impact  $f\text{CO}_2$ -induced changes in activity and biomass of phytoplankton and subsequently of heterotrophic bacteria. In nutrient-depleted or nutrient-limited systems, bacteria are restricted in their utilization of phytoplankton-derived organic carbon (Hoikkala et al., 2009; Lignell et al., 2008). Consequently,  $f\text{CO}_2$ -dependent increases in inorganic C availability for autotrophs may not stimulate heterotrophic activity, causing a decoupling of heterotrophic and autotrophic processes (Thingstad et al., 2008). The accumulation of bioavailable dissolved organic carbon (DOC) and particulate organic carbon (POC), as a consequence of this decoupling in nutrient-limited oceanic surface waters, may have profound consequences for nutrient cycling and the nature of the oceanic carbon pump (e.g. Thingstad et al., 1997). Given that various studies have reported on limitation of bacterial growth by inorganic nutrients in several parts of the Baltic Sea (e.g. Hoikkala et al., 2009; Kuparinen and Heinänen, 1993), we sought to evaluate the effects of enhanced  $f\text{CO}_2$  on activity and biomass of free-living (FL) as well as particle-associated (PA) bacteria during a period characterized by low nutrients and low productivity.

## 2 Methods

### 2.1 Experimental setup, $\text{CO}_2$ manipulation and sampling

Nine floating, pelagic KOSMOS (Kiel Off-Shore Mesocosms for future Ocean Simulations) mesocosms (cylindrical, 2 m diameter, 17 m long with conical sediment trap extending to 19 m depth) were moored (day  $-10 = t - 10$ ; 10 days before  $\text{CO}_2$  manipulation) at  $59^\circ 51.5' \text{ N}$ ,  $23^\circ 15.5' \text{ E}$ , in the Baltic Sea at Tvärminne Storfjärden on the southwestern coast of Finland on 12 June 2012. Mesocosm bags were rinsed for a period of 5 days, covered on the top and bottom with a 3 mm net to exclude larger organisms. Thereby, the containing water was fully exchanged with the surrounding water masses. Sediment traps were attached to the bottom of each mesocosm at 17 m depth 5 days prior the start of the experiment ( $t - 5$ ). In addition, submerged mesocosm bags were drawn 1.5 m above the water surface, enclosing and separating  $\sim 55 \text{ m}^3$  of water from the surrounding Baltic Sea, and meshes were removed. Mesocosms were covered by a photosynthetic active radiation (PAR) transparent roof to prevent nutrient addition from birds and freshwater input from rain. Additionally, existing haloclines were removed in each mesocosm as described in Paul et al. (2015), thereby creating a fully homogeneous water body.

The experiment was conducted between 17 June ( $t - 5$ ) and 4 August ( $t 43$ ) 2012. To minimize environmental stress on enclosed organisms,  $\text{CO}_2$  addition was performed stepwise over 3 days commencing on day  $t 0$ .  $\text{CO}_2$  addition was repeated on  $t 15$  in the upper mixed 7 m of water to compensate for outgassing. Different  $f\text{CO}_2$  treatments were achieved by equally distributing filtered ( $50 \mu\text{m}$ ),  $\text{CO}_2$ -saturated seawater into the treated mesocosms with a water distributor as described by Paul et al. (2015). Control mesocosms were also manipulated with the water distributor and  $50 \mu\text{M}$  pre-filtered water without  $\text{CO}_2$ .  $\text{CO}_2$  amendments resulted in ca. 0.04–0.35 % increases in the total water volume across mesocosms (Paul et al., 2015). Integrated water samples (0–17 m) were collected from each mesocosm and the surrounding seawater using depth-integrated water samplers (IWS, HYDRO-BIOS, Kiel). Samples for activity measurements were directly subsampled from the IWS on the sampling boat without headspace to maintain in situ  $f\text{CO}_2$  levels during incubation.

Unfortunately, three mesocosms failed during the experiment as a consequence of welding faults, resulting in unquantifiable water exchanges with the surrounding waters. Therefore, with reference to the six remaining mesocosms,  $\text{CO}_2$  concentrations defining each treatment are reported as the mean  $f\text{CO}_2$  determined over the initial 43 days ( $t 1 - t 43$ ) as described in Paul et al. (2015). The control mesocosms (two replicates) had 365 and 368  $\mu\text{atm}$   $f\text{CO}_2$ . The four treatment mesocosms each had 497, 821, 1007 and 1231  $\mu\text{atm}$   $f\text{CO}_2$ . Detailed descriptions on the study site, mesocosm

deployment, system performance of the mesocosm facility throughout the experiment, CO<sub>2</sub> addition, carbonate chemistry, cleaning of the mesocosm bags, as well as sampling frequencies of single parameters are given in Paul et al. (2015).

## 2.2 Physical and chemical parameters

Temperature and salinity were measured using a CTC60M memory probe (Sea and Sun Technology GmbH, Trappenkamp, Germany) and are calculated as the mean integrated over the total depth. Photosynthetic active radiation (PAR) was measured with a PAR sensor (LI-COR LI-192) on the roof of Tvärminne Zoological Station.

Samples for dissolved inorganic carbon (DIC) and total pH were gently pressure-filtered (Sarstedt Filtropur PES, 0.2 µm pore size) using a membrane pump (Stepdos). As described in Dickson et al. (2007), total pH was determined on a Cary 100 (Varian) spectrophotometer in a temperature-controlled 10 cm cuvette using *m*-cresol indicator dye. DIC concentrations were determined by infrared absorption using a LI-COR LI-7000 on an AIRICA system (MARIANDA e.K., Kiel). Total pH and DIC were used to calculate carbonate chemistry speciation using the stoichiometric equilibrium constants for carbonic acid of Mehrbach et al. (1973) as refitted by Lueker et al. (2000).

Samples for dissolved organic carbon (DOC), total dissolved nitrogen (TDN), dissolved silica (DSi) and dissolved inorganic phosphate (DIP) were filtered through pre-combusted (450 °C, 6 h) GF/F filters (Whatman, nominal pore size of 0.7 µm). Concentrations of DOC and TDN were determined using a high-temperature catalytic combustion technique with a Shimadzu TOC-TN V analyser following Badr et al. (2003). DSi concentrations were determined using standard colorimetric techniques (Grasshoff et al., 1983) at the micromolar level with a nutrient autoanalyser (Seal Analytical, QuAAtro). DIP concentrations were determined with a colorimetric method using a 2 m liquid waveguide capillary cell (Patey et al., 2008) with a miniaturized detector (Ocean Optics Ltd).

Total particulate carbon (TPC), particulate organic nitrogen (PON) and total particulate phosphorus (TPP) samples were collected onto pre-combusted (450 °C, 6 h) GF/F filters (Whatman, nominal pore size of 0.7 µm) using gentle vacuum filtration and stored in glass Petri dishes at –20 °C. Biogenic silica (BSi) samples were collected on cellulose acetate filters (0.65 µm, Whatman) using gentle vacuum filtration (<200 mbar) and stored in glass Petri dishes at –20 °C. Filters for TPC/PON analyses were dried at 60 °C, packed into tin capsules and measured on an elemental analyser (EuroEA) according to Sharp (1974), coupled by either a ConFlo II to a Finnigan Delta<sup>Plus</sup> isotope ratio mass spectrometer or a ConFlo III to a Thermo Finnigan Delta<sup>Plus</sup> XP isotope ratio mass spectrometer. Filters for TPP were treated with oxidizing decomposition reagent (MERCK, catalogue no. 112936) to oxidize organic phosphorus to orthophos-

phate. Particulate silica was leached from filtered material. Concentrations of dissolved inorganic phosphate as well as dissolved silica were determined spectrophotometrically according to Hansen and Koroleff (1999).

Samples for chlorophyll *a* (Chl *a*) were filtered on GF/F filters (Whatman, nominal pore size of 0.7 µm) and stored at –20 °C. Chl *a* was extracted in acetone (90%) and samples were homogenized. After centrifugation (10 min, 800 × *g*, 4 °C) the supernatant was analysed on a fluorometer (TURNER 10-AU) to determine concentrations of Chl *a* (Welschmeyer, 1994).

Further details on the determination of physical parameters, concentration of Chl *a*, as well as dissolved and particulate nutrients can be obtained from Paul et al. (2015).

## 2.3 Microbial standing stock

Abundance of free-living (FL) heterotrophic prokaryotes (HP) and photoautotrophic prokaryotic (*Synechococcus* spp.) as well as eukaryotic cells (<20 µm) were determined by flow cytometry (Crawford et al., 2016). Briefly, phytoplankton were discriminated based on their chlorophyll red and/or phycoerythrin orange autofluorescence (Marie et al., 1999). In combination with their side scatter signal and size fractionation, the phytoplankton community could be divided into six clusters, varying in size from 1 to 8.8 µm average cell diameter (Crawford et al., 2016). Three groups of picoeukaryotic phytoplankton (Pico I–III), 1 picoprokaryotic photoautotroph (*Synechococcus* spp., SYN) and 2 nanoeukaryotic phytoplankton groups (Nano I–II) were detected. Biovolume (BV) estimations were based on cell abundance and average cell diameters by assuming a spherical cell shape. The BV sum of *Synechococcus* and Pico I–III is expressed as BV<sub>Pico</sub>. The BV sum of Nano I and II will be referred to as BV<sub>Nano</sub>.

Abundances of FL prokaryotes were determined from 0.5% glutaraldehyde fixed samples after staining with the nucleic acid-specific dye SYBR green I (Crawford et al., 2016). Unicellular cyanobacteria (*Synechococcus* spp.) contributed at maximum 10% of total counts. Two additional groups were identified based on their low (LDNA) and high (HDNA) fluorescence. This identification was based on gating of SYBR green I fluorescence against the side scatter signal (Crawford et al., 2016). Particle-associated (PA) prokaryotes were enumerated by epifluorescence-microscopy on a Leica Leitz DMRB fluorescence microscope with UV- and blue light excitation filters (Leica Microsystems, Wetzlar, Germany). Fresh samples were gently mixed to prevent particle settling and a 15 mL subsample was filtered on a 0.1% Irgalan Black coloured 5.0 µm polycarbonate filter (Whatman, Maidstone, UK; Hobbie et al., 1977). Filters were fixed with glutaraldehyde (Carl Roth, Karlsruhe, Germany; final conc. 2%) and stained for 15 min with 4–6-diamidino-2-phenylindole (DAPI, final conc. 1 µg mL<sup>-1</sup>; Porter and Feig, 1980) directly on the filtration device and rinsed twice with

sterile filtered habitat water before air-drying and embedding in Citifluor AF1 (Citifluor Ltd, London, UK) on a microscopic slide (Rieck et al., 2015). Counts were made based on 15 random unique squares as observed at a magnification of 1000 $\times$ . The total number of heterotrophic PA prokaryotes was enumerated by subtracting Chl *a* autofluorescent cells from DAPI-stained cells (Rieck et al., 2015).

BV of FL and PA prokaryotes was calculated separately. For FL prokaryotes we estimated BVs on the basis of an average cell volume of 0.06  $\mu\text{m}^3$  (Hagström et al., 1979). BV of PA prokaryotes was calculated from measurements of 1600 cells across three different mesocosms (346, 868, 1333  $\mu\text{atm}$ ) and three time points ( $t_0$ ,  $t_{20}$ ,  $t_{39}$ ) throughout the experiment (Massana et al., 1997). A resulting average BV of 0.16  $\mu\text{m}^3$  per cell was used to calculate BV of PA prokaryotes derived from cell abundances. We subsequently adopted the term “heterotrophic bacteria”, since bacteria account for the majority of non-photosynthetic prokaryotes in surface waters (Kirchman et al., 2007).

## 2.4 Metabolic parameters

Rates of bacterial protein production (BPP) were determined by incorporation of  $^{14}\text{C}$ -leucine ( $^{14}\text{C}$ -Leu; Simon and Azam, 1989) according to Grossart et al. (2006a). Triplicates and a formalin-killed control were incubated with  $^{14}\text{C}$ -Leu (213 mCi  $\text{mmol}^{-1}$ ; Hartmann Analytic GmbH, Germany) at a final concentration of 165 nM, which ensured saturation of the uptake systems of both FL and PA bacteria. Incubation was performed in the dark at in situ temperature (between 7.8 and 15.8  $^{\circ}\text{C}$ ) for 1.5 h. After fixation with 2% formalin, samples were filtered onto 5.0  $\mu\text{m}$  (PA bacteria) nitrocellulose filters (Sartorius, Germany) and extracted with ice-cold 5% trichloroacetic acid (TCA) for 5 min. Thereafter, filters were rinsed twice with ice-cold 5% TCA, once with ethanol (50% *v/v*) and dissolved in ethyl acetate for measurement by liquid scintillation counting (Wallac 1414, Perkin Elmer). Afterwards, the collected filtrate was filtered on 0.2  $\mu\text{m}$  (FL bacteria) nitrocellulose filters (Sartorius, Germany) and processed in the same way as the 5.0  $\mu\text{m}$  filters. Standard deviation of triplicate measurements was usually < 15%. The amount of incorporated  $^{14}\text{C}$ -Leu was converted into BPP by using an intracellular isotope dilution factor of 2. A conversion factor of 0.86 was used to convert the produced protein into carbon (Simon and Azam, 1989). Cell-specific BPP rates (csBPP) were calculated by dividing BPP rates by abundances of FL prokaryotes and PA HP.

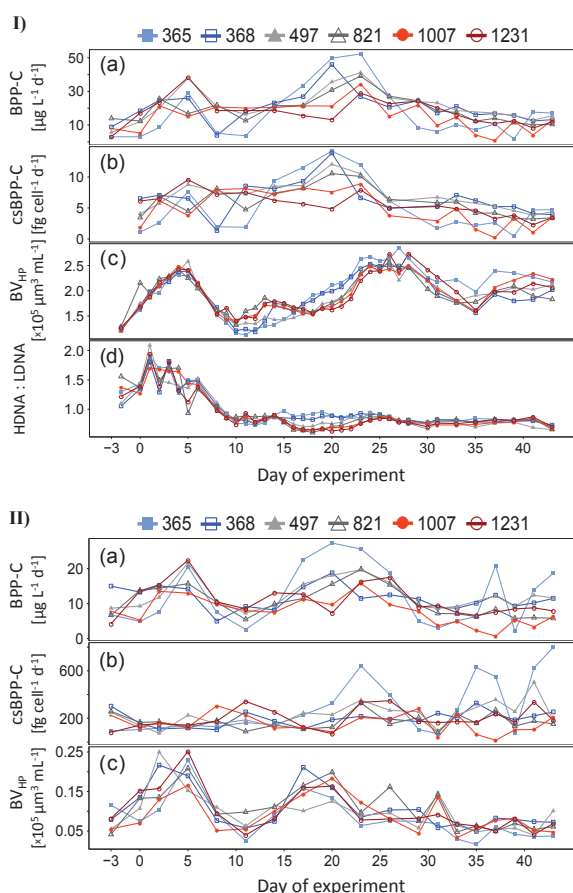
Community respiration (CR) rates were calculated from oxygen consumption during an incubation period of 48 h at in situ temperature in the dark by assuming a respiratory quotient of 1 (Berggren et al., 2012). Thereby, oxygen concentrations were measured in triplicate in 120 mL  $\text{O}_2$  bottles without headspace, using a fiber optical dipping probe (PreSens, Fibox 3), which was calibrated against anoxic and air saturated water.

Primary production (PP) was measured using radio-labelled  $\text{NaH}^{14}\text{CO}_3$  (Steeman-Nielsen, 1952) in 0–10 m depth-integrated samples. After incubation of duplicate samples with 10  $\mu\text{L}$  of  $^{14}\text{C}$  bicarbonate solution (DHI Lab, 20  $\mu\text{Ci mL}^{-1}$ ) in 8 mL vials at 2, 4, 6, 8 and 10 m for 24 h, samples were acidified with 1 M HCl to remove remaining inorganic  $^{14}\text{C}$ . Radioactivity was determined by using a scintillation counter (Wallac 1414, Perkin Elmer). PP was calculated knowing the dark-control corrected  $^{14}\text{C}$  incorporation and the fraction of the  $^{14}\text{C}$  addition to the total inorganic carbon pool according to Gargas (1975). Further details on the measurement of CR and PP are given by Spilling et al. (2016a).

## 2.5 Statistical analyses

Permutational multivariate analysis of variance – PERMANOVA (Anderson, 2001) was used to determine associations between physical/chemical variables and biotic variables. PERMANOVA (perm = 9999) was performed to test for significant differences in variance over time and between  $f\text{CO}_2$ -treated mesocosms (Anderson et al., 2008). Environmental data were normalized according to Clarke and Gorley (2006). Biotic abundance data were  $\log(x + 1)$  transformed (Clarke and Gorley, 2006). PERMANOVA partitions the total sum of squares based on the experimental design and calculates a distance-based pseudo-*F* statistic for each term in the model. Distance-based linear modelling (DistLM) was implemented to relate physical/chemical predictor variables and the multivariate assemblage of biotic variables (Supplement Table S1; Legendre and Anderson, 1999; Anderson et al., 2008). The DistLM routine was based on the AIC model selection criterion (see Anderson et al., 2008) using a stepwise selection procedure. In case of equally AIC-ranked models (difference < 1), a model with fewer parameters was preferred. A Principal Component Analysis (PCA) was performed on normalized chemical data to identify chemical gradients and patterns between the differently  $f\text{CO}_2$ -treated mesocosms over time (Clarke and Gorley, 2006). Distance-based redundancy analysis (dbRDA) was used for visual interpretation of the DistLM in multidimensional space (Anderson et al., 2008). Multivariate analyses of physicochemical, metabolic and community data were performed on a reduced data set comprising 10 time points ( $t_5 - t_{29}$ , every 3 days;  $t_{31}$ ), containing all measured activity variables (BPP, areal PP and CR). Missing values of nutrient data or abundance data (based on every-other-day measurements) were estimated as means of the preceding and following measurement day. In general, no activity data were interpolated or extrapolated.

Cluster analyses were performed based on Spearman's rank correlation coefficient calculated for each mesocosm between all possible combinations of LDNA, HDNA, pico- and nanophytoplankton abundances as well as total Chl *a*. Thereafter, *p* values were corrected for multiple testing ac-



**Figure 1.** I: Free-living (0.2–5.0 μm) and II: particle-associated (> 5.0 μm), size-fractionated rates of (a) bacterial protein production (BPP) [ $\mu\text{g CL}^{-1} \text{d}^{-1}$ ] as well as (b) cell-specific bacterial protein production (csBPP) [ $\text{fg C cell}^{-1} \text{d}^{-1}$ ] and (c) heterotrophic prokaryotic biovolume ( $\text{BV}_{\text{HP}}$ ) [ $\times 10^5 \mu\text{m}^3 \text{mL}^{-1}$ ] during the course of the experiment. I: (d) Ratio of high vs. low nucleic acid-stained prokaryotic heterotrophs (HDNA : LDNA). Colours and symbols indicate average  $f\text{CO}_2$  [ $\mu\text{atm}$ ] between  $t1 - t43$ .

according to Benjamini and Hochberg (1995). The R package pvcust was used to assess the uncertainty in hierarchical cluster analysis (Suzuki and Shimodeira, 2015). For each cluster, AU (approximately unbiased)  $p$  values (between 0 and 1) were calculated via multiscale bootstrap resampling (Suzuki and Shimodaira, 2015).

PERMANOVA, distLM and dbrDA were carried out using Primer 6.0 and PERMANOVA + for PRIMER software (Clarke and Gorley, 2006; Anderson et al., 2008). All other analyses and the visualization of results were performed with R 3.2.5 (R Core Team, 2016) using packages Hmisc (Harrell et al., 2016), vegan (Oksanen et al., 2016), pvcust (Suzuki

and Shimodeira, 2015), gplots (Warnes et al., 2016) and ggplot2 (Wickham, 2009).

### 3 Results

#### 3.1 Bacterial dynamics

Heterotrophic bacterial BV was predominantly comprised of FL bacteria. PA bacteria contributed at maximum  $2 \pm 0.7 - 10 \pm 0.7\%$  (mean  $4.8 \pm 0.6\%$ ) of total bacterial BV. PA bacteria, however, accounted for a substantial fraction of overall BPP ( $27 \pm 1 - 59 \pm 7\%$ , mean  $39 \pm 4\%$ ). There was no significant effect of  $f\text{CO}_2$  on BPP, csBPP or BV of neither FL nor PA heterotrophic bacteria ( $p_{\text{perm}} > 0.05$ ); however, a significant temporal effect was observed ( $p_{\text{perm}} < 0.05$ ). Both bacterial size-fractions had distinct dynamics in abundance, BPP and csBPP during the course of the experiment (Fig. 1). BPP and bacterial abundances were closely related to Chl  $a$  and BV of nano- and picophytoplankton, trending along with Chl  $a$  until  $t10$  and then continuing to increase with BVs of nano- and photoautotrophs and Chl  $a$ . The period between  $t16$  and  $t26$ , following a sharp decrease in Chl  $a$  at  $t16$ , revealed the highest BPP rates across the experiment with lower rates at higher  $f\text{CO}_2$  for PA as well as FL bacteria. CsBPP rates were lower at elevated  $f\text{CO}_2$  for only the FL bacteria during this period. Additionally, BVs of FL and PA bacteria revealed contrasting dynamics (Figs. 1, S1 in the Supplement). PA bacterial BVs declined with the decay of Chl  $a$ , whereas FL bacterial BVs increased strongly associated with an increase in BV of picophotoautotrophs during this period. The ratio of HDNA : LDNA prokaryotes, which both make up FL bacteria, also showed differences between the experimental treatments. Between  $t14 - t25$  the ratio of HDNA : LDNA was lower at higher  $f\text{CO}_2$ .

#### 3.2 Phytoplankton dynamics

Chl  $a$  concentration exhibited distinct maxima at two time periods ( $t5$  and  $t16$ ). The second maximum was associated with an increase in the BV of nanophotoautotrophs ( $\text{BV}_{\text{Nano}}$ , Fig. 2). This increase was reduced in mesocosms containing higher levels of  $f\text{CO}_2$  between  $t13 - t17$ . The differences in  $\text{BV}_{\text{Nano}}$  between the treatments were reflected in lower concentrations of Chl  $a$  in the three highest  $f\text{CO}_2$ -treated mesocosm at  $t16$ . Chl  $a$  and  $\text{BV}_{\text{Nano}}$  concentrations declined after  $t16$ . In contrast, BV of picophotoautotrophs ( $\text{BV}_{\text{Pico}}$ ) increased after  $t11$ , associated with an increase in BV of *Synechococcus* spp., which accounted for  $31 \pm 2$  to  $59 \pm 2\%$  of  $\text{BV}_{\text{Pico}}$  across the period of this study (Fig. S2). All four groups of picoautotrophs distinguished by flow cytometry, exhibited time-dependent positive or negative relationships with  $f\text{CO}_2$  (Figs. 3, S2, S3). The Pico I ( $\sim 1 \mu\text{m}$ ) and Pico II taxa infrequently exhibited strong fertilization effects in response to the  $f\text{CO}_2$  treatment. In contrast, *Synechococcus*

**Table 1.** Results of two-factor permutational multivariate analysis of variance (PERMANOVA)<sup>a</sup> on a resemblance matrix (Euclidian distance) of normalized chemical variables (Phosphate, DOC, TDN, DSi, TPC, PON, POP, BSi). Degrees of freedom (*df*); sum of squares (SS); mean square (MS).

Source of variation	<i>df</i>	SS	MS	Pseudo- <i>F</i>	<i>p</i> (perm)	Unique perms
Time	9	309.93	34.436	11.118	0.0001	9920
<i>f</i> CO <sub>2</sub> <sup>b</sup>	4	31.974	7.9936	2.5808	0.0246	9936
Time $\times$ <i>f</i> CO <sub>2</sub>	36	80.177	2.2271	0.71906	0.8794	9904
Residuals	10	30.973	3.0973			
Total	59	472				

<sup>a</sup> Permutation was performed with unrestricted permutation of raw data. <sup>b</sup> Pairwise test could only be performed for control mesocosms (*n* = 2) with each *f*CO<sub>2</sub> treatment (*n* = 1), due to missing replication for each *f*CO<sub>2</sub> treatment. Pairwise comparison was only significant between controls and the highest *f*CO<sub>2</sub> treatment (*p*<sub>perm</sub> = 0.029).

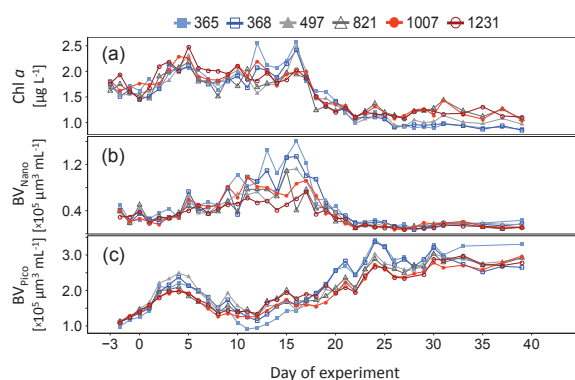
**Table 2.** Eigenvectors and explained variation of the first four axes of a PCA on normalized variables of dissolved and particulate nutrients. Ordination of the PCA is visualized in Fig. 6.

Variable	PC1	PC2	PC3	PC4
DOC	−0.4	−0.23	0.04	0.68
TDN	0.39	0.21	0.21	0.47
Phosphate	−0.1	0.48	−0.74	0.35
DSi	0.3	0.52	−0.03	−0.24
TPC	0.48	−0.06	0.03	0.13
PON	0.46	−0.05	−0.05	0.16
POP	0.36	−0.39	−0.04	0.21
BSi	0.17	−0.51	−0.63	−0.22
% variation	49.2	19.7	11.4	7.2
cum. % variation	49.2	68.9	80.4	87.6

spp. and Pico III were infrequently negatively affected by the *f*CO<sub>2</sub> treatment.

### 3.3 Relation between functional heterotrophic and autotrophic groups

A cluster analysis of pairwise Spearman correlations between functional bacterial and phytoplankton groups revealed a separation based on *f*CO<sub>2</sub> treatment. Specifically the four CO<sub>2</sub>-amended mesocosms were readily distinguishable from the control treatments. Multiple bootstrap resampling (Suzuki and Shimodaira, 2015) supported this, but only significantly for the three highest *f*CO<sub>2</sub>-treated mesocosms. The two highest *f*CO<sub>2</sub> treatments revealed a positive correlation between LDNA bacteria and Pico I, which could not be observed in any other experimental treatment. In all CO<sub>2</sub>-treated mesocosms we observed positive correlations between *Synechococcus* spp. and Pico III as well as *Synechococcus* spp. and Pico I, which were not present in both control mesocosms. In contrast, positive correlations between LDNA and HDNA were not detected in any *f*CO<sub>2</sub> treatment. Additionally, positive correlations between Pico I and Nano II as well as HDNA and Cyanobacteria were only



**Figure 2.** (a) Concentration of chlorophyll *a* [ $\mu\text{g L}^{-1}$ ], (b) biovolume of nanophytoplankton (Nano I and Nano II) [ $\times 10^5 \mu\text{m}^3 \text{mL}^{-1}$ ] and (c) biovolume of picophytoplankton (*Synechococcus* spp., Pico I–III) [ $\times 10^5 \mu\text{m}^3 \text{mL}^{-1}$ ] during the course of the experiment. Colours and symbols indicate average *f*CO<sub>2</sub> [ $\mu\text{atm}$ ] between *t*1 and *t*43.

present in both controls and the lowest *f*CO<sub>2</sub> treatment (Fig. 4).

After *t*10, the ratio between heterotrophic prokaryotic BV and Chl *a* varied between the *f*CO<sub>2</sub> treatments, but did not show a consistent pattern. After *t*17, however, the control mesocosms revealed a higher ratio compared to all *f*CO<sub>2</sub>-treated mesocosms (Fig. 5).

### 3.4 Multivariate physicochemical characterization

Integrated water temperature and PAR ranged between 8.0–15.9 °C and 11.2–66.8 mol m<sup>−2</sup> day<sup>−1</sup> during the experiment respectively. Integrated water temperature reached the maximum at *t*15 and dropped again to 8.2 °C at *t*31.

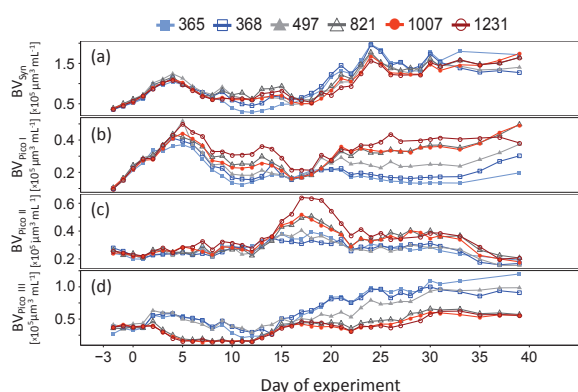
PERMANOVA results (Table 1) on a multivariate assemblage of dissolved (DOC, TDN, Phosphate, BSi) and particulate (TPC, PON, POP, BSi) nutrients showed significant temporal (Time-*F*<sub>9,10</sub> = 11.1, *p* = 0.0001) and spa-



**Table 3.** Results of two-factor permutational multivariate analysis of variance (PERMANOVA)<sup>a</sup> on a resemblance matrix (Euclidian distance) based on normalized metabolic variables (bacterial protein production (BPP), areal primary production (PP) and community respiration (CR)). Degrees of freedom (*df*); sum of squares (SS); mean square (MS).

Source of variation	<i>df</i>	SS	MS	Pseudo- <i>F</i>	<i>p</i> (perm)	Unique perms
Time	9	92.128	10.236	6.73	0.001	9931
$f\text{CO}_2^b$	4	16.044	4.011	2.637	0.023	9944
Time $\times$ $f\text{CO}_2$	36	42.721	1.1867	0.78018	0.792	9904
Residuals	10	15.21	1.521			
Total	59	182.46				

<sup>a</sup> Permutation was performed with unrestricted permutation of raw data. <sup>b</sup> Pairwise test could only be performed for control mesocosms ( $n = 2$ ) with each  $f\text{CO}_2$  treatment ( $n = 1$ ), due to missing replication for each  $f\text{CO}_2$  treatment. Pairwise comparisons were significant between control and all  $f\text{CO}_2$  treatments ( $p_{\text{perm}} < 0.04$ ).



**Figure 3.** (a) Biovolume of *Synechococcus* spp. [ $\times 10^5 \mu\text{m}^3 \text{mL}^{-1}$ ] and (b–d) biovolume of picoeukaryote groups I–III (Pico I–III) [ $\times 10^5 \mu\text{m}^3 \text{mL}^{-1}$ ] during the course of the experiment. Colours and symbols indicate average  $f\text{CO}_2$  [ $\mu\text{atm}$ ] between  $t1$  and  $t43$ .

tial variations along the  $f\text{CO}_2$  gradient ( $f\text{CO}_2\text{-}F_{4,10} = 2.6$ ,  $p = 0.02$ ). PCA ordination of the same chemical dataset strongly reflects the temporal pattern, separating the initial time points before  $t11$  from other time points of the experiment along the first PCA axis (Fig. 6). Thereby, eigenvectors of TPC and PON were highest on PCA axis 1 (Table 2). PCA axis two was mainly characterized by high eigenvectors of dissolved phosphate as well as dissolved and particulate silica. The first two PCA axes explained 69 % of variation, and cumulatively 80 % including axis three (Table 2).

### 3.5 Multivariate characterization of metabolic parameters

PERMANOVA on the resemblance matrix of normalized metabolic variables (BPP, areal PP, CR) revealed significant temporal (Time- $F_{9,10} = 6.7$ ,  $p = 0.0002$ ) and spatial variations along the  $f\text{CO}_2$ -gradient ( $f\text{CO}_2\text{-}F_{4,10} = 2.64$ ,  $p < 0.03$ ) (Table 3). DistLM identified significant effects of temperature ( $p < 0.03$ ), phosphate ( $p < 0.02$ ), DOC

**Table 4.** Summary of a DistLM procedure for modelling the relationship between physicochemical variables and a resemblance matrix based on a multivariate assemblage comprising normalized data of bacterial protein production (BPP), areal primary production (PP) and community respiration (CR). Redundant physicochemical variables were removed prior to analysis. Therefore, PON and pH were excluded from the subsequent analysis due to high correlations ( $r_s > 0.9$ ) to TPC and  $f\text{CO}_2$  respectively.

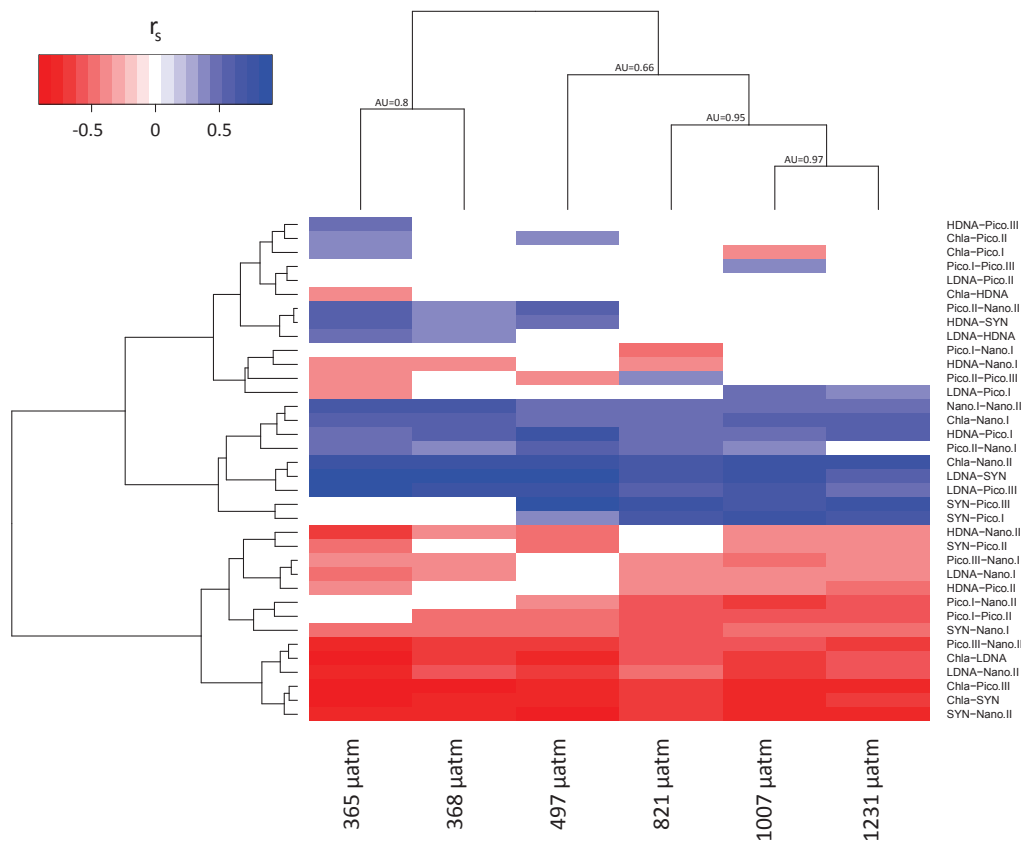
Variable	SS (trace)	Pseudo- <i>F</i>	<i>p</i>	Prop.
$f\text{CO}_2$	5.0551	1.6527	0.1759	0.03
Temp*	10.209	3.4376	0.0229	0.055
PAR*	6.2466	2.056	0.1067	0.034
DOC*	8.6228	2.8769	0.0474	0.047
TDN	4.7628	1.5545	0.1984	0.026
Phosphate*	12.319	4.1994	0.0111	0.068
DSi	0.26167	0.083	0.9648	0.001
TPC	7.7827	2.5842	0.0613	0.004
POP	5.0171	1.6399	0.1818	0.027
BSi	11.688	3.9696	0.0111	0.064

\* Variables selected in stepwise procedure based on AIC.

( $p < 0.05$ ) and BSi ( $p < 0.02$ ) on the multivariate assemblage of metabolic variables (Table 4). The stepwise procedure selects PAR, temperature, DOC and phosphate as determining factors (AIC = 59.6,  $R^2 = 0.26$ , number of variables = 4). The dbRDA ordination separates the temporal development. Thereby, 92 % of the variability in the fitted model and 24 % of the total variation is explained by the first two dbRDA axes (Fig. 6).

### 3.6 Multivariate characterization of the bacterioplankton and phytoplankton community

PERMANOVA on the resemblance matrix of a multivariate assemblage comprising variables of bacterial and phytoplankton communities (abundances of Pico I–III, Nano I–II, FL bacteria (HDNA, LDNA), PA bacteria, *Synechococcus* spp. and Chl *a*) revealed significant tempo-

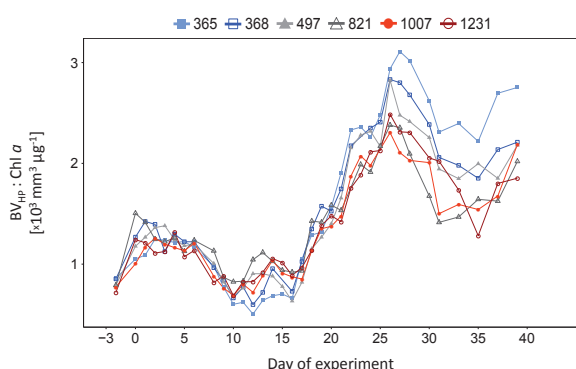


**Figure 4.** Heatmap and cluster analysis based on significant Spearman's rank correlation coefficients calculated for each mesocosm between all possible combinations of abundances between different functional heterotrophic prokaryotic and phytoplanktonic groups (high and low nucleic acid-stained prokaryotic heterotrophs (HDNA : LDNA), *Synechococcus* spp. (SYN), picoeukaryotes I-III (Pico I-III), nanophytoplankton I-II (Nano I-II) and Chl *a* based on daily measurements between  $t1$  and  $t39$ ). Colours indicate the Spearman's  $r_s$  rank coefficient between two variables.  $P$  values of correlations were corrected for multiple testing according to Benjamini and Hochberg (1995). Uncertainty in hierarchical clustering was assessed with multiscale bootstrap resampling using approximately unbiased (AU)  $p$  values (between 0 and 1; Suzuki and Shimodeira, 2015). Clusters of the three highest  $fCO_2$  treatments are significantly different at the 0.05 level. Numbers indicate the  $fCO_2$  treatment with average  $fCO_2$  [ $\mu atm$ ] between  $t1$  and  $t43$ .

ral (Time- $F_{9,10} = 56.8$ ,  $p = 0.0001$ ) and spatial variations along the  $fCO_2$ -gradient ( $fCO_2$ - $F_{4,10} = 14.9$ ,  $p = 0.0001$ ) (Table 5). DistLM identified significant effects of  $fCO_2$  ( $p < 0.02$ ), temperature ( $p < 0.001$ ), phosphate ( $p < 0.003$ ), TPC ( $p < 0.001$ ), BSi ( $p < 0.001$ ) and POP ( $p < 0.001$ ) on the multivariate assemblage of bacterial and phytoplankton communities (Table 6). The stepwise procedure selects  $fCO_2$ , temperature, TPC and phosphate as determining factors (AIC = 67.2;  $R^2 = 0.44$ ; number of variables = 4). The dbRDA reveals a separation along the gradient of  $fCO_2$  on the second dbRDA axis. The first dbRDA axis represents the overall temporal development. Thereby, the first two dbRDA axes capture 74 % of the variability in the fitted model and 32 % of the total variation.

#### 4 Discussion

Although OA and its ecological consequences have received growing recognition during the last decade (Riebesell and Gattuso, 2015), surprisingly little is known about the ecological effects on heterotrophic bacterial biomass or the production and coupling of bacterio- and phytoplankton at nutrient-limited conditions. Previous experiments were, for the most part, conducted during productive phases of the year (e.g. phytoplankton blooms), under eutrophic conditions (e.g. coastal areas) or with nutrient additions (e.g. Grossart et al., 2006a; Allgaier et al., 2008; Brussaard et al., 2013; Bach et al., 2016). However, large parts of the oceans are nutrient limited or experience extended nutrient-limited periods during the year (Moore et al., 2013). Thus, we conducted our



**Figure 5.** Ratio of heterotrophic prokaryotic biovolume and Chl *a* ( $BV_{HP} : Chl\ a$ ) during the course of the experiment. PA  $BV_{HP}$  was interpolated using splines with software R (R Core Team, 2016) for time-points, where no data were available. Colours and symbols indicate average  $fCO_2$  [ $\mu atm$ ] between  $t1$  and  $t43$ .

experiment in July–August, when nutrients and phytoplankton production were relatively low in the northeastern Baltic Sea (Hoikkala et al., 2009; Lignell et al., 2008), and exposed a natural plankton community to different levels of  $CO_2$ .

#### 4.1 Phytoplankton–bacterioplankton coupling at low nutrient conditions

Heterotrophic bacteria are important recyclers of autochthonous DOM in aquatic systems and play an important role in nutrient remineralization in natural plankton assemblages (Kirchman, 1994). BV and production of heterotrophic bacteria are highly dependent on quantity and quality of phytoplankton-derived organic carbon and are usually tightly related to phytoplankton development (e.g. Grossart et al., 2006b; Allgaier et al., 2008). During this study, low nitrogen availability limited overall autotrophic production (Paul et al., 2015; Nausch et al., 2016). This resulted in a post spring bloom phytoplankton community, dominated by picophytoplankton (Paul et al., 2015). This is consistent with previous reports of picophytoplankton accounting for a large fraction of total phytoplankton biomass in oligotrophic, nutrient-poor systems (e.g. Agawin et al., 2000). Chl *a* dynamics indicated two minor blooms of larger phytoplankton during the first half of the experiment, although picophytoplankton still accounted for mostly  $> 50\%$  of the total Chl *a* during this period (Paul et al., 2015; Spilling et al., 2016b). The phytoplankton development was also reflected in the PCA ordination of dissolved and particulate nutrients, clearly separating the preceding period before  $t11$ , including the first peak of Chl *a*, from the other observations during the experiment (Fig. 6). The separation was primarily driven by concentrations of particulate matter (Table 2), which decreased until  $t11$  and subsequently sank out of the water column (Paul et al., 2015).

Bacterial BV and BPP paralleled phytoplankton development during this period. With the decay of the initial phytoplankton bloom, a second bloom event resulted, comprised primarily of nanophytoplankton and picophytoplankton (Crawford et al., 2016). A decrease in nanophytoplankton BV and Chl *a* concentrations after  $t16/t17$  benefited both FL heterotrophic bacteria and picophototrophs. The increased availability of DOM, resulting from cell lysis and remineralization of POM, was associated with increases in the BV of both groups and bacterial production levels (Figs. 1, S1). We attributed these increases to the cells of Picoplankton, which, due to their high volume-to-surface ratio as well as a small boundary layer surrounding these cells, are generally favoured compared to larger cells in terms of resource acquisition at low nutrient conditions (Raven, 1998; Moore et al., 2013). If cell size is the major factor determining the access to dissolved nitrogen and phosphorous, bacteria should be able to compete equally or better with picophytoplankton at low concentrations (Suttle et al., 1990; Drakare et al., 2003). However, when phytoplankton is restricted in growth due to the lack of mineral nutrients, a strong commensalistic relationship between phytoplanktonic DOM production and bacterioplanktonic DOM utilization may evolve (Azam et al., 1983; Bratbak and Thingstad, 1985; Joint et al., 2002). Although heterotrophic microbes may indirectly limit primary production by depriving phytoplankton of nutrients, they would not be able to outcompete autotrophs completely since this would remove their source of substrates for carbon and energy (Bratbak and Thingstad, 1985; Joint et al., 2002). Such a relationship might explain the paralleled increase in FL bacterial and picophytoplankton BV.

PA bacteria are typically impacted to a lesser extent by nutrient limitation due to consistently higher nutrient availability at particle surfaces (e.g. Grossart and Simon, 1993). This was reflected in this study by the maintenance of high csBPP rates associated with PA heterotrophic bacteria throughout the experiment. Overall, PA bacteria contributed only a minor fraction (at maximum  $10 \pm 0.7\%$ ) to the overall bacterial BV, which is typical for oligotrophic or mesotrophic ecosystems (Lapoussière et al., 2011). Nevertheless, their substantial contribution to overall BPP emphasizes their importance, especially during such low productive periods (e.g. Grossart, 2010). PA heterotrophic bacteria are essential for the remineralization of nutrients from autotrophic biomass, which would otherwise sink down from surface waters (Grossart, 2010). Leakage of hydrolysis products and the attachment and detachment of bacteria to and from particles stimulate production amongst free-living bacteria (Smith et al., 1992; Grossart, 2010) and picophytoplankton.

**Table 5.** Results of two-factor permutational multivariate analysis of variance (PERMANOVA)<sup>a</sup> on a resemblance matrix (Bray–Curtis similarity coefficient) based on  $\log(X + 1)$  transformed abundances of Pico I-III, Nano I-II, FL bacteria (HDNA, LDNA), PA bacteria, SYN and Chl *a*. Degrees of freedom (*df*); sum of squares (SS); mean square (MS).

Source of variation	<i>df</i>	SS	MS	Pseudo- <i>F</i>	<i>p</i> (perm)	Unique perms
Time	9	201.83	22.426	56.754	0.0001	9923
$f\text{CO}_2^b$	4	23.631	5.9077	14.951	0.0001	9940
Time $\times$ $f\text{CO}_2$	36	19.859	0.55164	1.396	0.151	9915
Residuals	10	3.9515	0.39515			
Total	59	271.01				

<sup>a</sup> Permutation was performed with unrestricted permutation of raw data. <sup>b</sup> Pairwise test could only be performed for control mesocosms ( $n = 2$ ) with each  $f\text{CO}_2$  treatment ( $n = 1$ ), due to missing replication for each  $f\text{CO}_2$  treatment. Pairwise comparisons were significant between control and all  $f\text{CO}_2$  treatments ( $p_{\text{perm}} < 0.01$ ).

**Table 6.** Summary of a DistLM procedure for modelling the relationship between physicochemical variables and a multivariate assemblage, comprising variables of the bacterial and phytoplankton community. The resemblance matrix (Bray–Curtis similarity coefficient) was based on  $\log(X + 1)$  transformed abundances of Pico I-III, Nano I-II, FL bacteria (HDNA, LDNA), PA bacteria, *Synechococcus* spp. and Chl *a*. Redundant physicochemical variables were removed prior analysis. Therefore, PON and pH were excluded from the subsequent analysis due to high correlations ( $r_s > 0.9$ ) to TPC and  $f\text{CO}_2$  respectively.

Variable	SS (trace)	Pseudo- <i>F</i>	<i>p</i>	Prop.
$f\text{CO}_2^*$	20.469	4.7386	0.0119	0.075
Temp*	51.838	13.718	0.0001	0.191
PAR	10.791	2.4051	0.0813	0.039
DOC	11.14	2.4864	0.0769	0.041
TDN	9.4456	2.0945	0.1078	0.034
Phosphate*	25.649	6.063	0.0029	0.095
DSi	9.5766	2.1246	0.103	0.035
TPC*	36.038	8.8955	0.0002	0.133
POP	52.171	13.827	0.0001	0.193
BSi	36.439	9.01	0.0005	0.134

\* Variables selected in stepwise procedure based on AIC.

#### 4.2 Effects of $f\text{CO}_2$ /pH on phytoplankton–bacterioplankton coupling at low nutrient conditions

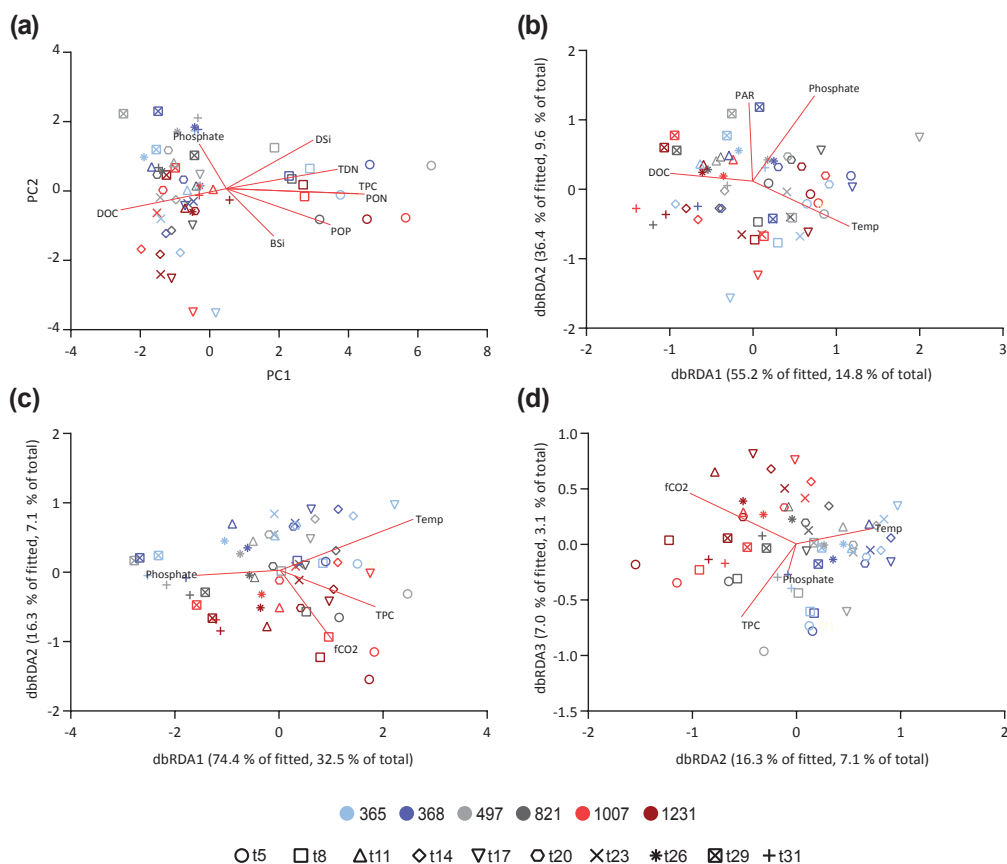
The response of heterotrophic bacteria to changes in  $f\text{CO}_2$  has been previously shown to be related to phytoplankton rather than being a direct effect of pH or  $\text{CO}_2$  (e.g. Allgaier et al., 2008; Grossart et al., 2006a). Here, neither BPP nor BV of neither FL nor PA bacteria suggested a direct effect of  $\text{CO}_2$  on these variables (PERMANOVA). Differences in FL bacterial BV, BPP and the ratio of HDNA : LDNA occurred along the gradient of  $f\text{CO}_2$ , but were limited to short time periods. Furthermore, these changes were not consistent with  $f\text{CO}_2$ , resulting in both increases and decreases of a particular variable at specific times (Fig. 1). Periods where  $f\text{CO}_2$ -related

effects were apparent comprised periods with high organic matter turnover (e.g. breakdown of Chl *a* maximum). However, Paul et al. (2015) could not reveal any effect of  $f\text{CO}_2$  on the export of carbon, neither across the study period nor at individual time points. Thus, it is reasonable to assume that these small  $f\text{CO}_2$ -related differences in bacterial variables are a consequence of other altered components of the aquatic food web, and do not necessarily manifest as changes in carbon export.

Given the inability to relate individual aspects of microbial metabolism or community composition to  $f\text{CO}_2$  concentrations, we sought to determine whether an impact was evident using a multivariate approach. Chemical, metabolic and community matrices exhibited large variations in relation to a strong temporal effect throughout the whole sampling period ( $p < 0.01$ ; Tables 1, 3, 5). In addition, an effect of the  $f\text{CO}_2$  treatment was also evident in all three multivariate assemblages, albeit explaining far less of the observed variability in chemical and metabolic variables ( $p < 0.03$ ; Tables 1, 3, 5). However, when relating physicochemical to metabolic variables (DistLM, Table 4), neither  $f\text{CO}_2$  nor pH were suitable to explaining the observed variability. In contrast,  $f\text{CO}_2$  contributed to explaining the variability amongst the bacterioplankton–phytoplankton community dynamics (DistLM, Table 6). Taken together, this suggests that effects of  $f\text{CO}_2$  treatments manifest indirectly, through either altering physicochemical parameters or more likely the composition of the microbial community with possible but so far hidden consequences for microbial metabolism.

#### 4.3 $f\text{CO}_2$ /pH effects on phytoplankton indirectly alter phytoplankton–bacterioplankton coupling at low-nutrient conditions

Autotrophic organisms can be fertilized by an enhanced  $\text{CO}_2$  availability, altering growth conditions of phytoplankton and increasing the production of particulate (POM) and dissolved organic matter (DOM; Hein and Sand-Jensen, 1997; Riebesell et al., 2007). As a consequence of this increased photosynthetic fixation rate, both quantity and quality of dis-



**Figure 6.** (a) First and second axis of a principal component analysis (PCA) calculated on normalized variables of dissolved and particulate nutrients ( $n = 60$ ). The set of variables and the eigenvectors of the first four axes can be derived from Table 2. (b) Ordination of a distance-based redundancy analysis (dbRDA) for visual interpretation of distance-based linear modelling (DistLM) between physical/chemical predictor variables and metabolic variables as well as (c–d) abundances of functional bacterial and phytoplankton groups. A table comprising the set of variables used for DistLM can be derived from the Supplement (Table S1).

solved organic matter (DOM) available for heterotrophic bacteria are impacted, with potential implications for the nature of coupling between phytoplankton and bacterioplankton at low-nutrient conditions (Azam et al., 1983; Bratbak and Thingstad, 1985). So far, CO<sub>2</sub> enrichment experiments examining natural plankton assemblages (e.g. Engel et al., 2005; Riebesell et al., 2007; Bach et al., 2016) have not revealed a consistent pattern of species response or primary production to elevated CO<sub>2</sub>. During this experiment, Spilling et al. (2016a) could not detect any effect of increased CO<sub>2</sub> on total primary production, even though Crawford et al. (2016) reported effects of CO<sub>2</sub> on several groups of picophytoplankton. Although one larger picoeukaryote (Pico III) was negatively impacted by  $f\text{CO}_2$  during our study, two small picoeukaryotes (Pico I, Pico II) benefitted from the CO<sub>2</sub> addition, yielding significantly higher growth rates and BVs at high  $f\text{CO}_2$  (Crawford et al., 2016). This is consistent

with recent evidence suggesting a positive impact of enhanced  $f\text{CO}_2$  on the abundance of small picoeukaryotic phytoplankton (Brussaard et al., 2013; Newbold et al., 2012; Sala et al., 2015). Both picoeukaryotic groups were identified as variables explaining the separation along the  $f\text{CO}_2$  gradient on the second and third dbRDA axes in the DistLM ordination of the bacteria–phytoplankton community. Specifically, Pico I was highly negatively correlated ( $r_s = -0.67$ ) to dbRDA axis two. However, dbRDA also indicated opposing effects of  $f\text{CO}_2$  on Pico II ( $r_s = 0.54$ ) and HDNA prokaryotes ( $r_s = -0.31$ ), being positively or negatively correlated with axis three. Indeed, sharp increases in  $\text{BV}_{\text{Pico II}}$  at high  $f\text{CO}_2$  between  $t14$  and  $t17$  were associated with decreases in  $\text{BV}_{\text{HDNA}}$ .

Although we are not able to draw solid conclusions on the interaction of these two particular groups of organisms, a cluster analysis of pairwise Spearman correlations between

functional groups of bacteria and phytoplankton revealed a distinct clustering with mesocosms based on  $f\text{CO}_2$  levels (Fig. 4). We also detected a change in the ratio of heterotrophic bacterial BV to Chl *a* between the different  $f\text{CO}_2$  treatments, though this change was not visible for the entire study duration and not consistent with  $f\text{CO}_2$ . These results strongly suggest that trophic interactions between functional groups of bacteria and phytoplankton might be changing in a future acidified ocean.

In nutrient-poor systems, variable growth rates of phytoplankton, DOM quality and quantity, and also loss of phytoplankton due to grazing or viral lysis may potentially contribute to this observed decoupling of phytoplankton and bacterioplankton at high  $f\text{CO}_2$  (Azam et al., 1983; Bratbak and Thingstad, 1985; Sheik et al., 2014). The viral shunt or bacterivory may release phytoplankton from competition with bacteria for limited nutrients (e.g. Bratbak and Thingstad, 1985; Caron and Goldman, 1990). How increased  $f\text{CO}_2$  will affect these processes (e.g. viral lysis and bacterial grazing) under nutrient-limited conditions remains uncertain so far. Bacterial grazing by mixotrophs, which would also directly benefit from increased  $\text{CO}_2$  availability (Rose et al., 2009), may provide a mechanism for recycling of inorganic nutrients, otherwise bound in bacterial biomass, as a means for supporting phytoplankton growth (e.g. Mitra et al., 2014). However, other studies examining bacterial grazing under different nutrient conditions reported conflicting positive and negative results of increased  $f\text{CO}_2$  (e.g. Brussaard et al., 2013; Rose et al., 2009). Although we are unable to draw defined conclusions on how this myriad of complex biological processes are impacted by  $f\text{CO}_2$ , it is very likely that there is an impact on trophic interactions which may account for the portion of unexplained variance we observed in our multivariate analyses.

## 5 Conclusions

The use of large-volume mesocosms allowed us to test for multiple  $f\text{CO}_2$ -related effects on dynamics of heterotrophic bacterial activity and their biovolume in a near-realistic ecosystem by including trophic interactions from microorganisms up to zooplankton. Complex interactions between various trophic levels, which can only be properly addressed at the scale of whole ecosystems, are important for understanding and predicting  $f\text{CO}_2$ -induced effects on aquatic food webs and biogeochemistry in a future, acidified ocean. We examined these impacts in a nutrient-depleted system, which is representative for large parts of the oceans (Moore et al., 2013). Heterotrophic bacterial productivity was, for the most part, tightly coupled to the availability of phytoplankton-derived organic matter. When accounting for temporal development and taking into account trophic interactions using multivariate statistics, changes in nutrient composition, metabolic parameters and bacteria–phytoplankton

communities revealed a significant effect of the  $f\text{CO}_2$  treatment. Although not consistent throughout the experiment, differences in the ratio of heterotrophic bacterial BV to Chl *a* during the last half of the experiment suggest that a future ocean will become more autotrophic during low productive periods as a result of altered trophic interactions between functional groups of bacteria and phytoplankton. There is additional support for this conclusion from examining the atmospheric exchange of  $\text{CO}_2$  (Spilling et al., 2016b). During the limited timescale of this study, the observed effects of  $f\text{CO}_2$  did not manifest as altered carbon export (Paul et al., 2015). However, over several years, maintained changes in nutrient cycling, as a consequence of a permanent decoupling between bacteria and phytoplankton, are likely to arise and impact the nature of the carbon pump.

## 6 Data availability

Data of primary production and respiration can be obtained from Spilling et al. (2016c). Other variables from the experiment (e.g. total particulate and dissolved nutrients) can be found in Paul et al. (2016). Flow cytometry data can be obtained from Crawford et al. (2016). Bacterial protein production rates and abundances of particle-associated bacteria can be obtained from Hornick et al. (2016).

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*Supplement of*

## **Ocean acidification impacts bacteria–phytoplankton coupling at low-nutrient conditions**

**Thomas Hornick et al.**

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## Supplementary

Table S1: Summary of I) Physical/chemical predictor variables and A) metabolic variables and C) abundances of functional bacterial and phytoplankton groups used for distance-based modelling (DistLM) and II) A) Chemical, B) metabolic and C) abundances of functional bacterial and phytoplankton groups used for permutational multivariate analysis of variance (PERMANOVA). Fugacity of CO<sub>2</sub> (*f*CO<sub>2</sub>), temperature (Temp), photosynthetically active radiation (PAR), dissolved organic carbon (DOC), total dissolved nitrogen (TDN), dissolved silica (DSi), total particulate carbon (TPC), particulate organic phosphorus (POP), particulate biogenic silica (BSi), bacterial protein production (BPP), areal primary production (PP), community respiration (CR), chlorophyll *a* (Chl *a*), particle-associated (PA) prokaryotes; flow-cytometric determined: low SYBR green I fluorescent prokaryotes (LDNA), high SYBR green I fluorescent prokaryotes (HDNA), *Synechococcus* spp. (SYN), picophytoplankton I-III (Pico I-III), naophytoplankton I-II (Nano I-II)

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I) A)	predictor variables:	<i>f</i> CO <sub>2</sub> , Temp, PAR, DOC, TDN, Phosphate, DSi, TPC, POP, BSi
	metabolic variables:	BPP, PP, CR
B)	predictor variables:	<i>f</i> CO <sub>2</sub> , Temp, PAR, DOC, TDN, Phosphate, DSi, TPC, POP, BSi
	functional groups:	LDNA, HDNA, PA prokaryotes, SYN, Pico I, Pico II, Pico III, Nano I, Nano II, Chl <i>a</i>
II) A)	chemical variables:	DOC, TDN, Phosphate, DSi, TPC, TPN, POP, BSi
B)	metabolic variables:	BPP, PP, CR
C)	functional groups:	LDNA, HDNA, SYN, Pico I, Pico II, Pico III, Nano I, Nano II, Chl <i>a</i>

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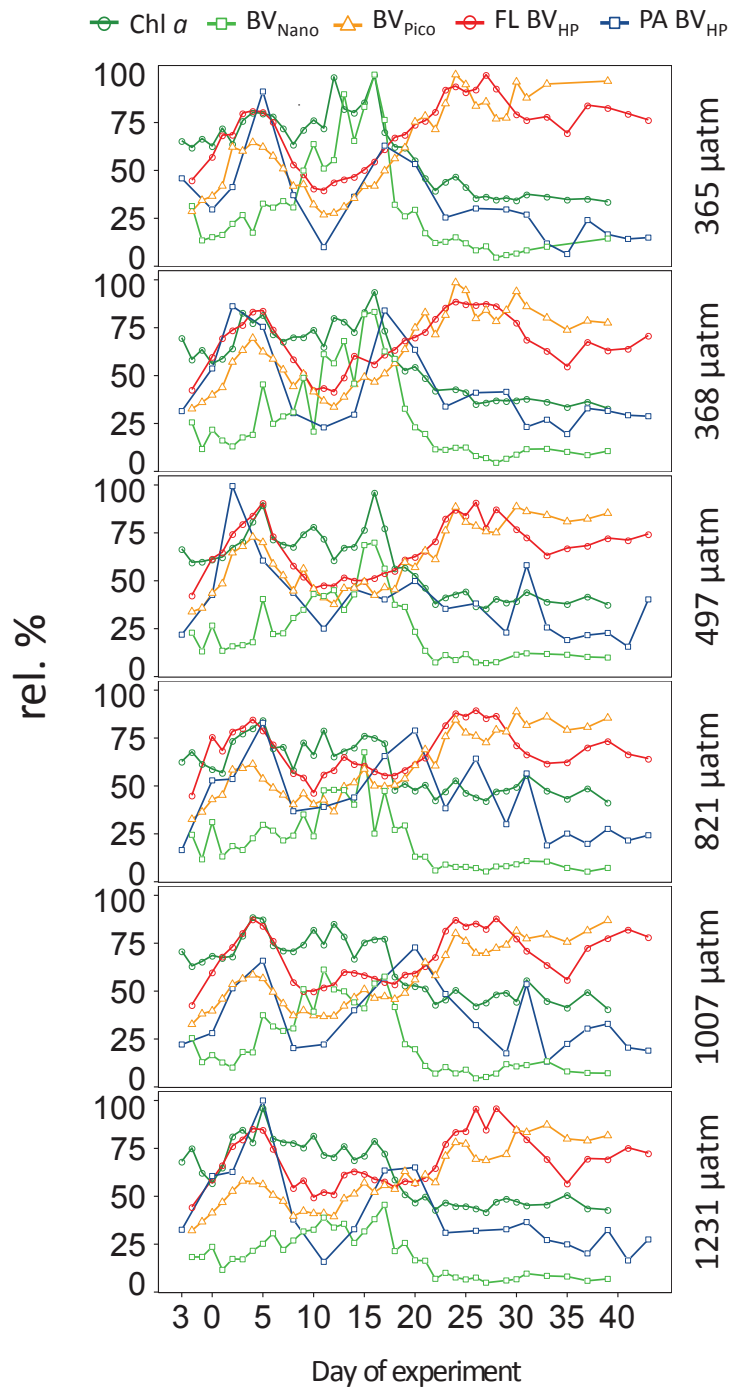


Figure S1. Concentration of Chl  $a$ , biovolumes of picophotoautotrophs (BV<sub>Pico</sub>; sum of *Synechococcus* spp. and Pico I-III) and nanophotoautotrophs (BV<sub>Nano</sub>; sum of Nano I and II) as well as biovolumes of free-living (FL BV<sub>HP</sub>) and particle-associated (PA BV<sub>HP</sub>) heterotrophic prokaryotes during the course of the experiment in the respective mesocosms labelled with the average  $f\text{CO}_2$  [ $\mu\text{atm}$ ] between t1-t43. Biovolumes are standardized to the highest observed value for each parameter and expressed as relative percentage.

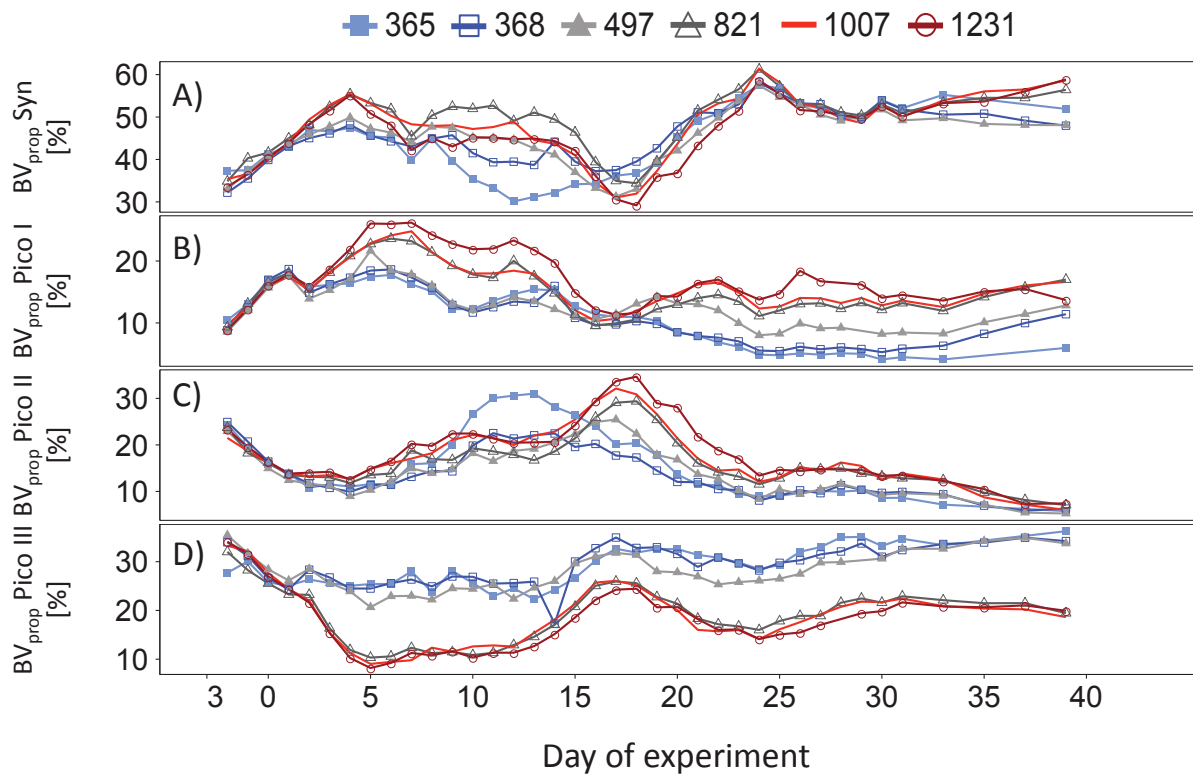


Figure S2. Relative biovolume (BV) contribution of A) BV of *Synechococcus* spp. [ $\times 10^5 \mu\text{m}^3 \text{ml}^{-1}$ ] and B-D) BV of picoeukaryote groups I-III (Pico I-III) [ $\times 10^5 \mu\text{m}^3 \text{ml}^{-1}$ ] to total BV of picophotoautotrophs (sum of *Synechococcus* spp. and Pico I-III) revealed by flow-cytometry during the course of the experiment. Colours and symbols indicate average  $f\text{CO}_2$  [ $\mu\text{atm}$ ] between t1-t43.

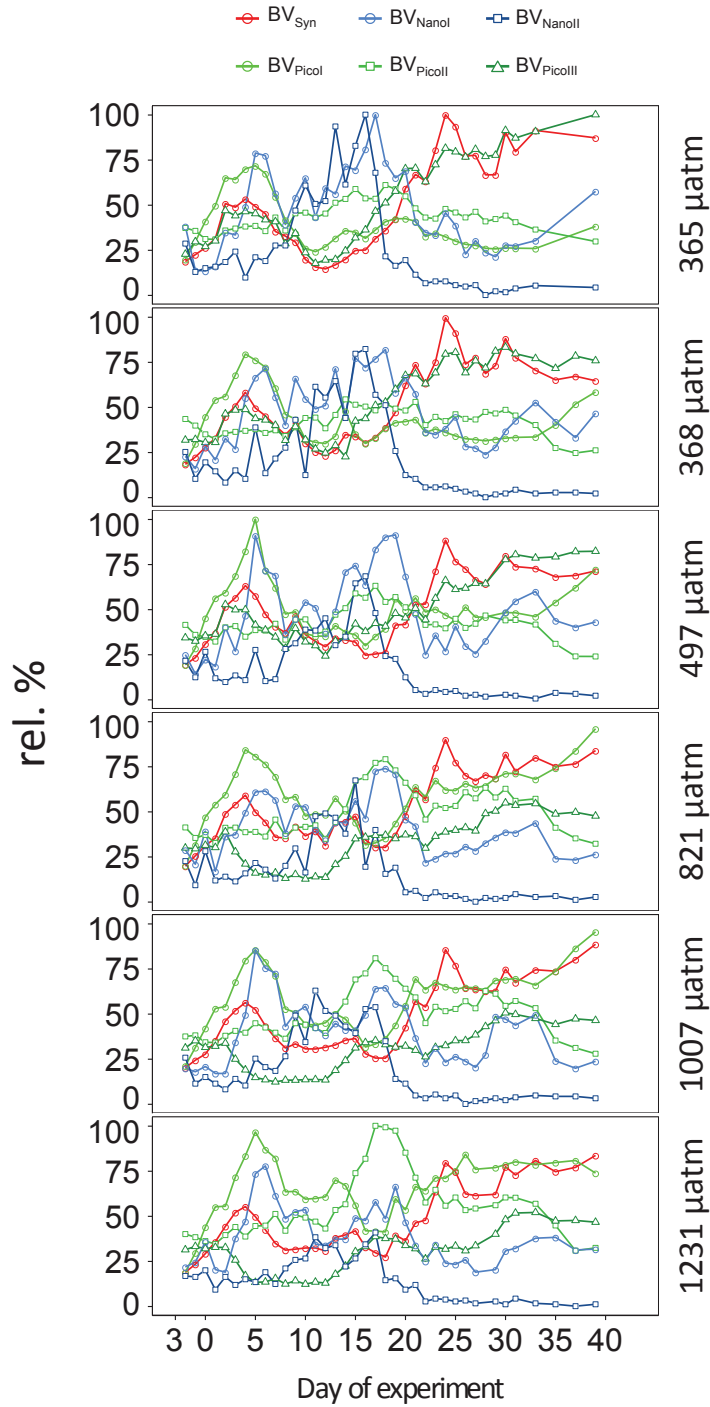


Figure S3. Biovolumes of *Synechococcus* spp., picoeukaryote groups I-III and nanophytoplankton groups I-II during the course of the experiment in the respective mesocosms labelled with the average  $f\text{CO}_2$  [ $\mu\text{atm}$ ] between t1-t43. Biovolumes are standardized to the highest observed value for each organism group and expressed as relative percentage.

### 3. Chapter 3 - Manuscript 2

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## Effects of ocean acidification on pelagic carbon fluxes in a mesocosm experiment

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## Effects of ocean acidification on pelagic carbon fluxes in a mesocosm experiment

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**Abstract.** About a quarter of anthropogenic CO<sub>2</sub> emissions are currently taken up by the oceans, decreasing seawater pH. We performed a mesocosm experiment in the Baltic Sea in order to investigate the consequences of increasing CO<sub>2</sub> levels on pelagic carbon fluxes. A gradient of different CO<sub>2</sub> scenarios, ranging from ambient (~370 μatm) to high (~1200 μatm), were set up in mesocosm bags (~55 m<sup>3</sup>). We determined standing stocks and temporal changes of total particulate carbon (TPC), dissolved organic carbon (DOC), dissolved inorganic carbon (DIC), and particulate organic carbon (POC) of specific plankton groups. We also measured carbon flux via CO<sub>2</sub> exchange with the atmosphere and sedimentation (export), and biological rate measurements of primary production, bacterial production, and total respiration. The experiment lasted for 44 days and was divided into three different phases (I: *t*<sub>0</sub>–*t*<sub>16</sub>; II: *t*<sub>17</sub>–*t*<sub>30</sub>; III: *t*<sub>31</sub>–*t*<sub>43</sub>). Pools of TPC, DOC, and DIC were approximately 420, 7200, and 25 200 mmol C m<sup>-2</sup> at the start of the experiment, and the initial CO<sub>2</sub> additions increased the DIC pool by

~7% in the highest CO<sub>2</sub> treatment. Overall, there was a decrease in TPC and increase of DOC over the course of the experiment. The decrease in TPC was lower, and increase in DOC higher, in treatments with added CO<sub>2</sub>. During phase I the estimated gross primary production (GPP) was ~100 mmol C m<sup>-2</sup> day<sup>-1</sup>, from which 75–95% was respired, ~1% ended up in the TPC (including export), and 5–25% was added to the DOC pool. During phase II, the respiration loss increased to ~100% of GPP at the ambient CO<sub>2</sub> concentration, whereas respiration was lower (85–95% of GPP) in the highest CO<sub>2</sub> treatment. Bacterial production was ~30% lower, on average, at the highest CO<sub>2</sub> concentration than in the controls during phases II and III. This resulted in a higher accumulation of DOC and lower reduction in the TPC pool in the elevated CO<sub>2</sub> treatments at the end of phase II extending throughout phase III. The “extra” organic carbon at high CO<sub>2</sub> remained fixed in an increasing biomass of small-sized plankton and in the DOC pool, and did not transfer into large, sinking aggregates. Our re-

sults revealed a clear effect of increasing CO<sub>2</sub> on the carbon budget and mineralization, in particular under nutrient limited conditions. Lower carbon loss processes (respiration and bacterial remineralization) at elevated CO<sub>2</sub> levels resulted in higher TPC and DOC pools than ambient CO<sub>2</sub> concentration. These results highlight the importance of addressing not only net changes in carbon standing stocks but also carbon fluxes and budgets to better disentangle the effects of ocean acidification.

## 1 Introduction

Combustion of fossil fuels and change in land use have caused increasing atmospheric concentrations of carbon dioxide (CO<sub>2</sub>). Ca. 25% of the anthropogenic CO<sub>2</sub> is absorbed by the oceans, thereby decreasing surface water pH, a process termed ocean acidification (Le Quéré et al., 2009). Ocean acidification and its alterations of aquatic ecosystems have received considerable attention during the past decade, but there are many open questions, in particular related to consequences for plankton-mediated carbon fluxes.

Some studies on ocean acidification have reported increased carbon fixation (Egge et al., 2009; Engel et al., 2013), bacterial production (BP; Grossart et al., 2006), and bacterial degradation of polysaccharides (Piontek et al., 2010) at enhanced CO<sub>2</sub> levels, with potential consequences for carbon fluxes within pelagic ecosystems and export to the deep ocean, i.e., the biological carbon pump. Increasing carbon fixation in a high-CO<sub>2</sub> environment can translate into an enhanced sequestration of carbon (Riebesell et al., 2007), but this depends on numerous environmental factors, including phytoplankton community composition, aggregate formation, and nutrient availability. For example, if the community shifts towards smaller cell sizes and/or enhanced cycling of organic matter carbon, export from the upper water layers may decrease (Czerny et al., 2013a).

The effect of ocean acidification has mostly been studied in marine ecosystems under high phytoplankton biomass. Brackish water has lower buffering capacity than ocean water, and the pH fluctuates more. The limited number of studies of ocean acidification in brackish water and indications that ocean acidification effects are greatest under nutrient limitation (De Kluijver et al., 2010) motivated this mesocosm study in the Baltic Sea during low-nutrient summer months.

The Baltic Sea is functionally much like a large estuary, with a salinity gradient ranging from approximately 20 in the southwest to <3 in the northernmost Bothnian Bay. It is an almost-landlocked body of water with a large population in its vicinity (~80 million). Human activities (e.g., agriculture, shipping, and fishing) cause a number of environmental problems such as eutrophication and pollution. As a coastal sea projected to change rapidly due to interaction of direct and indirect anthropogenic pressures, the Baltic Sea can be

seen as a model ecosystem for studying global change scenarios (Niiranen et al., 2013).

Most primary data from this experiment are published in several papers of this special issue (Riebesell et al., 2015). The aim of the present paper is to provide an overarching synthesis of all information related to carbon standing stocks and fluxes. This enabled us to calculate carbon budgets in relation to different CO<sub>2</sub> levels.

## 2 Materials and methods

### 2.1 Experimental setup

Six Kiel Off-Shore Mesocosms for Ocean Simulations (KOSMOS; with a volume of ca. 55 m<sup>3</sup>) were moored at Storfjärden, on the south west coast of Finland (59°51.5' N; 23°15.5' E) on 12 June 2012 (nine KOSMOS units were originally deployed, but three were lost due to leaks). A more detailed description of the setup can be found in Paul et al. (2015). The mesocosms extended from the surface down to 19 m depth and had a conical bottom end, which enabled quantitative collection of the settling material. Different CO<sub>2</sub> levels in the bags were achieved by adding filtered (50 µm), CO<sub>2</sub>-saturated seawater. The CO<sub>2</sub>-enriched water was evenly distributed over the upper 17 m of the water columns and added in four consecutive time steps (*t0–t3*). Two controls and four treatments were used, and for the controls, filtered seawater (without additional CO<sub>2</sub> enrichment) was added. The CO<sub>2</sub> fugacity gradient after all additions ranged from ambient (average throughout the experiment: ~370 µatm *f*CO<sub>2</sub>) in the two control mesocosms (M1 and M5) up to ~1200 µatm *f*CO<sub>2</sub> in the highest treatment (M8). We used the average *f*CO<sub>2</sub> throughout this experiment (*t1–t43*) to denote the different treatments: 365 (M1), 368 (M5), 497 (M7), 821 (M6), 1007 (M3), and 1231 (M8) µatm *f*CO<sub>2</sub>. On *t15*, additional CO<sub>2</sub>-saturated seawater was added to the upper 7 m in the same manner as the initial enrichment, to counteract outgassing of CO<sub>2</sub>.

We sampled the mesocosms every morning, but some variables were determined only every second day. Depth-integrated water samples (0–17 m) were taken by using integrating water samplers (IWS, HYDRO-BIOS, Kiel). The water was collected into plastic carboys (10 L) and transferred to the laboratory for sub-sampling and subsequent determination of carbon stocks.

### 2.2 Primary variables

For more detailed descriptions of the primary variables and the different methods used during this CO<sub>2</sub> mesocosm campaign, we refer to other papers in this joint volume: i.e., total particulate carbon (TPC), dissolved organic carbon (DOC), and dissolved inorganic carbon (DIC) are described by Paul et al. (2015); micro- and nanophytoplankton enumeration by Bermúdez et al. (2016); picophytoplankton, heterotrophic

prokaryotes, and viruses by Crawford et al. (2016); zooplankton community by Lischka et al. (2015); primary production and respiration by Spilling et al. (2016a); BP by Hornick et al. (2016); and sedimentation by Boxhammer et al. (2016) and Paul et al. (2015).

Briefly, samples for TPC (500 mL) were GF/F-filtered and determined using an elemental analyzer (EuroAE). DOC was measured using the high-temperature combustion method (Shimadzu TOC-VCPN) following Badr et al. (2003). DIC was determined by infrared absorption (LI-COR LI-7000 on an AIRICA system). The DIC concentrations were converted from  $\mu\text{mol kg}^{-1}$  to  $\mu\text{mol L}^{-1}$  using the average seawater density of  $1.0038 \text{ kg L}^{-1}$  throughout the experiment. Settling particles were quantitatively collected every other day from sediment traps at the bottom of the mesocosm units, and the TPC was determined from the processed samples (Boxhammer et al., 2016) as described above.

Mesozooplankton was collected by net hauls (100  $\mu\text{m}$  mesh size), fixed (ethanol), and counted in a stereomicroscope. Zooplankton carbon biomass (CB) was calculated using the displacement volume (DV) and the equation of Wiebe (1988):  $(\log DV + 1.429) / 0.82 = \log CB$ . Micro- and nanoplankton (zoo- and phytoplankton) CB was determined from microscopic counts of fixed (acidic Lugol's iodine solution) samples, and the cellular bio-volumes were determined according to Olenina et al. (2006) and converted to particulate organic carbon (POC) by the equations provided by Menden-Deuer and Lessard (2000).

Picophytoplankton were counted using flow cytometry and converted to CB by size fractionation (Veldhuis and Kraay, 2004) and cellular carbon conversion factors ( $0.2 \text{ pg C } \mu\text{m}^{-3}$ ; Waterbury et al., 1986). Prokaryotes and viruses were determined according to Marie et al. (1999) and Brussaard (2004), respectively. All heterotrophic prokaryotes, hereafter termed bacteria, and viruses were converted to CB assuming  $12.5 \text{ fg C cell}^{-1}$  (Heinänen and Kuparinen, 1991) and  $0.055 \text{ fg C virus}^{-1}$  (Steward et al., 2007), respectively.

The respiration rate was calculated from the difference between the  $\text{O}_2$  concentration (measured with a Fibox 3, PreSens) before and after a 48 h incubation period in a dark climate-controlled room set to the average temperature observed in the mesocosms.

BP was determined by  $^{14}\text{C}$ -leucine ( $^{14}\text{C}$ -Leu) incorporation (Simon and Azam, 1989) according to Grossart et al. (2006). The amount of incorporated  $^{14}\text{C}$ -Leu was converted into BP by using an intracellular isotope dilution factor of 2. A conversion factor of 0.86 was used to convert the produced protein into carbon (Simon and Azam, 1989).

Net primary production (NPP) was measured using radiolabeled  $\text{NaH}^{14}\text{CO}_3$  (Steeman-Nielsen, 1952). Samples were incubated for 24 h in duplicate 8 mL vials moored on small incubation platforms at 2, 4, 6, 8, and 10 m depth next to the mesocosms. The areal primary production was calculated

based on a simple linear model of the production measurements from the different depths (Spilling et al., 2016a).

### 2.3 Gas exchange

In order to calculate the  $\text{CO}_2$  gas exchange with the atmosphere ( $\text{CO}_{2\text{flux}}$ ), we used  $\text{N}_2\text{O}$  as a tracer gas, added to mesocosm M5 and M8 (control and high  $\text{CO}_2$  treatment) according to Czerny et al. (2013b). The  $\text{N}_2\text{O}$  concentration was determined every second day using gas chromatography. Using the  $\text{N}_2\text{O}$  measurements, the fluxes across the water surface ( $F_{\text{N}_2\text{O}}$ ) were calculated according to

$$F_{\text{N}_2\text{O}} = I_{t_1} - I_{t_2} / (A \times \Delta t), \quad (1)$$

where  $I_{t_1}$  and  $I_{t_2}$  are the bulk  $\text{N}_2\text{O}$  concentration at time  $t_1$  and  $t_2$ , respectively;  $A$  is the surface area; and  $\Delta t$  is the time difference between  $t_1$  and  $t_2$ .

The flux velocity was then calculated by

$$K_{\text{N}_2\text{O}} = F_{\text{N}_2\text{O}} / (C_{\text{N}_2\text{Ow}} - (C_{\text{N}_2\text{Oaw}})), \quad (2)$$

where  $C_{\text{N}_2\text{Ow}}$  is the bulk  $\text{N}_2\text{O}$  concentration in the water at a given point in time and  $C_{\text{N}_2\text{Oaw}}$  is the equilibrium concentration for  $\text{N}_2\text{O}$  (Weiss and Price, 1980).

The flux velocity for  $\text{CO}_2$  was calculated from the flux velocity of  $\text{N}_2\text{O}$  according to

$$k_{\text{CO}_2} = k_{\text{N}_2\text{O}} / (S_{\text{CO}_2} / S_{\text{N}_2\text{O}})^{0.5}, \quad (3)$$

where  $S_{\text{CO}_2}$  and  $S_{\text{N}_2\text{O}}$  are the Schmidt numbers for  $\text{CO}_2$  and  $\text{N}_2\text{O}$ , respectively. The  $\text{CO}_2$  flux across the water surface was calculated according to

$$F_{\text{CO}_2} = k_{\text{CO}_2} (C_{\text{CO}_2\text{w}} - C_{\text{CO}_2\text{aw}}), \quad (4)$$

where  $C_{\text{CO}_2\text{w}}$  is the water concentration of  $\text{CO}_2$  and  $C_{\text{CO}_2\text{aw}}$  is the equilibrium concentration of  $\text{CO}_2$ .  $\text{CO}_2$  is preferentially taken up by phytoplankton at the surface, where also the atmospheric exchange takes place. For this reason, we used the calculated  $\text{CO}_2$  concentration (based on the integrated  $\text{CO}_2$  concentration and pH in the surface) from the upper 5 m as the input for Eq. (5).

In contrast to  $\text{N}_2\text{O}$ , the  $\text{CO}_2$  flux can be chemically enhanced by hydration reactions of  $\text{CO}_2$  with hydroxide ions and water molecules in the boundary layer (Wanninkhof and Knox, 1996). Using the method outlined in Czerny et al. (2013b), we found an enhancement of up to 12 % on warm days, and this was included into our flux calculations.

### 2.4 Data treatment

The primary data generated in this study comprise carbon standing stock measurements of TPC, DOC, and DIC, as well as carbon estimates of meso- and microzooplankton, micro-, nano- and picophytoplankton, bacteria, and viruses.

Flux measurements of atmospheric CO<sub>2</sub> exchange and sedimentation of TPC as well as the biological rates of net primary production (NPP<sub>14C</sub>), BP, and total respiration (TR) enabled us to make carbon budget.

Based on the primary variables (chlorophyll *a* (Chl *a*) and temperature), the experiment was divided into three distinct phases: phase I: *t*0–*t*16; phase II: *t*17–*t*30; and phase III: *t*31–*t*43, where, e.g., Chl *a* concentration was relatively high during phase I, decreased during phase II, and remained low during phase III (Paul et al., 2015). Measurements of pools and rates were averaged for the two first sampling points of each experimental phase (*n* = 2) and were normalized to square meters (m<sup>2</sup>) knowing the total depth (17 m, excluding the sedimentation funnel) of the mesocosms. For phase III we used the average of the last two measurements as the end point (*n* = 2).

For fluxes and biological rates we used the average for the whole periods normalized to days (day<sup>-1</sup>). The same was done for rates of change (ΔTPC, ΔDOC, and ΔDIC), which accounted for the difference between the start and end of each phase for all carbon pools (TPC<sub>pool</sub>, DOC<sub>pool</sub>, DIC<sub>pool</sub>). All error estimates were calculated as standard error (SE), and this was calculated using all measurements within each phase (e.g., calculating the ΔTPC SE using the difference between each TPC measurement). The three different phases of the experiments were of different length, and each variable had a slightly different sampling regime (every 1–3 days, with some measurements missing due to technical problems). The exact sample number (*n*) for each SE is presented in the Table legends 1–3. The SE for estimated rates was calculated from the square root of the sum of variance for all the variables (Eq. 5–10 below). The primary papers mentioned above (Sect. 2.2.) present detailed statistical analyses, and we only refer to those here.

NPP was measured directly, and we additionally estimated the net community production (NCP). This was done in two different ways, from the organic (NCP<sub>o</sub>) and the inorganic (NCP<sub>i</sub>) fractions of carbon. NCP<sub>o</sub> was calculated from changes in the organic fraction plus the exported TPC (EXP<sub>TPC</sub>) according to

$$\text{NCP}_o = \text{EXP}_{\text{TPC}} + \Delta\text{TPC} + \Delta\text{DOC}. \quad (5)$$

Direct measurements using <sup>14</sup>C isotope incubations should in principle provide a higher value than summing up the difference in overall carbon balance (our NCP<sub>o</sub>), as the latter would incorporate total respiration and not only autotrophic respiration. NCP<sub>i</sub> was calculated through changes in the dissolved inorganic carbon pool, corrected for CO<sub>2</sub> gas exchange with the atmosphere (CO<sub>2flux</sub>) according to

$$\text{NCP}_i = \text{CO}_{2\text{flux}} - \Delta\text{DIC}. \quad (6)$$

In order to close the budget, we estimated GPP and DOC production (DOC<sub>prod</sub>). GPP is defined as the photosynthetically fixed carbon without any loss processes (i.e.,

NPP + autotrophic respiration). GPP can be estimated based on changes in organic (GPP<sub>o</sub>) or inorganic (GPP<sub>i</sub>) carbon pools, and we used these two different approaches providing a GPP range:

$$\text{GPP}_o = \text{NCP}_o + \text{TR}, \quad (7)$$

$$\text{GPP}_i = \text{TR} + \text{CO}_{2\text{flux}} - \Delta\text{DIC}. \quad (8)$$

During phase III, TR was not measured, and we estimated TR based on the ratios between NCP<sub>o</sub> and BP to TR during phase II. The minimum production of DOC (DOC<sub>minp</sub>) in the system was calculated assuming bacterial carbon uptake was taken from the DOC pool according to

$$\text{DOC}_{\text{minp}} = \Delta\text{DOC} + \text{BP}. \quad (9)$$

However, this could underestimate DOC<sub>prod</sub> as a fraction of bacterial DOC uptake is respired. Without direct measurement of (heterotrophic prokaryote) bacterial respiration (BR), we estimated BR from TR. The share of active bacteria contributing to bacterial production is typically in the range of 10–30 % of the total bacterial community (Lignell et al., 2013). We used the fraction of bacterial biomass (BB) of total biomass (TB) as the maximum limit of BR (BR ≤ BB / TB) and hence calculated max DOC production (DOC<sub>maxp</sub>) according to

$$\text{DOC}_{\text{maxp}} = \Delta\text{DOC} + \text{BP} + (\text{BB} \times \text{TR} / \text{TB}). \quad (10)$$

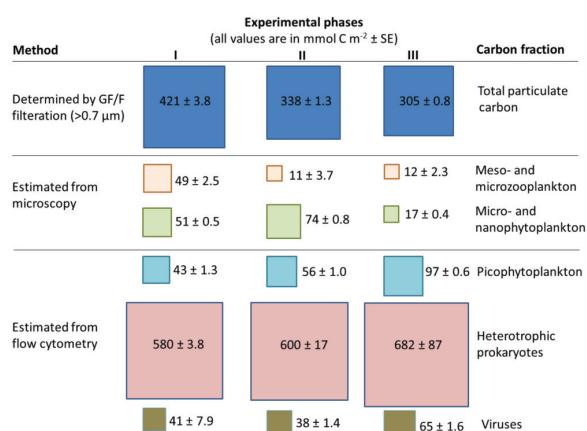
We assumed that carbon synthesized by bacteria was added to the TPC pool.

There are a number of uncertainties in these calculations, but this budgeting exercise provides an order-of-magnitude estimate of the flow of carbon within the system and enables comparison between the treatments. The average of the two controls (M1 and M5) and the two highest CO<sub>2</sub> treatments (M3 and M8) were used to illustrate CO<sub>2</sub> effects.

### 3 Results and discussion

#### 3.1 Change in plankton community, from large to small forms over time

The overall size structure of the plankton community decreased over the course of the experiment. Figure 1 illustrates the carbon content in different plankton groups in the control mesocosms. During phase I, the phytoplankton abundances increased at first in all treatments before starting to decrease at the end of phase I (Paul et al., 2015). At the start of phase II (*t*17), the phytoplankton biomass was higher than at the start of the experiment (~130 mmol C m<sup>-2</sup> in the controls) but decreased throughout phases II and III. The fraction of picophytoplankton increased in all treatments, but some groups of picophytoplankton increased more in the high CO<sub>2</sub> treatments (Crawford et al., 2016).



**Figure 1.** The different fractions of carbon in the control mesocosms (M1 and M5) at the start of phases I ( $t_0$ ), II ( $t_{17}$ ), and III ( $t_{31}$ ), in mmol C m<sup>-2</sup> ± SE ( $n = 2$ ). The differences between the controls and elevated CO<sub>2</sub> concentration are discussed in the text. The size of the boxes indicates the relative size of the carbon standing stocks.

Nitrogen was the limiting nutrient throughout the entire experiment (Paul et al., 2015), and primary producers are generally N-limited in the main sub-basins of the Baltic Sea (Tamminen and Andersen, 2007). The surface-to-volume ratio increases with decreasing cell size, and consequently small cells have higher nutrient affinity and are better competitors for scarce nutrient sources than large cells (Reynolds, 2006). The prevailing N limitation was likely the reason for the decreasing size structure of the phytoplankton community.

Micro- and mesozooplankton standing stock was approximately half of the phytoplankton biomass initially but decreased rapidly in the control treatments during phase I (Fig. 1). In the CO<sub>2</sub>-enriched treatments, the zooplankton biomass also decreased but not to the same extent as in the control treatments (Spilling et al., 2016a). Overall, smaller species benefitted from the extra CO<sub>2</sub> addition, but there was no significant negative effect of high CO<sub>2</sub> on the mesozooplankton community (Lischka et al., 2015).

Bacterial biomass was the main fraction of the plankton carbon throughout the experiment. The bacterial numbers largely followed the phytoplankton biomass with an initial increase then decrease during phase I, increase during phase II, and slight decrease during phase III (Crawford et al., 2016). The bacterial community was controlled by mineral nutrient limitation, bacterial grazing, and viral lysis (Crawford et al., 2016), and bacterial growth is typically limited by N or a combination of N and C in the study area (Lignell et al., 2008, 2013).

The bacterial carbon pool was higher than the measured TPC. Part of the bacteria must have passed the GF/F filters

(0.7 μm), and assuming pico- to mesoplankton was part of the TPC, >50 % of the bacterial carbon was not contributing to the measured TPC. The conversion factor from cells to carbon is positively correlated to cell size, and there is consequently uncertainty related to the absolute carbon content of the bacterial pool (we used a constant conversion factor). However, bacteria are known to be the dominating carbon share in the Baltic Sea during the N-limited summer months (Lignell et al., 2013), and their relative dominance is in line with this.

Although there is some uncertainty in the carbon estimate (Jover et al., 2014), viruses make up (due to their numerical dominance) a significant fraction of the pelagic carbon pool. Of the different plankton fractions the virioplankton have been the least studied, but their role in the pelagic ecosystem is ecologically important (Suttle, 2007; Brussaard et al., 2008; Mojica et al., 2016). Viral lysis rates were equivalent to the grazing rates for phytoplankton and for bacteria in the current study (Crawford et al., 2016). As mortality agents, viruses are key drivers of the regenerative microbial food web (Suttle, 2007; Brussaard et al., 2008). Overall, the structure of the plankton community reflected the nutrient status of the system: the increasing N limitation favored development of smaller cells and increased dependence of the primary producers on regenerated nutrients.

### 3.2 The DIC pool and atmospheric exchange of CO<sub>2</sub>

The DIC pool was the largest carbon pool: three–four-fold higher than the DOC pool and roughly 60-fold higher than the TPC pool (Tables 1–3). After the addition of CO<sub>2</sub>, the DIC pool was ~7 % higher in the highest CO<sub>2</sub> treatment than in the control mesocosms (Table 1). The gas exchange with the atmosphere was the most apparent flux affected by CO<sub>2</sub> addition (Tables 1–3). Seawater in the mesocosms with added CO<sub>2</sub> was supersaturated; hence CO<sub>2</sub> outgassed throughout the experiment. The control mesocosms were initially undersaturated; hence ingassing occurred during phases I and II (Fig. 2). In the first part of phase III, the control mesocosms reached equilibrium with the atmospheric  $f$ CO<sub>2</sub> (Fig. 2). The gas exchange had direct effects on the DIC concentration in the mesocosms (Fig. 3). From the measured gas exchange and change in DIC it is possible to calculate the biologically mediated carbon flux. In the mesocosms with ambient CO<sub>2</sub> concentration, the flux measurements indicated net heterotrophy throughout the experiment. The opposite pattern, net autotrophy, was indicated in the two mesocosms with the highest CO<sub>2</sub> addition (Fig. 3; see also Sect. 3.7.).

### 3.3 The DOC pool, DOC production, and remineralization

The DOC pool increased throughout the experiment in all mesocosm bags, albeit more in the treatments with elevated

**Table 1.** The standing stock of total particulate carbon ( $\text{TPC}_{\text{pool}}$ ), dissolved organic carbon ( $\text{DOC}_{\text{pool}}$ ), and dissolved inorganic carbon ( $\text{DIC}_{\text{pool}}$ ) at the start of phase I in  $\text{mmol C m}^{-2} \pm \text{SE}$  ( $n = 2$ ). The  $\text{DOC}_{\text{pool}}$  was missing some initial measurements and is the average for all mesocosms assuming that the DOC concentration was similar at the onset of the experiment. The net changes in TPC ( $\Delta\text{TPC}$ ), DOC ( $\Delta\text{DOC}$ ), and DIC ( $\Delta\text{DIC}$ ) are average changes in the standing stocks during phase I in  $\text{mmol C m}^{-2} \text{ day}^{-1} \pm \text{SE}$  ( $n = 8$ ). Flux measurements of atmospheric gas exchange ( $\text{CO}_{2\text{flux}}$ ) and exported carbon ( $\text{EXP}_{\text{TPC}}$ ) plus biological rates – total respiration (TR), bacterial production (BP), and net primary production ( $\text{NPP}_{14\text{C}}$ ) – and net community production estimated based on organic carbon pools' ( $\text{NCP}_0$ ) net primary production are all averages for the whole of phase I in  $\text{mmol C m}^{-2} \text{ day}^{-1} \pm \text{SE}$  ( $n = 13, 9, 16, 7,$  and  $11$  for  $\text{CO}_{2\text{flux}}$ ,  $\text{EXP}_{\text{TPC}}$ , TR, BP, and  $\text{NPP}_{14\text{C}}$ , respectively). SE for  $\text{NCP}_0$  was calculated from the square root of the sum of variance of the three variables used in Eq. (6). The  $\text{NCP}_0$  was calculated from the net change in carbon pools plus carbon export, whereas  $\text{NPP}_{14\text{C}}$  was measured carbon fixation using radio-labeled  $^{14}\text{C}$  over a 24 h incubation period in situ. TR was measured as  $\text{O}_2$  consumption, and for comparison with carbon fixation we used a respiratory quotient (RQ) of 1.  $\text{CO}_{2\text{flux}}$  was only calculated for the period after full addition of  $\text{CO}_2$  ( $t4$ – $t16$ ). A total budget of carbon fluxes for ambient and high  $\text{CO}_2$  treatments is presented in Fig. 5.

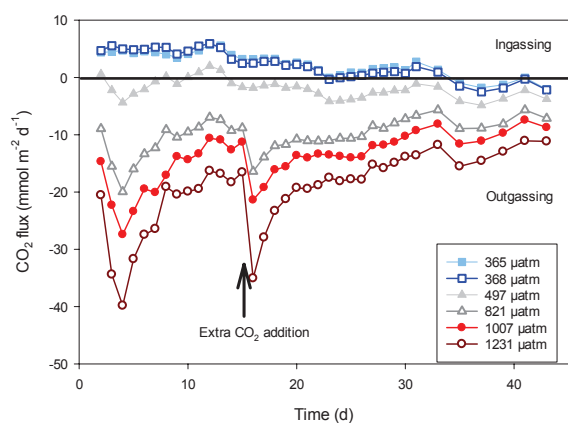
Phase I ( $t0$ – $t16$ )						
$\text{CO}_2$ treatment ( $\mu\text{atm } f\text{CO}_2$ )	365	368	497	821	1007	1231
Mesocosm number	M1	M5	M7	M6	M3	M8
$\text{TPC}_{\text{pool}}$	$417 \pm 38$	$425 \pm 39$	$472 \pm 48$	$458 \pm 38$	$431 \pm 48$	$446 \pm 57$
$\text{DOC}_{\text{pool}}$	$7172 \pm 87$	$7172 \pm 87$	$7172 \pm 87$	$7172 \pm 87$	$7172 \pm 87$	$7172 \pm 87$
$\text{DIC}_{\text{pool}}$	$25\,158 \pm 9$	$25\,182 \pm 10$	$25\,628 \pm 8$	$26\,295 \pm 22$	$26\,637 \pm 36$	$26\,953 \pm 48$
$\Delta\text{TPC}$	$-4.6 \pm 15$	$-5.2 \pm 13$	$-8.3 \pm 13$	$-8.2 \pm 17$	$-7.0 \pm 13$	$-6.3 \pm 20$
$\Delta\text{DOC}$	$15.5 \pm 58$	$18.3 \pm 30$	$18.5 \pm 33$	$25.0 \pm 36$	$18.5 \pm 73$	$18.1 \pm 63$
$\Delta\text{DIC}$	$5.5 \pm 5.2$	$6.9 \pm 9.2$	$-6.1 \pm 11$	$-24 \pm 14$	$-32 \pm 20$	$-49 \pm 42$
$\text{CO}_{2\text{flux}}$	$4.4 \pm 0.2$	$4.8 \pm 0.3$	$-0.8 \pm 0.5$	$-11 \pm 1.0$	$-17 \pm 1.4$	$-23 \pm 2.0$
$\text{EXP}_{\text{TPC}}$	$6.6 \pm 0.10$	$5.6 \pm 0.04$	$5.4 \pm 0.07$	$6.0 \pm 0.07$	$5.6 \pm 0.06$	$6.0 \pm 0.05$
TR	$107 \pm 9$	$82 \pm 7$	$81 \pm 6$	$80 \pm 8$	$75 \pm 8$	$74 \pm 8$
BP	$27 \pm 8$	$41 \pm 6$	$43 \pm 8$	$41 \pm 4$	$36 \pm 5$	$46 \pm 9$
$\text{NPP}_{14\text{C}}$	$4.8 \pm 0.8$	$11.4 \pm 2.1$	$14.9 \pm 3.6$	$12.3 \pm 2.3$	$11.3 \pm 2.4$	$14.5 \pm 2.7$
$\text{NCP}_0$	$17.4 \pm 33$	$18.7 \pm 20$	$15.6 \pm 30$	$22.8 \pm 28$	$17.1 \pm 25$	$17.8 \pm 28$

**Table 2.** The standing stock of total particulate carbon ( $\text{TPC}_{\text{pool}}$ ), dissolved organic carbon ( $\text{DOC}_{\text{pool}}$ ), and dissolved inorganic carbon ( $\text{DIC}_{\text{pool}}$ ) at the start of phase II in  $\text{mmol C m}^{-2} \pm \text{SE}$  ( $n = 2$ ). The net changes in TPC ( $\Delta\text{TPC}$ ), DOC ( $\Delta\text{DOC}$ ), and DIC ( $\Delta\text{DIC}$ ) are average changes in the standing stocks during phase II in  $\text{mmol C m}^{-2} \text{ day}^{-1} \pm \text{SE}$  ( $n = 7$ ). Flux measurements of atmospheric gas exchange ( $\text{CO}_{2\text{flux}}$ ) and exported carbon ( $\text{EXP}_{\text{TPC}}$ ) plus biological rates – TR, BP, and measured ( $\text{NPP}_{14\text{C}}$ ) – and net community production estimated based on organic carbon pools ( $\text{NCP}_0$ ) are all averages for phase II in  $\text{mmol C m}^{-2} \text{ day}^{-1} \pm \text{SE}$  ( $n = 8, 7, 14, 5,$  and  $14$  for  $\text{CO}_{2\text{flux}}$ ,  $\text{EXP}_{\text{TPC}}$ , TR, BP, and  $\text{NPP}_{14\text{C}}$ , respectively). See Table 1 legend for further details.

Phase II ( $t17$ – $t30$ )						
$\text{CO}_2$ treatment ( $\mu\text{atm } f\text{CO}_2$ )	365	368	497	821	1007	1231
Mesocosm number	M1	M5	M7	M6	M3	M8
$\text{TPC}_{\text{pool}}$	$339 \pm 14$	$337 \pm 20$	$331 \pm 22$	$318 \pm 9$	$312 \pm 12$	$339 \pm 23$
$\text{DOC}_{\text{pool}}$	$7435 \pm 38$	$7483 \pm 37$	$7487 \pm 43$	$7597 \pm 37$	$7487 \pm 61$	$7479 \pm 37$
$\text{DIC}_{\text{pool}}$	$25\,247 \pm 34$	$25\,269 \pm 34$	$25\,639 \pm 8$	$26\,177 \pm 25$	$26\,413 \pm 28$	$26\,757 \pm 45$
$\Delta\text{TPC}$	$-2.4 \pm 5$	$-2.3 \pm 8$	$-1.6 \pm 14$	$0.3 \pm 6$	$2.8 \pm 4$	$3.2 \pm 8$
$\Delta\text{DOC}$	$-0.6 \pm 39$	$2.4 \pm 30$	$3.6 \pm 40$	$8.4 \pm 31$	$11.3 \pm 58$	$9.1 \pm 36$
$\Delta\text{DIC}$	$22.4 \pm 12$	$17.6 \pm 8.1$	$-0.4 \pm 4.5$	$-10.5 \pm 16$	$-14.2 \pm 10$	$-23.1 \pm 13$
$\text{CO}_{2\text{flux}}$	$1.7 \pm 0.3$	$1.2 \pm 0.3$	$-2.6 \pm 0.3$	$-10 \pm 0.5$	$-14 \pm 0.6$	$-19 \pm 1.0$
$\text{EXP}_{\text{TPC}}$	$3.3 \pm 0.08$	$2.6 \pm 0.06$	$2.5 \pm 0.08$	$2.6 \pm 0.06$	$2.8 \pm 0.07$	$2.9 \pm 0.06$
TR	$140 \pm 7$	$127 \pm 5$	$103 \pm 3$	$103 \pm 4$	$101 \pm 5$	$86 \pm 4$
BP	$66 \pm 17$	$57 \pm 8$	$61 \pm 7$	$57 \pm 7$	$43 \pm 6$	$47 \pm 6$
$\text{NPP}_{14\text{C}}$	$3.8 \pm 0.6$	$11.2 \pm 1.9$	$10.8 \pm 2.0$	$14.3 \pm 2.8$	$10.4 \pm 2.1$	$12.0 \pm 2.5$
$\text{NCP}_0$	$0.3 \pm 20$	$2.7 \pm 15$	$4.5 \pm 22$	$11.4 \pm 16$	$16.9 \pm 19$	$15.2 \pm 16$

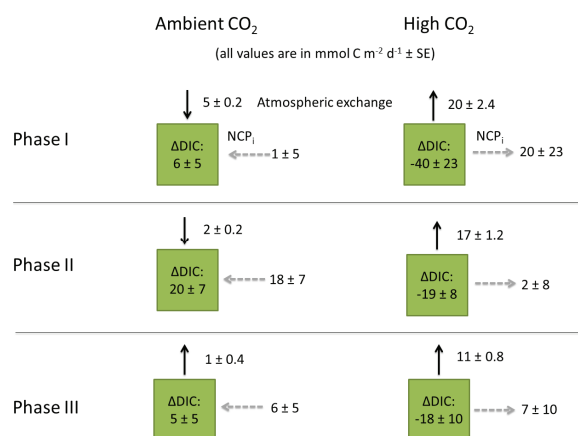
**Table 3.** The standing stock of total particulate carbon ( $\text{TPC}_{\text{pool}}$ ), dissolved organic carbon ( $\text{DOC}_{\text{pool}}$ ), and dissolved inorganic carbon ( $\text{DIC}_{\text{pool}}$ ) at the start of phase III in  $\text{mmol C m}^{-2} \pm \text{SE}$  ( $n = 2$ ). The net change in TPC ( $\Delta\text{TPC}$ ), DOC ( $\Delta\text{DOC}$ ), and DIC ( $\Delta\text{DIC}$ ) are average changes in the standing stocks during phase III in  $\text{mmol C m}^{-2} \text{ day}^{-1} \pm \text{SE}$  ( $n = 6$ ), using the average of the last two sampling days as the end point. Flux measurements of atmospheric gas exchange ( $\text{CO}_{2\text{flux}}$ ) and exported carbon ( $\text{EXP}_{\text{TPC}}$ ) plus biological rates – BP and net community production estimated based on organic carbon pools ( $\text{NCP}_{\text{O}}$ ) – are all averages for phase III in  $\text{mmol C m}^{-2} \text{ day}^{-1} \pm \text{SE}$  ( $n = 7, 6,$  and  $7$  for  $\text{CO}_{2\text{flux}}$ ,  $\text{EXP}_{\text{TPC}}$ , and BP, respectively). See Table 1 legend for further details. During phase III we did not have direct measurements of net primary production ( $\text{NPP}_{14\text{C}}$ ) or TR.

Phase III (t31–t43)						
$\text{CO}_2$ treatment ( $\mu\text{atm } f\text{CO}_2$ )	365	368	497	821	1007	1231
Mesocosm number	M1	M5	M7	M6	M3	M8
$\text{TPC}_{\text{pool}}$	$306 \pm 12$	$304 \pm 20$	$309 \pm 20$	$323 \pm 2$	$351 \pm 13$	$384 \pm 16$
$\text{DOC}_{\text{pool}}$	$7426 \pm 16$	$7469 \pm 20$	$7485 \pm 92$	$7553 \pm 20$	$7593 \pm 30$	$7562 \pm 38$
$\text{DIC}_{\text{pool}}$	$25\,557 \pm 9$	$25\,545 \pm 10$	$25\,648 \pm 13$	$26\,030 \pm 19$	$26\,197 \pm 31$	$26\,371 \pm 32$
$\Delta\text{TPC}$	$-3.8 \pm 10$	$0.3 \pm 7$	$3.3 \pm 14$	$3.3 \pm 10$	$-1.4 \pm 8$	$-4.8 \pm 8$
$\Delta\text{DOC}$	$9.8 \pm 5$	$8.8 \pm 7$	$8.9 \pm 43$	$9.2 \pm 10$	$5.7 \pm 17$	$16.3 \pm 20$
$\Delta\text{DIC}$	$4.3 \pm 3.9$	$5.5 \pm 8.7$	$6.2 \pm 11$	$-12.3 \pm 7.2$	$-16.3 \pm 14$	$-20.1 \pm 14$
$\text{CO}_{2\text{flux}}$	$-0.3 \pm 0.7$	$-0.8 \pm 0.6$	$-3.0 \pm 0.5$	$-7.3 \pm 0.5$	$-9.4 \pm 0.6$	$-13 \pm 0.6$
$\text{EXP}_{\text{TPC}}$	$1.5 \pm 0.07$	$1.4 \pm 0.05$	$0.4 \pm 0.07$	$1.9 \pm 0.05$	$1.6 \pm 0.04$	$1.7 \pm 0.05$
BP	$31 \pm 6.8$	$37 \pm 1.4$	$38 \pm 1.4$	$27 \pm 2.1$	$17 \pm 3.8$	$28 \pm 2.3$
$\text{NCP}_{\text{O}}$	$7.6 \pm 16$	$10.5 \pm 13$	$12.7 \pm 20$	$14.3 \pm 13$	$6.0 \pm 10$	$13.2 \pm 14$



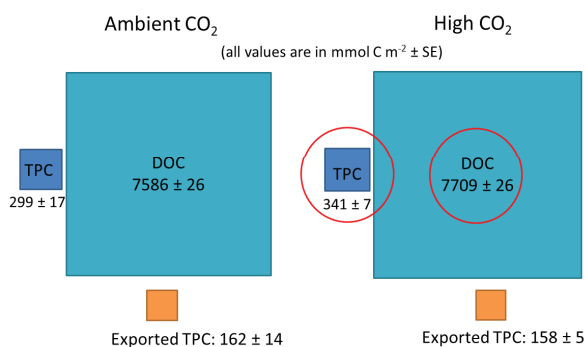
**Figure 2.** The calculated exchange of  $\text{CO}_2$  between the mesocosms and the atmosphere. Positive values indicate net influx (ingassing), and negative values net outflux (outgassing) from the mesocosms. The flux was based on measurements of  $\text{N}_2\text{O}$  as a tracer gas and calculated using Eqs. (2)–(5).

$\text{CO}_2$  concentration. The initial DOC standing stock in all treatments was approximately  $7200 \text{ mmol C m}^{-2}$ . At the end of the experiment, the DOC pool was  $\sim 2\%$  higher in the two highest  $\text{CO}_2$  treatments than in the controls (Fig. 4), and there is statistical support for this difference between  $\text{CO}_2$  treatments (phase III,  $p = 0.05$ ) (Paul et al., 2015). Interestingly, the data do not point to a substantially higher release of DOC at high  $\text{CO}_2$  (Figs. 4 and 5). The bacterial production was notably lower during phase II in the high  $\text{CO}_2$  treatments (Hornick et al., 2016) and of similar magnitude to the rate



**Figure 3.** Change in DIC pool and the atmospheric  $\text{CO}_2$  exchange (Fig. 2). All values are average  $\text{mmol C m}^{-2} \text{ day}^{-1} \pm \text{SE}$  for the three different phases ( $n = 13, 8,$  and  $7$  for phases I, II, and III, respectively) in the control mesocosms (M1 + M5) and high- $\text{CO}_2$  mesocosms (M3 + M8). Solid black arrows indicate measured fluxes. Dashed grey arrows are estimated by closing the budget and indicate the net community production based on inorganic carbon budget ( $\text{NCP}_1$ ), which equals biological uptake or release of  $\text{CO}_2$ .

of change in DOC pool (Tables 2 and 3), indicating reduced bacterial uptake and remineralization of DOC. The combined results suggest that the increase in the DOC pool at high  $\text{CO}_2$  was related to reduced DOC loss (uptake by bacteria), rather than increased release of DOC by the plankton community, at elevated  $\text{CO}_2$  concentration.



**Figure 4.** Standing stocks of total particulate carbon (TPC) and dissolved organic carbon (DOC) at the last day of the experiment (*t*43), plus the sum of exported TPC throughout the experiment; all values are in mmol C m<sup>-2</sup> ± SE (*n* = 2). The values are averages of the two controls (M1 and M5) and the two highest CO<sub>2</sub> treatments (M3 and M8). Red circles indicate statistically significant higher standing stocks in the high CO<sub>2</sub> treatments (further details in text). The size of the boxes indicates the relative size of the carbon standing stocks and export.

The Baltic Sea is affected by large inflow of freshwater containing high concentrations of refractory DOC, such as humic substances, and the concentration in the Gulf of Finland is typically 400–500 μmol C L<sup>-1</sup> (Hoikkala et al., 2015). The large pool of DOC and turnover times of ~200 days (Tables 1–3) are most likely a reflection of the relatively low fraction of labile DOC, but bacterial limitation of mineral nutrients can also increase turnover times (Thingstad et al., 1997).

The DOC pool has been demonstrated to aggregate into transparent exopolymeric particles (TEPs) under certain circumstances, which can increase sedimentation at high CO<sub>2</sub> levels (Riebesell et al., 2007). We did not have any direct measurements of TEP, but any CO<sub>2</sub> effect on its formation is highly dependent on the plankton community and its physiological status (MacGilchrist et al., 2014). No observed effect of CO<sub>2</sub> treatment on carbon export suggests that we did not have a community where the TEP production was any different between the treatments used.

### 3.4 The TPC pool and export of carbon

There was a positive effect of elevated CO<sub>2</sub> on TPC relative to the controls. At the start of the experiment, the measured TPC concentration in the enclosed water columns was 400–500 mmol C m<sup>-2</sup> (Table 1). The TPC pool decreased over time, albeit less in the high CO<sub>2</sub> treatment, and at the end of the experiment the standing stock of TPC was ~6% higher (phase III, *p* = 0.01; Paul et al., 2015) in the high CO<sub>2</sub> treatment (Fig. 4).

The export of TPC was not dependent on the CO<sub>2</sub> concentration but varied temporally. The largest

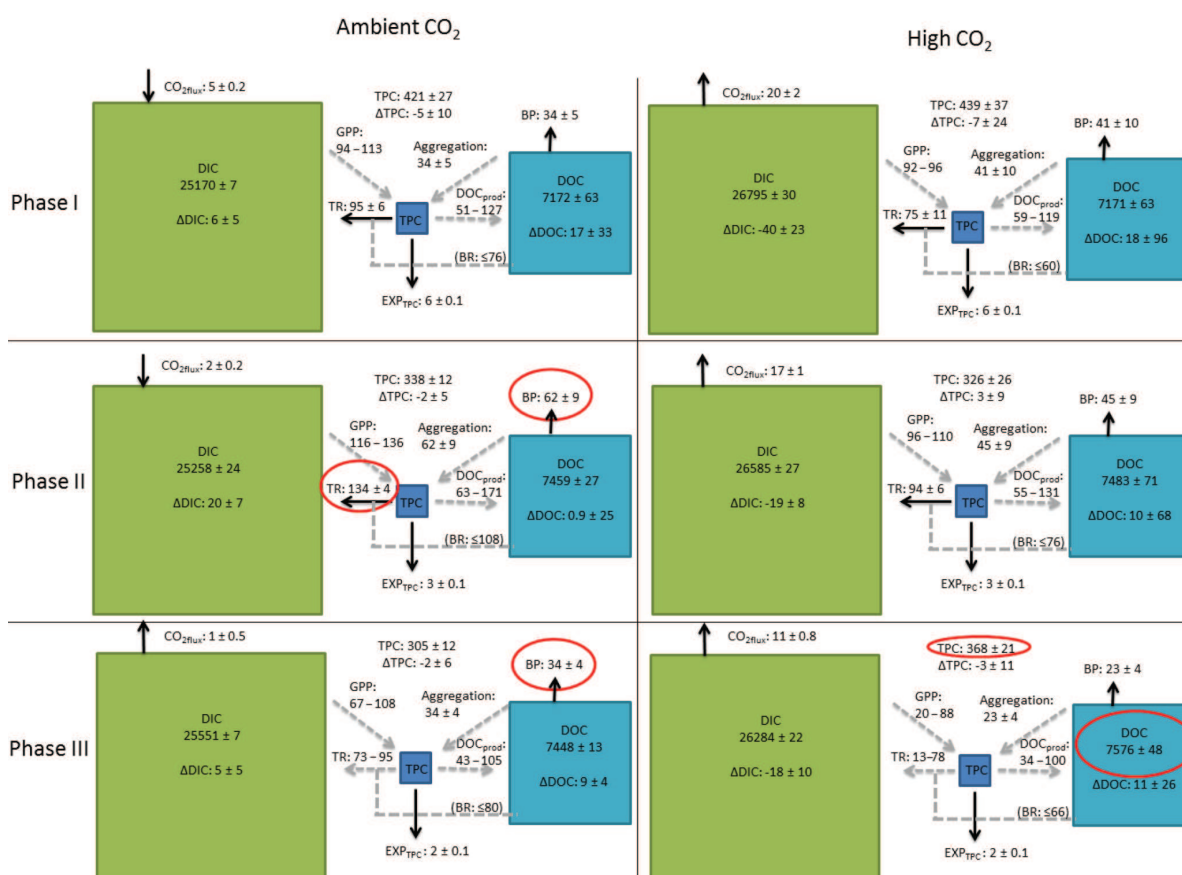
flux of TPC out of the mesocosms occurred during phase I with ~6 mmol C m<sup>-2</sup> day<sup>-1</sup>. It decreased to ~3 mmol C m<sup>-2</sup> day<sup>-1</sup> during phase II and was ~2 mmol C m<sup>-2</sup> day<sup>-1</sup> during phase III (Tables 1–3). The exported carbon as the percent of average TPC standing stock similarly decreased from ~1.3% during phase I to 0.3–0.5% during phase III. The initial increase in the autotrophic biomass was likely the reason for relatively more of the carbon settling in the mesocosms in the beginning of the experiment, whereas the decreasing carbon export was most likely caused by the shift towards a plankton community depending on recycled nitrogen. The relatively high initial sedimentation reduced the overall suspended TPC and also the average plankton size in the community.

### 3.5 Biological rates: respiration

TR was always lower in the CO<sub>2</sub>-enriched treatments (Tables 1–2). The average TR was 83 mmol C m<sup>-2</sup> day<sup>-1</sup> during phase I, and initially without any detectable treatment effect. The respiration rate started to be lower in the high CO<sub>2</sub> treatments than in the controls in the beginning of phase II. At the end of phase II there was a significant difference (*p* = 0.02; Spilling et al., 2016a) between the treatments (Table 2) and 40% lower respiration rate in the highest CO<sub>2</sub> treatment than in the controls (Spilling et al., 2016a).

Cytosol pH is close to neutral in most organisms, and reduced energetic cost for internal pH regulation (e.g., transport of H<sup>+</sup>) and at lower external pH levels could be one factor reducing respiration (Smith and Raven, 1979). Hopkinson et al. (2010) found indirect evidence of decreased respiration and also proposed that increased CO<sub>2</sub> concentration (i.e., decreased pH) reduced metabolic cost of remaining intracellular homeostasis. Mitochondrial respiration in plant foliage decreases in high-CO<sub>2</sub> environments, possibly affected by respiratory enzymes or other metabolic processes (Amthor, 1991; Puhe and Ulrich, 2012). Most inorganic carbon in water is in the form of bicarbonate (HCO<sub>3</sub><sup>-</sup>) at relevant pH, and many aquatic autotrophs have developed carbon-concentrating mechanisms (CCMs) (e.g., Singh et al., 2014) that could reduce the cost of growth (Raven, 1991). There are some studies that have pointed to savings of metabolic energy due to downregulation of carbon-concentrating mechanisms (Hopkinson et al., 2010) or overall photosynthetic apparatus (Sobrino et al., 2014) in phytoplankton at high CO<sub>2</sub> concentrations. Yet other studies of the total plankton community have pointed to no effect or increased respiration at elevated CO<sub>2</sub> concentration (Li and Gao, 2012; Tanaka et al., 2013), and the metabolic changes behind reduced respiration remain an open question. Membrane transport of H<sup>+</sup> is sensitive to changes in external pH, but the physiological impacts of increasing H<sup>+</sup> need further study to better address effects of ocean acidification (Taylor et al., 2012). An important aspect is also to consider the microenvironment surrounding plankton; exchange of nutrients and gases takes





**Figure 5.** Average carbon standing stocks and flow in the control mesocosms (M1 + M5) and high- $\text{CO}_2$  mesocosms (M3 + M8) during the three phases of the experiment. All carbon stocks (squares) – dissolved inorganic carbon (DIC), total particulate carbon (TPC), and dissolved organic carbon (DOC) – are averages from the start of the period in  $\text{mmol C m}^{-2} \pm \text{SE}$  ( $n = 2$ ). Fluxes (arrows) and net changes ( $\Delta$ ) are averages for the whole phase in  $\text{mmol C m}^{-2} \text{ day}^{-1} \pm \text{SE}$  ( $n$  presented in Table legends 1–3). Solid black arrows indicate measured fluxes (Tables 1–3): TR, BP, and exported TPC ( $\text{EXP}_{\text{TPC}}$ ). Dashed grey arrows are estimated by closing the budget: gross primary production (GPP) using Eqs. (7) and (8), and DOC production ( $\text{DOC}_{\text{prod}}$ ) using Eqs. (9) and (10). Bacterial respiration was calculated using Eq. (10) and is a share of TR (indicated by the parenthesis). Aggregation was assumed to equal BP. Red circles indicate statistically significant higher values ( $p < 0.05$ , tests presented in the primary papers described in Sect. 2.2.). The size of the boxes indicates the relative size of the carbon standing stocks.

place through the boundary layer, which might have very different pH properties than bulk water measurements (Flynn et al., 2012).

### 3.6 Biological rates: bacterial production

BP became lower in the high  $\text{CO}_2$  treatment in the latter part of the experiment. During phase I, BP ranged from 27 to 46  $\text{mmol C m}^{-2} \text{ day}^{-1}$  (Table 1). The difference in BP between treatments became apparent in phases II and III of the experiment. The average BP was 18 and 24 % higher in the controls than in the highest  $\text{CO}_2$  treatments during phases II and III, respectively (Tables 2 and 3).

The lower bacterial production accounted for  $\sim 40\%$  of the reduced respiration during phase II, and the reduced respiration described above could at least partly be explained by the lower bacterial activity. This raises an interesting question: what was the mechanism behind the reduced bacterial production/respiration in the high  $\text{CO}_2$  treatment? There are examples of decreased bacterial production (Motegi et al., 2013) and respiration (Teira et al., 2012) at elevated  $\text{CO}_2$  concentration. However, most previous studies have reported no change (Allgaier et al., 2008) or a higher bacterial production at elevated  $\text{CO}_2$  concentration (Grossart et al., 2006; Piontek et al., 2010; Endres et al., 2014). The latter was also supported by the recent study of Bunse et al. (2016), de-

scribing upregulation of bacterial genes related to respiration, membrane transport, and protein metabolism at elevated CO<sub>2</sub> concentration; however, this effect was not evident when inorganic nutrients had been added (high Chl *a* treatment).

In this study, the lower bacterial activity in the high CO<sub>2</sub> treatments could either be due to limitation and/or inhibition of bacterial growth or driven by difference in loss processes. Bacterial grazing and viral lysis were higher in the high CO<sub>2</sub> treatments during periods of the experiment (Crawford et al., 2016) and would at least partly be the reason for the reduced bacterial production at high CO<sub>2</sub> concentration.

N limitation increased during the experiment (Paul et al., 2015), and mineral nutrient limitation of bacteria can lead to accumulation of DOC, i.e., reduced bacterial uptake (Thingstad et al., 1997), similar to our results. Bacterial N limitation is common in the area during summer (Lignell et al., 2013), however, this N limitation was not apparently different in the controls (Paul et al., 2015), and CO<sub>2</sub> did not affect N fixation (Paul et al., 2016a). In a scenario where the competition for N is fierce, the balance between bacteria and similar sized picophytoplankton could be tilted in favor of phytoplankton if they gain an advantage by having easier access to carbon, i.e., CO<sub>2</sub> (Hornick et al., 2016). We have not found evidence in the literature that bacterial production will be suppressed in the observed pH range inside the mesocosms, varying from approximately pH 8.1 in the control to pH 7.6 in the highest *f*CO<sub>2</sub> treatment (Paul et al., 2015), although enzyme activity seems to be affected even by moderate pH changes. For example, some studies report on an increase in protein-degrading enzyme leucine aminopeptidase activities at reduced pH (Grossart et al., 2006; Piontek et al., 2010; Endres et al., 2014), whereas others indicate a reduced activity of this enzyme (Yamada and Suzumura, 2010). A range of other factors affect this enzyme, for example the nitrogen source and salinity (Stepanauskas et al., 1999), and any potential interaction effects with decreasing pH are not yet resolved. Any pH-induced changes in bacterial enzymatic activity could potentially affect bacterial production.

### 3.7 Biological rates: primary production

There was an effect of CO<sub>2</sub> concentration on the net community production based on the organic carbon fraction (NCP<sub>o</sub>). NCP<sub>o</sub> was higher during phase I than during the rest of the experiments and during this initial phase without any apparent CO<sub>2</sub> effect. There was no consistent difference between CO<sub>2</sub> treatments for NPP<sub>14C</sub> ( $p > 0.1$ ), but NCP<sub>o</sub> increased with increasing CO<sub>2</sub> enrichment during phase II (phase II; linear regression  $p = 0.003$ ;  $R^2 = 0.91$ ). This was caused by the different development in the TPC and DOC pools. The pattern of GPP was similar to NCP<sub>o</sub> during phases I and II. During phase III there was no respiration or NPP<sub>14C</sub> measurements, and the estimated GPP is more uncertain. The NCP<sub>o</sub> and GPP indicated a smaller difference between treatments during phase III than phase II.

The measures of NPP<sub>14C</sub> and NCP<sub>o</sub> were of a similar magnitude (Tables 1–3). During phase I, NPP<sub>14C</sub> < NCP<sub>o</sub> (Table 1); this relationship reversed for most treatments during phase II, with the exception of the highest CO<sub>2</sub> levels (Table 2). The difference between NPP<sub>14C</sub> and NCP<sub>o</sub> suggests that observed reduction in respiration at elevated CO<sub>2</sub> could be mainly heterotrophic respiration. However, in terms of the NPP<sub>14C</sub> < NCP<sub>o</sub>, the uncertainty seems to be higher than the potential signal of heterotrophic respiration. This would also indicate that the NPP<sub>14C</sub> during phase I have been underestimated, in particular for the control mesocosm M1. During phase II, the NPP<sub>14C</sub> was higher than NCP<sub>o</sub>, except for the two highest CO<sub>2</sub> treatments, more in line with our assumption of NPP<sub>14C</sub> > NCP<sub>o</sub>. The systematic offset in NPP<sub>14C</sub> during phase I could be due to changed parameterization during incubation in small volumes (8 mL; Spilling et al., 2016a), for example increased loss due to grazing.

The results of the DIC pool and atmospheric exchange of CO<sub>2</sub> provide another way of estimating the net community production based on inorganic carbon (NCP<sub>i</sub>). There was some discrepancy between the NCP<sub>o</sub> and NCP<sub>i</sub> as the latter suggested net heterotrophy in the ambient CO<sub>2</sub> treatments, whereas the high CO<sub>2</sub> treatments were net autotrophic during all three phases of the experiment (Fig. 3). For the NCP<sub>o</sub> there was no indication of net heterotrophy at ambient CO<sub>2</sub> concentration. In terms of the absolute numbers, the NCP<sub>i</sub> estimate is probably more uncertain than NCP<sub>o</sub>. Calculating the CO<sub>2</sub> atmospheric exchange from the measurements of a tracer gas involves several calculation steps (Eq. 1–4), each adding uncertainty to the calculation. However, both estimations (NCP<sub>i</sub> and NCP<sub>o</sub>) indicate that increased CO<sub>2</sub> concentrations lead to higher overall community production, supporting our overall conclusion.

### 3.8 Budget

A carbon budget for the two control mesocosms and two highest CO<sub>2</sub> additions is presented in Fig. 5. During phase I the estimated GPP was  $\sim 100$  mmol C fixed m<sup>-2</sup> day<sup>-1</sup>, from which 75–95 % was respired,  $\sim 1$  % ended up in the TPC (including export), and 5–25 % added to the DOC pool. The main difference between CO<sub>2</sub> treatments became apparent during phase II when the NCP<sub>o</sub> was higher in the elevated CO<sub>2</sub> treatments. The respiration loss increased to  $\sim 100$  % of GPP at the ambient CO<sub>2</sub> concentration, whereas respiration was lower (85–95 % of GPP) in the highest CO<sub>2</sub> treatment. Bacterial production was  $\sim 30$  % lower, on average, at the highest CO<sub>2</sub> concentration than in the controls during phase II. The share of NCP<sub>o</sub> of GPP ranged from 2 to 20 %, and the minimum flux to the DOC pool was 11 to 18 % of TPC.

The overall budget was calculated by using the direct measurements of changes in standing stocks and fluxes of export, respiration, and bacterial production rates. The most robust data are the direct measurements of carbon standing stocks

and their development (e.g.,  $\Delta$ TPC). These are based on well-established analytical methods with relatively low SE of the carbon pools. However, the dynamic nature of these pools made the relative SE for the rate of change much higher, reflecting that the rate of change varied considerably within the different phases.

The rate variables, calculated based on conversion factors, have greater uncertainty, although their SEs were relatively low, caused by uncertainty in the conversion steps. For example, the RQ was set to 1, which is a good estimate for carbohydrate oxidation. For lipids and proteins the RQ is close to 0.7, but in a natural environment RQ is often  $> 1$  (Berggren et al., 2012) and is affected by physiological state, e.g., nutrient limitation (Romero-Kutzner et al., 2015). Any temporal variability in the conversion factors would directly change the overall budget calculations, e.g., RQ affecting total respiration and gross primary production estimates. However, the budget provides an order-of-magnitude estimate of the carbon flow within the system. Some of the variables such as GPP were estimated using different approaches, providing a more robust comparison of the different treatments.

The primary effect of increasing CO<sub>2</sub> concentration was the higher standing stocks of TPC and DOC compared with ambient CO<sub>2</sub> concentration. The increasing DOC pool and relatively higher TPC pool were driven by reduced respiration and bacterial production at elevated CO<sub>2</sub> concentration. Decreasing respiration rate reduced the recycling of organic carbon back to the DIC pool. The lower respiration and bacterial production also indicate reduced remineralization of DOC. These two effects caused the higher TPC and DOC pools in the elevated CO<sub>2</sub> treatments. The results highlight the importance of looking beyond net changes in carbon standing stocks to understand how carbon fluxes are affected under increasing ocean acidification.

#### 4 Data availability

The data presented in this paper can be found in Paul et al. (2016b) and Spilling et al. (2016b).

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## 4. Chapter 4 - Manuscript 3

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# Ocean Acidification Experiments in Large-Scale Mesocosms Reveal Similar Dynamics of Dissolved Organic Matter Production and Biotransformation

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# Ocean Acidification Experiments in Large-Scale Mesocosms Reveal Similar Dynamics of Dissolved Organic Matter Production and Biotransformation

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Dissolved organic matter (DOM) represents a major reservoir of carbon in the oceans. Environmental stressors such as ocean acidification (OA) potentially affect DOM production and degradation processes, e.g., phytoplankton exudation or microbial uptake and biotransformation of molecules. Resulting changes in carbon storage capacity of the ocean, thus, may cause feedbacks on the global carbon cycle. Previous experiments studying OA effects on the DOM pool under natural conditions, however, were mostly conducted in temperate and coastal eutrophic areas. Here, we report on OA effects on the existing and newly produced DOM pool during an experiment in the subtropical North Atlantic Ocean at the Canary Islands during an (1) oligotrophic phase and (2) after simulated deep water upwelling. The last is a frequently occurring event in this region controlling nutrient and phytoplankton dynamics. We manipulated nine large-scale mesocosms with a gradient of  $p\text{CO}_2$  ranging from  $\sim 350$  up to  $\sim 1,030 \mu\text{atm}$  and monitored the DOM molecular composition using ultrahigh-resolution mass spectrometry via Fourier-transform ion cyclotron resonance mass spectrometry (FT-ICR-MS). An increase of  $37 \mu\text{mol L}^{-1}$  DOC was observed in all mesocosms during a phytoplankton bloom induced by simulated upwelling. Indications for enhanced DOC accumulation under elevated  $\text{CO}_2$  became apparent during a phase of nutrient recycling toward the end of the experiment. The production of DOM was reflected in changes of the molecular DOM composition. Out of the 7,212 molecular formulae, which were detected throughout the experiment,  $\sim 50\%$  correlated significantly in mass spectrometric signal intensity with cumulative bacterial protein production (BPP) and are likely a product of microbial transformation. However, no differences in the produced compounds were found with respect to  $\text{CO}_2$  levels. Comparing the results of this experiment with a comparable OA experiment in the Swedish Gullmar Fjord, reveals similar succession patterns for individual compound pools during a phytoplankton bloom

and subsequent accumulation of these compounds were observed. The similar behavior of DOM production and biotransformation during and following a phytoplankton bloom irrespective of plankton community composition and CO<sub>2</sub> treatment provides novel insights into general dynamics of the marine DOM pool.

**Keywords:** dissolved organic matter, ocean acidification, ultrahigh resolution mass spectrometry, FT-ICR-MS, molecular composition, subtropical North Atlantic, mesocosm experiment

## INTRODUCTION

The global ocean currently takes up about 25% of all annually emitted anthropogenic CO<sub>2</sub> (Le Queré et al., 2013). Once dissolved in seawater, most of the CO<sub>2</sub> is transported into deep waters via thermohaline circulation and the biological pump. A smaller fraction of the CO<sub>2</sub>, however, forms carbonic acid and causes a decline in pH in the surface ocean (Zhai and Zhao, 2016). This process is commonly referred to as ocean acidification (OA). Under a business-as-usual climate scenario, surface ocean pH could be reduced by 0.4 units until the end of the century compared to pre-industrial levels (IPCC, 2014). OA may impact physiology of marine organisms, structure of phytoplankton communities, and the biogeochemical cycling of elements (Riebesell et al., 2007, 2017; Kroeker et al., 2010; Dutkiewicz et al., 2015).

One important pool in the marine carbon cycle that may be affected by OA is dissolved organic matter (DOM). It holds a similar amount of carbon as all living biomass on Earth (Hedges, 1992). This huge carbon pool is mainly produced by marine primary producers and consumed and biotransformed within the microbial loop at the bottom of the marine food web (Azam et al., 1983; Carlson et al., 2007). A stimulation of marine primary production by OA (Riebesell, 2000; Engel et al., 2013; Eberlein et al., 2017) may consequently lead to enhanced production of DOM and subsequently stimulate microbial mineralization (Grossart et al., 2006; Piontek et al., 2010). Thus, concurrent OA-induced changes in degradation and transformation processes affect DOM quantity and quality and have the potential to impact the biogeochemical carbon cycle (Moran et al., 2016).

The surface ocean contains a large fraction of labile DOM that is readily respired to CO<sub>2</sub>. Only a smaller fraction of marine primary production is channeled into more recalcitrant DOM fractions (Hansell et al., 2012). This recalcitrant DOM is of importance in terms of oceanic carbon storage and may be altered in a more acidified ocean. So far, OA experiments monitored mainly bulk dissolved organic carbon (DOC) concentrations by neglecting the quality of DOM pools. Thereby, the effect of elevated CO<sub>2</sub> on bulk DOC concentrations in seawater was reported to be positive in large-scale mesocosm and incubation experiments (e.g., Czerny et al., 2013; Engel et al., 2013). However, other studies report no or even negative effects (Yoshimura et al., 2010; Engel et al., 2014; MacGilchrist et al., 2014; Zark et al., 2015a). In contrast, monitoring the molecular DOM composition via FT-ICR-MS may reveal changes in the quality of the accumulating DOM fraction. FT-ICR-MS is to

date the only available analytical technique that holds the power to resolve the molecular masses of more than 60% of intact molecules in DOM (Mopper et al., 2007; Dittmar et al., 2008). In a previous long-term mesocosm experiment in the Swedish Gullmar Fjord, OA effects on DOM molecular composition were analyzed for the first time in an ultrahigh resolution by using Fourier-transform ion cyclotron resonance mass spectrometry (FT-ICR-MS). This study revealed no effects of elevated *p*CO<sub>2</sub> as projected for the end of this century on the DOM pool size and molecular composition in this eutrophic temperate system (Zark et al., 2015a). To what extent these findings can be extrapolated to other regions, in particular less eutrophic open waters, is presently unknown. Microbial communities in surface waters of oligotrophic areas experience less variability in pH than in coastal regions (Hofmann et al., 2011) and are hence less adapted to rapid changes in pH (Salisbury et al., 2008; Joint et al., 2011).

In this study, we tested the effects of OA on the DOM molecular composition in a subtropical system under oligotrophic conditions and in response to simulated upwelling of nutrient-rich deep water. Oligotrophic areas comprise ~30% of the global oceanic primary production and changes in DOM accumulation in these areas may have significant impacts on the marine carbon cycle (Longhurst et al., 1995). So far, effects of OA in oligotrophic environments remain largely unknown, since most community-level field experiments were conducted under nutrient replete conditions. To bridge this knowledge gap, we performed a large-scale pelagic mesocosm experiment in the subtropical North Atlantic Ocean off the coast of Gran Canaria. This area is part of the Canary Current system, where upwelling of nutrient-rich deep water seasonally induces phytoplankton blooms (Aristegui et al., 2009) and controls net production of DOC by input of additional nutrients (Romera-Castillo et al., 2016). Nine sea-going mesocosm systems were deployed, two of them served as controls with ambient *p*CO<sub>2</sub> levels and seven others were artificially enriched with CO<sub>2</sub>. A gradient design with a range of *p*CO<sub>2</sub> levels from ~350 to ~1,030 μatm was chosen to test for the presence of a threshold for detecting *p*CO<sub>2</sub> induced effects. After ~3 weeks, we added ~8,000 L of nutrient-rich deep-sea water to each mesocosm to simulate an upwelling event and monitored responses for another ~5 weeks (Taucher et al., 2017). DOC and dissolved organic nitrogen (DON) concentrations were measured as well as the changes in molecular DOM composition via FT-ICR-MS over the course of the oligotrophic phase and an upwelling-induced phytoplankton bloom. Specifically, we aimed for DOM that accumulates on timescales of weeks or longer, because it represents the non-labile fraction of DOM and is most important for carbon storage. We hypothesize that the effect of increased *p*CO<sub>2</sub> on the amount of primary production channeled

via the microbial loop into the more recalcitrant DOM pool is more pronounced under oligotrophic compared to eutrophic conditions.

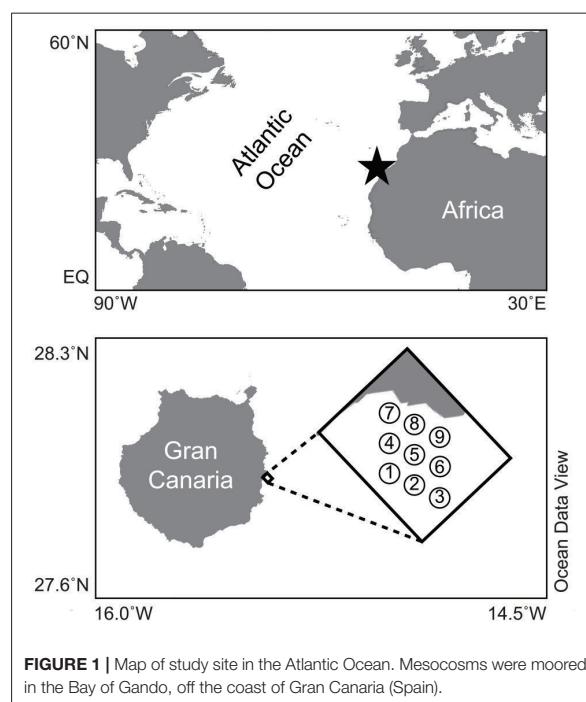
## MATERIALS AND METHODS

### Experimental Set-Up

The mesocosm experiment was performed from September to November 2014 at the Plataforma Oceánica de Canarias (PLOCAN) on Gran Canaria, Spain. A technical description of the Kiel Off-Shore Mesocosms for Ocean Simulations (KOSMOS) facility and the experimental design is given in Riebesell et al. (2013) and Schulz et al. (2013). In brief, nine cylindrical mesocosms of  $\sim 35 \text{ m}^3$  volume were deployed in the Bay of Gando (Figure 1) and filled at the same time with seawater from the Atlantic. The mesocosms were manipulated with  $\text{CO}_2$  to reach average  $p\text{CO}_2$  levels of 450 (M5), 560 (M3), 670 (M7), 720 (M4), 890 (M2), and  $1,030 \mu\text{atm}$  (M8) between day 1 and day 55 (rounded values). The remaining two mesocosms were used as controls at ambient  $p\text{CO}_2$ -values of 350 (M9) to  $370 \mu\text{atm}$  (M1). Initial manipulations with  $\text{CO}_2$  were done by stepwise addition of  $\text{CO}_2$  saturated seawater (days 0, 2, 4, and 6). All mesocosms were open to the atmosphere and  $\text{CO}_2$  enriched water had to be added at several time points to keep the  $p\text{CO}_2$  level close to the target (days 21 and 38). After  $\text{CO}_2$  manipulation, the pH was on average 0.26 units lower in the enriched mesocosms compared to the controls. To simulate a naturally occurring upwelling event we added about 8,000 L deep-sea water ( $62 \mu\text{mol L}^{-1}$  DOC,  $17 \mu\text{mol L}^{-1}$  combined  $\text{NO}_3^-$  and  $\text{NO}_2^-$ ) to each of the mesocosms on day 23. It has to be noted that one of the mesocosms with moderate  $p\text{CO}_2$  treatment (M6) was damaged on day 26 and had to be excluded from all statistical and graphical analyses. Comprehensive information about experiment design and basic parameters is provided in an overview by Taucher et al. (2017).

### Sample Preparation and Bulk Analysis

Representative samples were collected for both, the mesocosms and the surrounding Atlantic water, every other day from boats between 09:00 a.m. and 12:00 a.m. local time, starting from the day after the mesocosm bags were closed (day -3). We used 5 L integrating water samplers (IWS, Hydrobios) collecting a representative sample for the upper 13 m of the water column. Carbonate chemistry parameters such as pH and  $p\text{CO}_2$  were calculated from total alkalinity (TA) and dissolved inorganic carbon (DIC) using  $\text{CO}_2\text{SYS}$  (Pierrot et al., 2006) and dissociation constants of Lueker et al. (2000) as described in Taucher et al. (2017). In brief, TA analyses were carried out via potentiometric titration (Metrohm 862 Titrosampler) after Dickson et al. (2003) and DIC concentrations were determined by infrared absorption of  $\text{CO}_2$  purged from an acidified sample (MARIANDA AIRICA system with LI-COR LI-7000). Concentrations of chlorophyll *a* (Chl *a*) and other phytoplankton pigments were analyzed by high performance liquid chromatography (HPLC). Therefore, samples (500–1,000 mL) were collected by filtration onto glass fiber filters (GF/F



**FIGURE 1** | Map of study site in the Atlantic Ocean. Mesocosms were moored in the Bay of Gando, off the coast of Gran Canaria (Spain).

Whatman, nominal pore size of  $0.7 \mu\text{m}$ ) and stored in cryovials at  $-80^\circ\text{C}$ . For the HPLC analyses, samples were extracted in acetone (100%) in plastic vials by homogenization of the filters using glass beads in a cell mill. After centrifugation (10 min, 5,200 rpm,  $4^\circ\text{C}$ ) the supernatant was filtered through  $0.2 \mu\text{m}$  PTFE filters (VWR International). From this, phytoplankton pigment concentrations were determined using a Thermo Scientific HPLC Ultimate 3000 with Eclipse XDB-C8 3.5u 4.6  $\times$  150 column.

For dissolved organic carbon (DOC) and total dissolved nitrogen (TDN) analysis, samples were collected into pre-rinsed 250 mL polycarbonate bottles (Nalgene) in triplicate. The samples were then filtered through a syringe with  $0.7 \mu\text{m}$  GF/F pre-combusted ( $400^\circ\text{C}$ , 4 h) glass microfiber filters (Whatman) into pre-combusted 20 mL glass vials ( $400^\circ\text{C}$ , 4 h) with acid-rinsed Teflon caps (Wheaton). Directly after filtration, we acidified samples with HCl (25%, analysis grade, Carl Roth) to pH 2. The analysis of DOC and TDN concentrations was done via a high-temperature catalytic oxidation method (Qian and Mopper, 1996) using a Shimadzu TOC-VCPH/CPN Total Organic Carbon Analyzer, equipped with ASI V auto sampler and TNM-1 module for the determination of TDN. The accuracy of the measurement was controlled with Florida Strait Water reference material (D.A. Hansell, University of Miami, Florida) for every run. The error for DOC and TDN analysis was on average 4 and 10%, respectively. Average concentrations were calculated for each mesocosm and time point from triplicates. We calculated dissolved organic nitrogen (DON) concentrations from TDN by subtracting the concentration of all dissolved inorganic nitrogen species (DIN). DIN is the sum of nitrate,

nitrite and ammonium concentrations that were measured using a segmented flow analyzer (SEAL QuAAtro).

## Molecular Characterization

Samples for molecular DOM characterization were collected from the IWS sampler into 2 L acid-rinsed polycarbonate bottles (Nalgene). The samples were transported to shore and stored at *in situ* water temperatures in the dark until processing on the same day. After filtration through 0.7  $\mu\text{m}$  GF/F glass microfiber filters (Whatman) using manual vacuum pumps (<200 mbar) we acidified samples with HCl (25%, analysis grade, Carl Roth) to pH 2. Samples were stored at 4°C in the dark until subsequent solid phase extraction (SPE) according to Dittmar et al. (2008). We used a commercially available modified styrene divinyl benzene polymer resin (PPL, 1 g, Agilent). Prior to use, cartridges were soaked in methanol (HPLC grade, Sigma-Aldrich) overnight, and rinsed sequentially with methanol and 0.01 mol L<sup>-1</sup> HCl in ultrapure water. After loading the samples onto the cartridges they were rinsed with 0.01 mol L<sup>-1</sup> HCl to remove all remaining salts and dried with nitrogen gas (analysis grade, Air Liquide). The extracted DOM was eluted with 6 mL methanol and stored in pre-combusted glass vials at 20°C. Extraction efficiencies for this method are typically >60% on a carbon basis (Stubbins and Dittmar, 2012; Green et al., 2014). Especially colloidal matter and small ionic compounds may escape extraction and are likely lost from our analytical window. For this study the average extraction efficiency was  $34 \pm 4\%$  across all mesocosms. Despite the relatively low recovery, SPE-DOC concentrations followed the general succession of DOC concentrations and can hence be considered as representative. Procedural blanks were prepared by processing ultrapure water the same way as DOM samples. The detection limit for solid phase extractable DOC (SPE-DOC) was lower than the detection limit for regular DOC samples (Stubbins and Dittmar, 2012) due to concentration by a factor of hundred. SPE-DOC concentrations in the resulting blank extracts were slightly above detection limit but did not exceed a concentration level of 12.3  $\mu\text{mol L}^{-1}$ .

We used FT-ICR mass spectrometry for molecular characterization of the DOM pool. Thereby, individual compounds can be resolved from the complex mixture and due to the high mass accuracy, molecular formulae can be assigned. Molecular formulae may be grouped into categories according to indices derived from elemental composition ("Aliphatics"  $\text{H/C} \geq 1.5$ , "CRAM O-poor"  $\text{H/C} < 1.5$  &  $\text{AI}_{\text{mod}} \leq 0.5$  &  $\text{O/C} \leq 5$ , "CRAM O-rich"  $\text{H/C} < 1.5$  &  $\text{AI}_{\text{mod}} \leq 0.5$  &  $\text{O/C} > 5$ , "Polyphenols"  $0.5 < \text{AI}_{\text{mod}} \leq 0.66$ , "Polycyclic aromatics"  $\text{AI}_{\text{mod}} > 0.66$ ) (Koch and Dittmar, 2006; Riedel et al., 2016). This is not meant to be an unambiguous identification of molecular structures.

Mass spectra were obtained on a 15 Tesla Solarix FT-ICR-MS system (Bruker Daltonics) equipped with an electrospray ionization source (ESI, Bruker Apollo II) applied in negative ionization mode. Methanol extracts were diluted with ultrapure water and methanol to give a final concentration of 15 mg C L<sup>-1</sup> in a 1:1 mixture (v/v) of methanol (HPLC grade, Sigma-Aldrich) and ultra-pure water. For each measurement we accumulated

500 scans in the mass window of 150–2,000 Da. We calibrated spectra internally with a reference mass list using the Bruker Daltonics Data Analysis software package. The mass error of the calibration was <0.06 ppm for all samples. To remove noise a method detection limit following the guidelines of Riedel and Dittmar (2014) was applied. Compounds detected in procedural blanks were removed. We further found a group of likely contaminants ( $n = 50$ ), which continuously increased in all mesocosms. These compounds were excluded from statistical analysis, as some of them correspond to known constituents of detergents. During previous mesocosm experiments using the identical setup, however, no such contamination could be observed (Zark et al., 2015a).

All 89 samples from a total of 10 time points (79 samples from mesocosms and 10 from the Atlantic) were analyzed via FT-ICR-MS in random order. To test the reproducibility and stability of the FT-ICR-MS analysis, we analyzed DOM extract of North Equatorial Pacific Intermediate Water (NEqPIW) twice per day (Green et al., 2014). MATLAB routines developed by our working group were applied for molecular formula assignment and further data processing. All molecules were detected as singly-charged ions and molecular formulae were assigned based on the criteria by Koch et al. (2007) and Rossel et al. (2013), under consideration of the elements C, H, O, N, S, and P.

## Bacterial Protein Production

Rates of bacterial protein production (BPP) were determined by incorporation of <sup>14</sup>C-leucine (Simon and Azam, 1989) modified after Grossart et al. (2006) and Allgaier et al. (2008). Triplicates and a formol-killed control were incubated *in situ* with <sup>14</sup>C-Leu (318 mCi mmol<sup>-1</sup>; Hartmann Analytic) at a concentration, which ensured saturation of the bacterial uptake systems. Incubation was performed for 1 h in dark at *in situ* temperature and gentle moving of closed containers in a water bath to prevent settling of particles. After fixation with 2% formalin, samples were filtered onto 5.0  $\mu\text{m}$  nitrocellulose filters (Sartorius AG) for the particle associated bacteria fraction and extracted with ice-cold 5% trichloroacetic acid (TCA) for 5 min. Thereafter, filters were rinsed twice with ice-cold 5% TCA, once with ethanol (50% v/v) and mixed with liquid scintillation cocktail (Ultima Gold<sup>TM</sup>, Perkin Elmer). For the free-living bacteria the filtrate was filtered on 0.2  $\mu\text{m}$  nitrocellulose filters (Sartorius) and processed in the same way. The incorporated leucine was measured as disintegrations per minute (dpm) on a liquid scintillation analyzer (TriCarb 2810 TR, Perkin Elmer). The sum of incorporated <sup>14</sup>C-leucine of both size-fractions was converted into BPP by using an intracellular isotope dilution factor of 2 (Kirchman, 1993). A factor of 0.86 was used to convert the produced protein in carbon (Simon and Azam, 1989). Standard deviation of triplicate measurements was usually <15%. Cumulative BPP was determined by summing up the average rates per day of experiment.

## Statistical Analysis of FT-ICR-MS Data

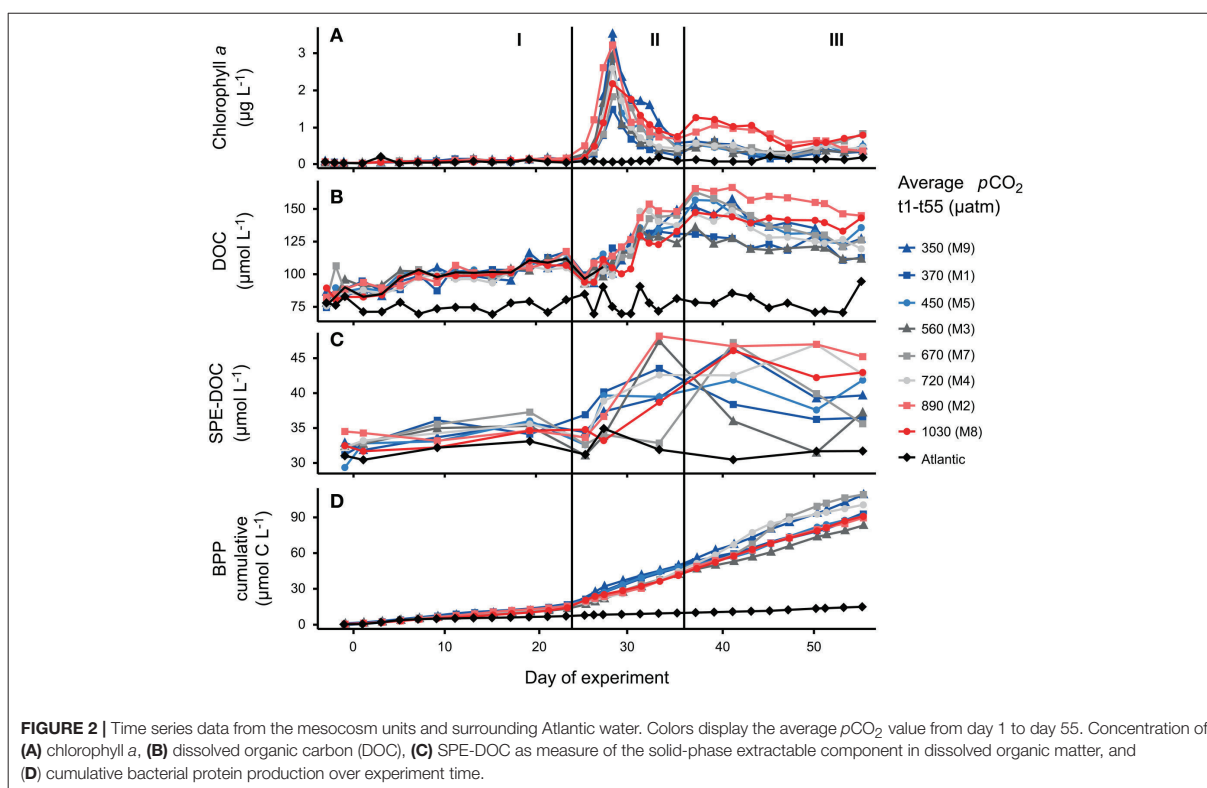
All statistical analyses were based on normalized peak magnitudes of FT-ICR-MS signals. Variations in the molecular

DOM composition were characterized by principal components analysis (PCA). Additionally, a Bray-Curtis based distance matrix was calculated. This method from ecology aims at quantification of the dissimilarity of different ecological sites based on the counts of individual species (Bray and Curtis, 1957). In analogy, we calculated the dissimilarity of all mesocosms at each time point throughout the experiment based on normalized peak magnitudes of the individual DOM compounds. The dissimilarity may reach values between 0 (the two mesocosms share all molecular formulae in similar abundances) and 1 (the mesocosms share no molecular formulae). Thereby, a general comparison of the molecular diversity between samples can be obtained. We further calculated Pearson product-moment correlation coefficients ( $r$ ) for the co-correlation of individual relative signal intensities of each detected molecular formula with cumulative BPP over time (Figure 4C). This statistical approach results in Pearson correlation coefficients ( $r$ ) for all molecular formulae of each individual mesocosm (Figure 4B). Pearson correlation coefficients can range from  $-1$  (negative linear relationship) to  $1$  (positive linear relation). We then used the obtained correlation coefficients for a follow-up Pearson correlation between the mesocosm units that aimed at revealing similar temporal dynamics of DOM molecular formulae (Figure 4A). All statistical analyses were performed with the software package R (Version 3.0.2, package “vegan,” Oksanen et al., 2013).

## RESULTS

### DOC Production after a Phytoplankton Bloom Induced by Artificial Upwelling

Prior to the addition of  $\text{CO}_2$ , mesocosms showed similar conditions after a short equilibration period (Figures 2A–D). After  $\text{CO}_2$  addition,  $p\text{CO}_2$  inside the mesocosms showed temporal fluctuations throughout the experiment due to outgassing and repeated additions of  $\text{CO}_2$  that were done to readjust the gradient. An overview on the temporal succession of  $p\text{CO}_2$  in the experiment is provided by Taucher et al. (2017). The temporal succession of phytoplankton biomass was similar for all mesocosms and can be divided into three phases that were driven by different processes. The first phase of the experiment was characterized by oligotrophic conditions (phase I) with stable and low chlorophyll  $a$  concentrations (Figure 2A). During this phase, an increase in DOC concentration was observed (Figure 2B) from  $80 \pm 5 \mu\text{mol L}^{-1}$  on day 3 to  $112 \pm 5 \mu\text{mol L}^{-1}$  on day 23 (mean  $\pm$  SD), while BPP remained stable (Figure 2D). A similar trend was not observed for the samples from the Atlantic. Immediately after the start of phase II, with addition of nutrient-rich deep water, chlorophyll  $a$  rapidly increased in all mesocosms (Figure 2A). A maximum of  $3.5 \mu\text{g L}^{-1}$  was reached on day 28 which is within the range of chlorophyll  $a$  (Chl  $a$ ) maxima in winter months and is typical for the subtropical oligotrophic Atlantic after upwelling events at this time of the year (Neuer



et al., 2007). The bloom was dominated by diatoms (~70% of Chl *a*) as the most abundant group, but also included other groups such as dinoflagellates, prymnesiophytes (*Phaeocystis*) and cyanobacteria (e.g., *Synechococcus*) (Taucher et al., 2017). A sharp decrease of DOC from day 23 to day 25 occurred due to dilution by the injected deep water. At the same time, cumulative BPP increased from  $41.8 \pm 3.1$  to  $54.5 \pm 4.4 \mu\text{mol C L}^{-1}$  (Figure 2D). DOC concentrations increased to a maximum of  $149 \pm 12 \mu\text{mol L}^{-1}$  after the decay of the bloom on day 37 (Figure 2B). Inorganic nutrients were again depleted from day 30 until the end of the experiment. At the beginning of phase III, a second phytoplankton bloom developed in mesocosms M2 and M8, sustained from recycled production with a low Chl *a* concentration ( $0.7 \mu\text{g L}^{-1}$  on day 37, Figure 2A). Cumulative BPP increased constantly during phase III and bacterial activity remained on a high level during the post-bloom (Figure 2D).

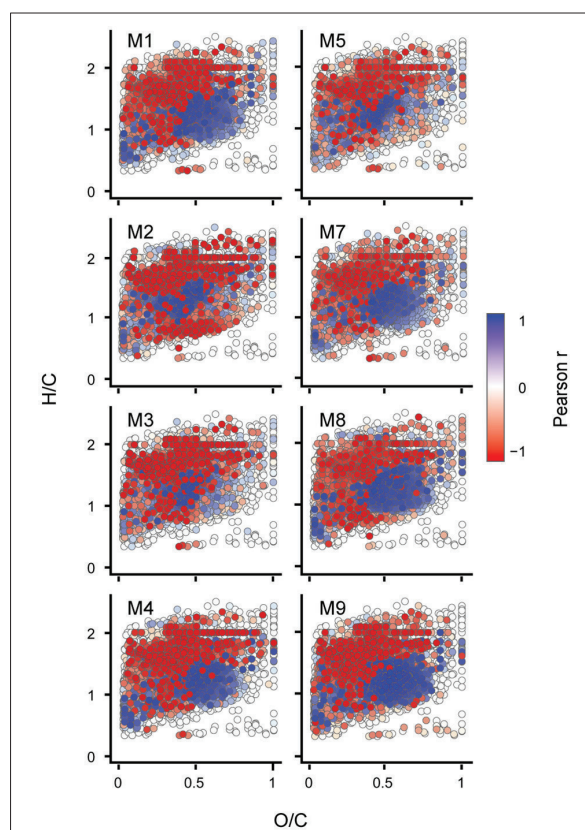
Apart from these general trends, the two mesocosms with the highest  $p\text{CO}_2$  treatments (1,030 and  $890 \mu\text{atm } p\text{CO}_2$ ) showed higher Chl *a* and DOC concentrations during recycled production in post-bloom phase III. This trend is significant in a linear regression of both, DOC and Chl *a* with phase-averages of  $p\text{CO}_2$  ( $p < 0.05$ ) (Supplementary Materials Figure 1). It has to be noted that the plankton community composition in both high  $\text{CO}_2$  treatments was clearly different from the other treatments and may have accounted for the differences in both, DOC and Chl *a* (Taucher et al., 2017). There were no differences with  $\text{CO}_2$  between treatments for phases I and II.

## The Succession of Molecular DOM Composition

Taken together, a total of 7,212 intact compounds with assigned molecular formulae were identified across all mesocosm samples after removal of contaminant signals. For further statistical analysis, the 5,205 molecular formulae with highest signal intensities were selected from each sample. This number was chosen because it was the lowest number in a single sample across the entire sample set. SPE-DOC concentrations in the collected DOM extracts reflect the same general trends as DOC concentration (Figure 2C) and our analysis can thus be considered representative for the fraction in DOM that showed variability during the experiment. FT-ICR-MS signal intensities followed an overall similar pattern with a bell-shaped distribution along the mass axis and an intensity weighted maximum at 372 Da. Using PCA, we could summarize 60% of the total variability of the complex molecular information in a single component (PC1). This component correlated significantly in a Pearson's product-moment correlation with DOC and showed a highly reproducible trend among all independent mesocosm units over time (Pearson,  $r = 0.60$ ,  $p < 0.0001$ ,  $n = 79$ ). Thus, it represents the accumulating molecular signature (Supplementary materials Figure 2). A positive correlation was observed for PC3 with  $\text{CO}_2$  (Pearson,  $r = 0.20$ ,  $p < 0.05$ ,  $n = 79$ ). This component, however, explains only 6% of the total observed variability. In agreement to the results from PCA, the dissimilarity on a Bray-Curtis level from on average 8.7% in phase I and phase II, which is within our analytical error, increased to 14.4% in phase

III (Supplementary materials Figure 3), but without indications for a trend associated to  $p\text{CO}_2$  levels and the same amount of variability was observed for comparing control to control mesocosms and control to high  $\text{CO}_2$  mesocosms.

To compare the succession of DOM on a molecular level between individual mesocosm units in more detail, we performed targeted statistical analysis on specific compound groups using cumulative BPP as proxy. We assume that molecular formulae showing close coupling to cumulative BPP in their succession of signal intensities represent the DOM that is a product of bacterial transformation of freshly produced carbon. This fraction of the marine DOM pool accumulates over timescales of weeks to months and is most important in terms of carbon storage. A Pearson correlation of the normalized signal intensities with cumulative BPP for the individual mesocosms revealed that 50% of all detected molecular formulae ( $n = 3,583$ ) were showing significant correlations with cumulative BPP (Pearson,  $p < 0.05$ ) (Figure 3). This is in good agreement to the results from Bray-Curtis based dissimilarity analysis. No differences were observed



**FIGURE 3** | Trends of individual molecular compounds displayed in van Krevelen space. Each dot represents one molecular formula ( $n = 7,212$ ). Pearson's product-moment correlation of cumulative bacterial protein production (BPP) with relative signal intensities for the individual mesocosm units. The color displays the Pearson correlation coefficient ( $r$ ) with values between  $-1$  (negative linear relation) and  $1$  (positive linear relation).

in the type of accumulating molecular formulae between  $\text{CO}_2$  levels.

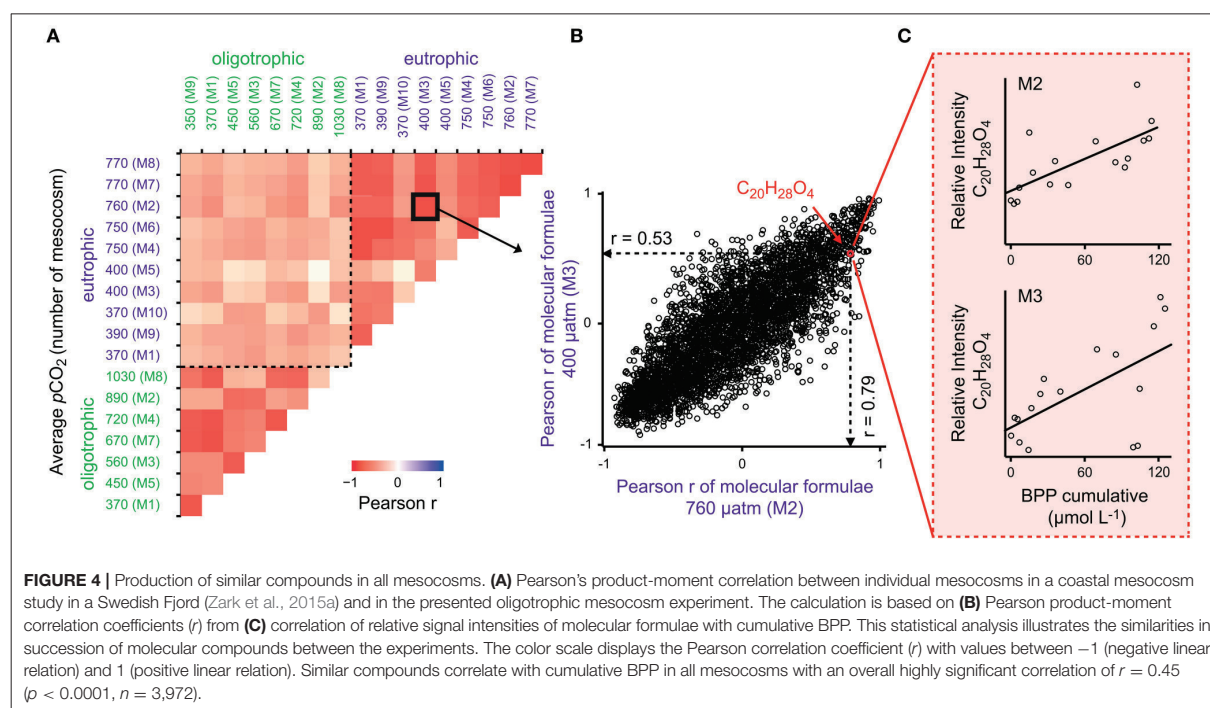
To test for generality of these results, we applied the same proxy approach to published molecular DOM data from a mesocosm experiment performed in a eutrophic and temperate environment in the Swedish Gullmar Fjord (Zark et al., 2015a,b). For the fraction of common molecular formulae present in both studies ( $n = 3,972$ ), we searched for molecular formulae showing co-correlation with cumulative BPP (Figure 4C) by calculating Pearson's product-moment correlation coefficients ( $r$ ) for each individual molecular formula (Figure 4B). In a second step, Pearson's product-moment correlation coefficients between individual mesocosms were calculated to test whether molecular formulae show the same co-correlation patterns in the two experiments contrasting in location and habitat features (Figure 4A). The result was highly significant with average Pearson correlation coefficients of  $r = 0.71$  for this study and  $r = 0.68$  for the eutrophic study in the Swedish Fjord (Pearson,  $p < 0.0001$ ). The average of the Pearson correlation coefficients between both experiments was lower, but also highly significant ( $r = 0.46$ ,  $p < 0.0001$ ). Grouping the molecular formulae into molecular categories confirmed this finding (Figure 5). While aliphatic compounds were not produced over the course of both experiments (Figures 5A,B), highly unsaturated compounds, also known as carboxyl-rich alicyclic molecules (CRAM) (Hertkorn et al., 2006) decreased in relative intensity (Figures 5C,D,E,F). It should be mentioned that these molecular categories are deduced from elemental compositions only, without further structural information (Šantl-Temkiv et al.,

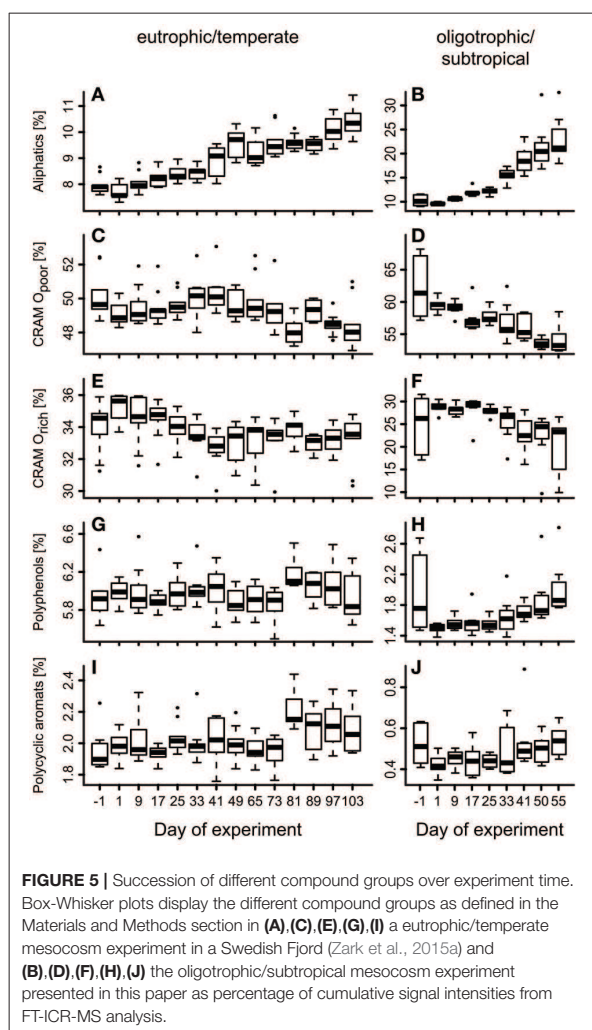
2013). The overall percentages of the individual compound groups varied with trophicity, i.e., between the eutrophic and oligotrophic systems (Figure 5). Most importantly, however, there were no major differences in the most abundant compound groups.

## DISCUSSION

### $\text{CO}_2$ Effects on the Marine DOM Pool

No differences were observed between the mesocosms during DOC production in phases I and II with respect to bulk parameters and DOM molecular composition. The increase in DOC concentration in phase I was presumably caused by several factors. In the beginning of phase I a bloom of unicellular picocyanobacteria occurred, followed by a dust event from day 16 to day 22 which induced an increase of diatom biomass (Taucher et al., 2017). Concentrations of DOC and SPE-DOC started to diverge between treatments with the onset of recycled production in phase III, after inorganic nutrients added by the deep water injection had been consumed. DOC concentrations were highest in the two mesocosms with highest  $p\text{CO}_2$  (890 and 1,030  $\mu\text{atm}$ ) during this period. This is likely an indirect effect of differences in plankton community structure, which were induced by elevated  $p\text{CO}_2$  and remained apparent in all three phases of the experiment (Taucher et al., 2017). Our findings provide evidence for the existence of a threshold for indirect  $p\text{CO}_2$ -effects on DOC concentrations above  $\sim 890$   $\mu\text{atm}$   $p\text{CO}_2$ . Doubtlessly, this estimate is very coarse considering the chosen  $p\text{CO}_2$  levels, spatial limitations of the mesocosms, the





lack of replicates, and the fact that only a short time period was monitored compared to the time scales typically used for projections. Nevertheless, they are consistent with previous mesocosm experiments, for example with studies conducted in Finland (Paul et al., 2015) and in the Arctic (Czerny et al., 2013; Engel et al., 2013) where higher DOC accumulation was observed under strongly elevated  $p\text{CO}_2$  levels of up to  $1,420 \mu\text{atm}$ . In agreement to the proposed threshold, it was reported that lower maximum target levels of  $\sim 700\text{--}800 \mu\text{atm}$   $p\text{CO}_2$  showed no significant effect on DOC concentration (MacGilchrist et al., 2014; Zark et al., 2015a). These studies, however, were all conducted in coastal areas under eutrophic conditions. Studies conducted under oligotrophic conditions in the Mediterranean Sea without artificial addition of nutrients showed no effect on chlorophyll *a* and DOC accumulation under higher  $p\text{CO}_2$  levels of up to  $\sim 1,000 \mu\text{atm}$  (Maugendre et al., 2017). Incubation studies using water from the oligotrophic Okhotsk Sea even show

higher DOC removal under enhanced  $\text{CO}_2$  (Yoshimura et al., 2010). Conclusively, the effect of  $p\text{CO}_2$  on DOM quantity appears to be ambiguous in the literature, most likely because it may strongly depend on the environmental settings, particularly the respective location, nutritional status and organismic community composition.

### Production of a Fraction of Compounds with Similar Molecular Formulae in All Mesocosms

In a previous mesocosm experiment in a Swedish Fjord with elevated  $p\text{CO}_2$  levels of  $760 \mu\text{atm}$ , we observed no effects of  $p\text{CO}_2$  on DOM compounds being consumed or produced over time (Zark et al., 2015a; Bach et al., 2016). The same was true for this study in the subtropical North Atlantic Ocean. Despite indications for DOC accumulation, the overall effect of  $p\text{CO}_2$  on DOM bulk molecular composition, if present, is smaller than the variance between control replicates toward the end of the experiment. Nevertheless, it is possible that some DOM compounds are produced by phytoplankton and quickly recycled by bacteria as a response to elevated  $\text{CO}_2$  levels. Such enhanced cycle would not necessarily leave detectable imprints in the DOM molecular composition of our samples, because our sampling frequency did not resolve the relevant time scales and possibly also because of rapid aggregation of biopolymers to particles (Engel et al., 2004, 2014; Taucher et al., 2015). Furthermore,  $\text{CO}_2$  may have a strong impact on specific DOM degradation processes which become not apparent in bulk analyses (Allgaier et al., 2008; Bergen et al., 2016).

To improve our current understanding of the underlying DOM dynamics, cumulative BPP was used as proxy to assess in more detail the  $p\text{CO}_2$ -induced differences in the accumulating DOM fraction over time. It is important to differentiate between DOM reactivity fractions because DOM with short turnover times does not significantly contribute to oceanic carbon export, unless it aggregates to larger particles which sink to the bottom and get buried in the sediment. On the other hand, longer-lived DOM compounds may contribute to carbon sequestration into the oceans interior. Due to the sampling frequency and duration, we covered mainly two operationally-defined reactivity fractions of DOM in our study, i.e., labile and semi-labile DOM. A major fate of photosynthetically produced labile DOM is quick turnover within the microbial loop on timescales of hours to days (Ducklow et al., 2001; Hansell, 2013), whereas semi-labile DOM resists microbial degradation in the surface ocean and accumulates over months and years before it is transported to depths of  $>100 \text{ m}$  (Hansell et al., 2012). We assumed that semi-labile DOM compounds accumulate as a result of bacterial activity and thus correlate with cumulative BPP. It has to be noted, that other cumulative data may be also used as proxy for the accumulating DOM, but may not be environmentally meaningful. Refractory and ultra-refractory DOM may also have been produced by microbial transformation or other processes during our study, but cannot be separated from semi-labile DOM, since the lifetime of both reactivity fractions exceeds by far the duration of our experiment. However, it should be noted that



due to our sampling frequency (every second day) highly labile DOM was not resolved as well.

We found that half of the detected molecular formulae showed significant ( $p < 0.05$ ) correlation with cumulative BPP similar for all mesocosms over time. This finding is remarkable, given the fact that the plankton community composition inside the mesocosms differed in relation to the  $p\text{CO}_2$  level (Taucher et al., 2017). Whereas, freshly produced DOM from different phytoplankton species is clearly distinct in its individual chemical composition (Landa et al., 2014), the accumulating DOM fraction in our experiment, which remains after an initially rapid remineralization and microbial degradation of the highly labile compounds, was not. This notion may be explained by functional redundancy either in plankton communities regarding production of similarly stable compounds, or in degradation processes. Our results from a natural system support previous small-scale mesocosm experiments indicating production of similar compounds over long timescales despite variability in phytoplankton composition (Osterholz et al., 2015).

Applying the same approach to the molecular DOM data from our experiment in a Swedish Fjord (Zark et al., 2015a,b), we found a similar fraction of molecular formulae in both, the oligotrophic North Atlantic Ocean and the eutrophic fjord system. In both experiments, they significantly correlated with cumulative BPP in a similar manner. These findings suggest that compounds with the same elemental composition also show the same spatial and temporal dynamics in production and degradation. The formation of similar molecular compounds following the breakdown of the phytoplankton bloom, independent of environmental conditions such as phytoplankton community structure and acidification, indicates a rather universal microbial DOM transformation in different ecosystems. This finding points toward a high resilience of processes shaping the molecular DOM signature in complex pelagic communities.

## CONCLUSION

DOM concentration and composition in our large-scale mesocosm experiments showed the same succession independent of  $p\text{CO}_2$  treatment. OA induced effects became only apparent at the two highest  $\text{CO}_2$ , i.e., levels  $>890 \mu\text{atm}$ , through elevated DOC concentrations during the last experimental phase. However, molecular DOM pool composition remained the same. Regarding climate scenarios, the obtained  $p\text{CO}_2$  threshold level will be reached under the “business as usual emission” scenario until the end of the century (IPCC, 2014; Gattuso et al., 2015). However, the observed trends were not pronounced and can only serve as an indicator. If excess DOC was available in a future high  $\text{CO}_2$  ocean, it could function as nutrient for new production. Alternatively, it could be sequestered and may thereby cause a negative feedback to the climate system.  $p\text{CO}_2$  levels below  $\sim 890 \mu\text{atm}$  did not reveal significant differences in DOM quality and molecular

compound groups show similar dynamics over the succession of phytoplankton blooms in two highly contrasting environments, i.e., a temperate eutrophic vs. a subtropical oligotrophic system. This finding indicates a high resilience of microbial DOM transformation processes independent of any environmental variable leading to generally very similar temporal dynamics of DOM groups following phytoplankton blooms. Comparing different large-scale OA mesocosm experiments, thus, provides valuable insights into the biogeochemical dynamics of DOM compounds.

## AUTHOR CONTRIBUTIONS

All authors were involved in conceiving the study. MZ, UR, TH, and NB took the samples. MZ and NB conducted solid-phase extractions. FT-ICR-MS analysis was performed by MZ. TH and HG analyzed BPP rates. Statistical analyses were done by MZ and TD. MZ wrote the manuscript with comments from all other authors.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <http://journal.frontiersin.org/article/10.3389/fmars.2017.00271/full#supplementary-material>

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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*Supplementary Material*

**Ocean Acidification Experiments in Large-Scale Mesocosms Reveal  
Similar Dynamics of Dissolved Organic Matter Production and  
Biotransformation**

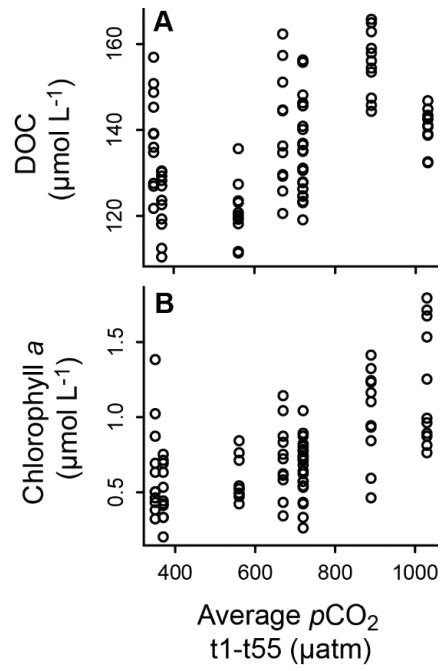
Maren Zark<sup>1\*</sup>, Nadine K. Broda<sup>1</sup>, Thomas Hornick<sup>2</sup>, Hans-Peter Grossart<sup>2,3</sup>, Ulf Riebesell<sup>4</sup>,  
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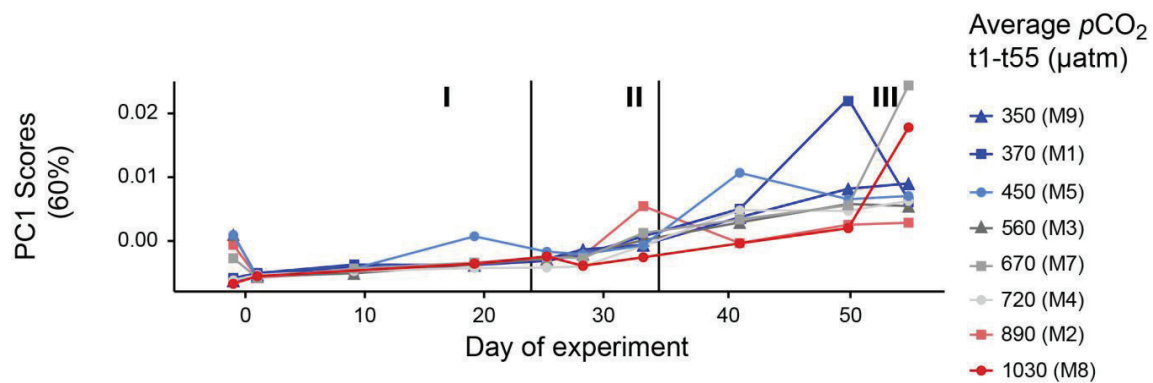
*Supplementary Figure 1*

*Supplementary Figure 2*

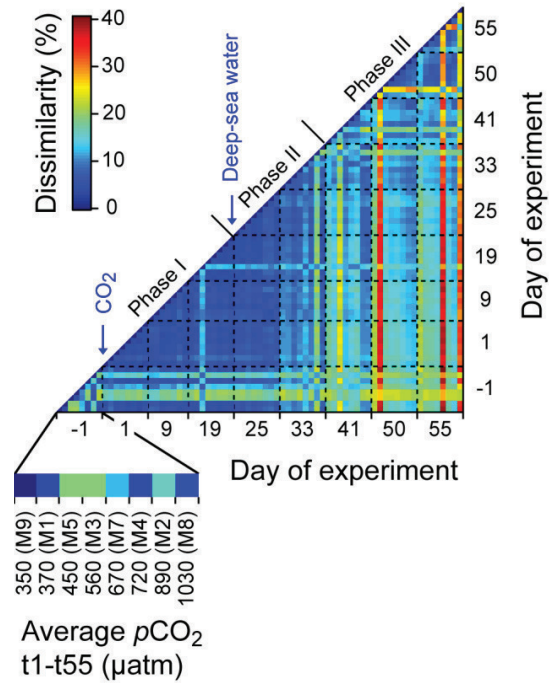
*Supplementary Figure 3*



**Supplementary Figure 1.** Carbon dioxide concentrations as driver for trends in bulk data during phase III. (A) DOC and (B) chlorophyll  $a$  plotted for increasing average  $p\text{CO}_2$  values of experiment days 35-55 (post-bloom).



**Supplementary Figure 2.** Time series of molecular DOM composition inside the mesocosms. Results from the PCA (PC1) of 7212 molecular formulae and their MS signal intensities.



**Supplementary Figure 3.** Molecular dissimilarity between mesocosms. For dissimilarity analysis, the 5,205 most intense molecular formulae from each sample were considered. The dissimilarity on a Bray-Cutis level may reach values between 0 (the two mesocosms share all molecular formulae in similar abundances) and 1 (the mesocosms share no molecular formulae), which were transferred to percentages (from 0 to 100% dissimilarity). The color scale was cut at 40% dissimilarity level as no mesocosms showed higher dissimilarity in DOM composition. Samples were first ordered by average  $p\text{CO}_2$  values from day 1 to day 55 and second by the respective day of the experiment.





## 5. Chapter 5 - Manuscript 4

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# Experimental summer storm induces changes in bacterial community structure with lasting biogeochemical consequences

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## Abstract

Climate change is projected to increase frequency and severity of episodic weather events. In particular, heavy summer storms can disrupt the thermal stratification and associated vertical gradients of temperate lakes. We conducted a large-volume (~1300 m<sup>3</sup>) enclosure experiment in oligotrophic-mesotrophic Lake Stechlin and simulated a summer storm by mixing over a four-hour period in early August. Entrainment of colder and nutrient-enriched metalimnetic water into the surface mixed layer led to pronounced physical-chemical changes that significantly affected bacterial community assembly. Network analyses of the relation between environmental variables and differential abundant OTUs in control and mixed enclosures revealed multiple driving factors that impacted on the abundance of microbial taxa up to six weeks after the mixing. The decay of a cyanobacterial bloom that developed in response to mixing significantly increased bacterial protein production and respiration of heterotrophic bacteria, reflecting high organic matter turnover on suspended particles that largely determined C-sequestration to the sediment. The results highlight effects on bacterial community assembly and heterotrophic bacterial metabolism that are associated to entrainment of deep water into the mixed layer and assess consequences of an episodic disturbance event for the coupling between bacterial metabolism and autochthonous DOM production in large volume clear-water lakes.

## Key words

Lake mixing, short-term disturbance, large-volume mesocosm, microbial community composition, extreme weather events, deep chlorophyll maximum (DCM), bacterial production, interaction network, cyanobacteria

## 1. Introduction

Recorded increases in atmospheric moisture and sea surface temperature will provide a warmer and wetter climate, raising the occurrence and severity of global weather extremes (Trenberth, 2012; IPCC, 2013). Consequently, more intense summer storms can be expected (Rahmstorf and Coumou, 2011; Coumou and Rahmstorf, 2012; Trenberth, 2012; IPCC, 2013) with potentially far reaching consequences for stratified lake ecosystems. Our current understanding, however, on how such exceptional disturbance events might alter biodiversity, community assembly and functioning of stratified lake ecosystems is still limited (e.g. Jennings et al., 2012; Jones et al., 2008; Weithoff et al., 2000; Shade et al., 2010; Kasprzak et al., 2017) and may fundamentally differ from concepts gained in terrestrial ecosystem research. Disturbances in terrestrial ecosystems, such as droughts or warming mostly decrease both resource availability and net productivity (e.g. Tilman and Downing, 1994; Ives and Carpenter, 2007; Vogel et al., 2012). Aquatic ecosystems, in contrast, usually experience resource enrichment (e.g. introduction of organic matter and nutrients from

terrestrial environments or other water layers) with a subsequent increase in ecosystem productivity (e.g. Jones et al., 2008; Giling et al., 2017).

Summer storms, in particular, have the potential to perturb thermal the stratification of lakes, potentially disrupting the thermocline and deepening the mixed layer. Thereby, physical properties of the water column can be changed for several days with potential long-term effects on spatial distribution, composition and functionality of organisms (e.g. Jennings et al., 2012; Kasprzak et al., 2017). Summer storms often lead to an intrusion of colder metalimnic water into the surface layer, which (i) decreases surface layer water temperature and increases epilimnion thickness, (ii) redistributes metalimnic organisms throughout the whole mixed layer, thus exposing them to higher levels of photosynthetic active radiation (PAR), and (iii) mixes nutrient- (P, N) and carbon dioxide (CO<sub>2</sub>)-rich water into the upper eutrophic layer. All of these processes in combination fuel phytoplankton production in the phototrophic zone by releasing organisms from nutrient and light limitation (Weithoff et al., 2000; Jennings et al., 2012; Giling et al., 2017).

To better understand the ecological mechanisms of storm-induced short-term disturbances in stratified lakes, we experimentally assessed the effect of water-column mixing in summer 2014 by using the world's largest enclosure facility in the oligo-mesotrophic Lake Stechlin ([www.lake-lab.de](http://www.lake-lab.de)). Lake Stechlin has a pronounced deep chlorophyll maximum (DCM), and thus summer storms have a high potential to redistribute metalimnic phytoplankton biomass into the upper mixed layer (Kasprzak et al., 2017). As such, storm "Otto", in July 2011 caused a pronounced deepening of the thermocline and stimulated a substantial cyanobacterial bloom in Lake Stechlin, triggering a cascade of processes towards extremes of turbidity never observed before in three decades of lake monitoring (Kasprzak et al., 2017). We successfully reproduced key physical, chemical and biological responses of such an extreme storm event similar to *in situ* observations following summer storm "Otto" (Kasprzak et al., 2017). Changes in water chemistry and physics by entrainment of metalimnic water with associated organisms from a DCM into the upper mixed layer stimulated phytoplankton growth and caused predictable lake ecosystem consequences at the level of primary producers as described earlier by Giling et al. (2017).

Although consequences on bacterial community processes following storm-induced disturbance events have been tested (e.g. Jones et al., 2008; Shade et al., 2010), yet, little mechanistic knowledge on changes in their functionality in deep and clear stratified lakes exist. This is surprising since heterotrophic processes are vital in order to understand how summer storms might change lake carbon budgets and feedback to the global CO<sub>2</sub> budget (Tranvik et al., 2009; Raymond et al., 2013). Here, we highlight effects on community assembly and heterotrophic bacterial metabolism that are associated to entrainment of deep water into the mixed water layer and assess consequences of an episodic disturbance event for the coupling between bacterial metabolism and autochthonous DOM production in large volume clear-water lakes. Based on measurements of bacterial protein production (BPP), metabolic modelling and by applying DNA-based amplicon sequencing we were able

to follow temporal changes in bacterial community composition and heterotrophic processes after such an extreme disturbance event in a replicated manner. We tested the hypotheses that storm-induced lake mixing (i) restructures bacterial communities, (ii) increases metabolic heterotrophic processes due to enhanced autotrophic productivity, and (iii) subsequently alters carbon-sequestration to the sediment.

## **2. Materials and Methods**

### **2.1 Experimental system and sampling**

The experiment was conducted in large-volume enclosures (~1300 m<sup>3</sup>) installed in Lake Stechlin, north-eastern Germany (53°08036"N, 13°01041"E; [www.lake-lab.de](http://www.lake-lab.de)). Epilimnion and hypolimnion water masses were exchanged by simultaneously pumping lake water in and out of the enclosures using submersible impeller pumps that cause minimum plankton disturbance, as successfully used at other enclosure facilities (e.g. Nejtgaard et al., 1997, 2006). A DCM which was present in the metalimnion of Lake Stechlin at this time was subsequently inserted into the metalimnion of each enclosure by identical water replacement. A summer storm was simulated in four randomly selected enclosures on 6<sup>th</sup> August 2014 without sediment disturbance to deepen the thermocline from 9 to 14 m by mixing for 4 hours (Giling et al., 2017). Water samples were collected from the well-mixed epilimnion at 2.5 m and from the hypolimnion at 16 m. Determination of water physical-chemical variables was performed following standard protocols (see SUPPLEMENT-Materials and Methods).

### **2.2 Metabolic variables**

Rates of bacterial protein production (BPP) were determined by incorporation of <sup>14</sup>C-leucine (Simon and Azam, 1989). Triplicates and a formol-killed control (5 ml) were incubated in situ with <sup>14</sup>C-Leu (Hartmann Analytic GmbH, Germany) at a final concentration of 80 nM for 1 h in dark, water permeable containers mounted at 2 m and 14 m. After fixation with 2 % formalin, samples were filtered onto 3.0 µm (PA bacteria) and subsequent the filtrate on 0.2 µm (FL bacteria) nitrocellulose filters (Sartorius AG, Göttingen, Germany) and processed according Attermeyer et al. (2013). The incorporated leucine was measured as disintegrations per minute (dpm) on a liquid scintillation analyzer (TriCarb 2810 TR, Perkin Elmer Inc., Illinois, USA) and converted into BPP-C by applying an intracellular isotope dilution factor of 2 (Kirchman, 1993) and a factor of 0.86 to convert the produced protein to carbon (Simon and Azam, 1989).

Bacterial Respiration (BR) was determined indirectly, based on the assumption that ecosystem respiration (ER) is the sum of BR + phytoplankton respiration (PR) + zooplankton respiration (ZR) by assuming a PR of 30% GPP and a fixed ratio of BR to ZR of 1.5 as described in Berman et al. (2010). Gross primary production (GPP) and ecosystem respiration (ER)

were derived from Giling et al. (2017), which used a diel oxygen (O<sub>2</sub>) model to partition the changes in dissolved oxygen concentration into the contribution by biological processes and physical processes following Grace et al. (2015) and Song et al. (2016) and using a photosynthetic quotient of 1.2 and a respiratory quotient of 1.0 to express metabolic rates in carbon units (Wetzel and Likens, 2000).

### **2.3 Prokaryote abundance**

Abundance of heterotrophic FL prokaryotes was determined by flow cytometry (BD Accuri™ C6), from 0.5 % glutaraldehyde fixed and flash-frozen samples (-80°C), after staining with SYBR® green I (Life Technologies Inc.). Identification was based on gating of SYBR® green I fluorescence against the side scatter signal and phycoerythrin orange fluorescence (Marie et al., 1999). Particle-associated (PA) prokaryotes were enumerated by epifluorescence-microscopy on a Leica Leitz DMRB fluorescence microscope with UV- and blue light excitation filters (Leica Microsystems, Wetzlar, Germany) as described in (Hornick et al., 2017). We subsequently refer to heterotrophic bacteria, since bacteria account for the majority of non-photosynthetic prokaryotes in surface waters.

### **2.4 DNA sampling, extraction and sequencing**

Water samples (1L) for molecular analyses were filtered onto 3.0 µm and subsequently on 0.2 µm polycarbonate membrane filters (Whatman, Dassel, Germany) to size-separate between particle-associated (PA) and free-living (FL) organisms. Filters were stored at -20 °C. DNA was extracted from the filters with chloroform-phenol-isoamylalcohol (25:24:1; Carl Roth, Karlsruhe, Germany), CTAB (SERVA, Heidelberg, Germany) and zirconium beads (Carl Roth, Karlsruhe, Germany) according to (Nercessian et al., 2005).

### **2.5 Sequencing and bioinformatics analyses**

PCR, library preparation and sequencing were performed at LGC Genomics (Berlin, Germany). Briefly, the V3-V4 region was amplified using primers 341F-785R (Klindworth et al., 2013), followed by library preparation and sequencing on a MiSeq Illumina platform.

Sequences were quality checked and analysed using Mothur v1.37.6 (Schloss et al., 2009). Sequences shorter than 300 bp or with ambiguities and homopolymer stretches of more than 8 bases were excluded from further analysis. Chimera check was performed using UCHIME (Edgar et al., 2011) and global singleton sequences were removed. Sequences were clustered into operational taxonomic units (OTU) using VSEARCH (Rognes et al., 2016; as implemented in Mothur) with a minimum sequence similarity value of 97 %. Taxonomy assignment of the OTUs was based on the naïve Bayesian RDP classifier (Wang et al., 2007) using the SILVA reference database v123 with a confidence threshold of 80 %. For further analyses, reads that were less abundant than five in the whole dataset were removed.

## 2.6 Statistical analyses

We tested for the effect of the treatment (mixing) across periods of time and modeled the response variable as a function of treatment by using linear mixed effect models (LME). To account for the dependency amongst observations of the same enclosure, we used enclosure as a random intercept. Additionally, we corrected for residual autocorrelation by incorporating a temporal autocorrelation structure (AR1). Data were transformed when appropriate. Visual inspection of residual plots did not reveal any obvious deviations from homoscedasticity or normality. LME were performed using R (R Core Team, 2016) and package 'nlme' (Pinheiro et al., 2017).

Bacterial community composition (BCC) was analysed using Principal Coordinate Analyses (PCoA) and R-function 'pcoa' with a correction for negative eigenvalues (Paradis et al., 2004) based on the Bray-Curtis measure (Bray and Curtis, 1957). We used permutational multivariate analysis of variance (PERMANOVA, perm=999) to test for significant ( $p < 0.05$ ) differences (McArdle and Anderson, 2001; Anderson et al., 2008). Distance-based linear modeling (DistLM) was performed to relate physical/chemical predictor variables to the multivariate community assemblage (Legendre and Anderson, 1999; McArdle and Anderson, 2001; Anderson et al., 2008). Environmental data and microbial data were normalized or square root-transformed, respectively (Anderson et al., 2008). Distance based redundancy analysis (dbRDA) was used for visual interpretation of the DistLM in multi-dimensional space (Anderson et al., 2008). For downstream analyses on  $\alpha$ -diversity measures all samples were rarefied to an equal sequence number per sample to avoid heterogeneity in sequencing depth. Analyses were performed with R 3.2.5 (R Core Team, 2016) using packages 'vegan' (Oksanen et al., 2017) and 'ggplot2' (Wickham, 2009) as well as PERMANOVA + for Primer (Anderson et al., 2008; Clarke and Gorley, 2006). Differential abundance of single OTUs between mixed and control enclosures across time based on the negative binomial distribution was determined using R-package 'DESeq2' (Love et al., 2014).

## 2.7 Network analyses

Absolute abundances of OTUs were approximated from relative abundances for heterotrophic prokaryotes and cyanobacteria by using flow cytometry (FL) and microscopy (PA) cell counts. We made an initial reduction of this dataset by including only OTUs which occurred at least in ten samples and/or were among the 450 most abundant OTUs in FL or PA bacterial communities. For this reduced list, we calculated the pairwise maximal information coefficient (MIC; Reshef et al., 2011) between the approximated absolute abundances of these OTUs across the entire data set. Following false discovery rate correction the results were visualized as network (NET1) using Cytoscape v. 3.3.0 (Shannon, 2003; Smoot et al., 2011). For each OTU we calculated the betweenness centrality (proportion of passes through a variable for each shortest path between any two variables) (Doncheva et al., 2012), which was highlighted as potential proxy for identifying key species

(Eldridge et al., 2015; Guidi et al., 2016). We then calculated the MIC values between environmental variables and only OTUs that were previously shown to be differentially abundant between control and mixed enclosures using DESeq2 (described above) and visualized this as a network (NET2). Betweenness centrality values from NET1 were overlaid onto OTUs in NET2 and visualized as a proportion of node size. We subsequently divided this into three subnetworks, with the first containing OTUs differentially abundant directly after mixing (t1, NET2-I), after six days (t6, NET2-II) and thereafter (t21-42, NET2-III).

### 3. Results

#### 3.1 Epilimnic responses in water physical-chemical variables and phytoplankton development

PERMANOVA of a multivariate assemblage of epilimnic water physical-chemical variables revealed a strong temporal variation (Time- $F_{8,54} = 68.3$ ,  $p < 0.001$ ) and significant differences between mixed and control enclosures (Treatment- $F_{1,54} = 25.86$ ,  $p < 0.001$ ), however, not consistent through time (Treatment x Time- $F_{8,54} = 3.98$ ,  $p < 0.001$ ) (Table S1). Control and mixed enclosures separated directly after mixing until t3 on the first and second principal component (PC, explaining together 53.4 % of variation, Table S2) of a PCA (Figure 1), which describes well the disruption of water chemistry and physics by mixing colder metalimnic water with higher concentrations of dissolved and particulate matter into the epilimnion. After one day, thus, mixing resulted in a 2.4-fold and 2-fold increase in TDN and SRP, respectively, relative to the control enclosures (exact one-tailed Mann-Whitney U; mixed > control;  $n_{\text{mixed}} = 4$ ;  $n_{\text{control}} = 4$ ; TDN:  $p = 0.01$ , SRP:  $p = 0.03$ ). Within three days, extra dissolved nutrients were transferred into particulate matter given as the percentage total particulate phosphorous (TPP) of total phosphorous (TP), and resulted in Chl  $a$  concentrations 1.8-fold higher than in the control (exact one-tailed Mann-Whitney U; mixed > control;  $N_{\text{mixed}} = 4$ ;  $N_{\text{control}} = 4$ ; %TPP of TP:  $p = 0.01$ , Chl  $a$ :  $p = 0.01$ ) (Figure 1). The increase in Chl  $a$  concentration persisted over 4 weeks (LME $_{t3-t27}$ :  $F_{1,6} = 8.0$ ,  $p = 0.03$ ) (Figure 1).

#### 3.2 Epilimnic bacterial community composition

Epilimnic FL and PA bacteria comprised different bacterial community compositions (BCC) throughout the experiment (PERMANOVA; fraction- $F_{1,67} = 61.92$ ,  $p < 0.001$ ; time- $F_{4,67} = 6.30$ ,  $p < 0.001$ ) (Figure S1). Thereby size-fraction (FL vs. PA) explained about 2.5-times more variation in BCC than time. Subsequent, FL and PA BCC were analyzed separately for effects of mixing and temporal development.

##### 3.2.1 Effects on diversity and community composition

Mixing significantly increased richness ( $^0D$ ) in epilimnic FL and PA bacterial communities at t1, however, no significant effect on richness can be observed at later time points anymore (Figure S2). Hill numbers  $^1D$  and  $^2D$  were only significantly affected by mixing at t1 in case of



PA BCC. Nonetheless <sup>2</sup>D was generally decreased in case of FL BCC in mixed enclosures between t1 and t21. Six weeks post mixing we could not observe any effects of the treatment on bacterial  $\alpha$ -diversity measures anymore. Irrespective of mixing, PA BCC showed generally decreased Pielou's evenness (J) during phytoplankton bloom conditions (Figure S2).

Most chemical variables revealed a significant relationship with both FL and PA bacterial  $\beta$ -diversity by distance-based linear modeling (DISTLM) ("marginal tests"; Table S3-I). Temperature was the strongest predictor of community structure, explaining ~12 % and ~15 % of variance in FL and PA BCC, respectively. However, also concentration of ammonia, particulate organic matter (POM), Chl *a* and dissolved silica (DSi) explained comparable high amounts of variation (~10-13 %). The best model fits of a DISTLM, allowing for parameter interactions, explained ~35 % and 19 % of variation in FL and PA BCC, respectively (Table S4-II). An ordination of the DISTLM by distance-based redundancy analysis (dbRDA) reflects direct and indirect effects of mixing on FL and PA BCC by changes in temperature, nutrient concentration and phytoplankton biomass (Figure S3). Decreased epilimnic temperature and increased nutrient concentration (TDN) separated both bacterial communities between mixed and control enclosures on t1 on the first three dbRDA axes. Increased phytoplankton biomass (POC, Chl *a*) in the three mixed enclosures with enhanced concentrations of Chl *a* resulted in the separation between mixed and control enclosures of both FL and PA bacterial communities at t21 (Figure 3). However, no effects of mixing on BCC could be observed after six weeks post mixing (t42) in constrained (dbRDA) or unconstrained analyses (PCoA) (Figures S3 & S4).

Mixing significantly affected 131 OTUs during the experiment (Table S5) from which 21 OTUs contributed >1 % in either control or mixed enclosures at one particular time point (Table 1). Directly after mixing (t1), 17 FL and 53 PA OTUs revealed a significantly different relative abundance between mixed and control enclosures, contributing in average to ~4 % (FL) and ~11 % (PA) to BCC in all mixed enclosures. Relative to control enclosures, the relative abundance of mixing-sensitive OTUs decreased on average by ~11 % in case of FL bacteria, but increased by ~7 % in case of PA bacteria, respectively. Thus, mixing affected directly more PA bacteria positively, from which OTU002, taxonomically identified as *Dolichospermum* sp., increased its relative abundance ~3.8-fold up to ~61 ± 6 % in all mixed enclosures within one week (t6) relative to the controls (Table 1). Interestingly, another *Cyanobacteria*-OTU (OUT004, *SubsectionI\_FamilyI*) was the most negatively affected bacterial OTU which was present in both FL and PA bacterial communities. During the bloom breakdown of *Dolichospermum* sp. several PA *Proteobacteria*-OTUs increased in mixed enclosures (Table 1). At the same time a relative increase in *FukuN18* (*Verrucomicrobia*) and *Sporichthyaceae* (*Actinobacteria*) can be observed in the FL BCC (Figure 2; Figure S5).

### 3.2.2 Interaction network between affected OTUs and environmental variables

We explored the dependence between all pairs of environmental variables and approximated absolute abundances of significantly different OTUs, between mixed and control enclosures across the entire data set, using the maximal information coefficient (MIC). Subnetworks were constructed by visualizing only correlations between environmental variables and OTUs, whose abundance was significantly impacted. These subnetworks are primarily a representation of which variables drove the differences in abundance of single OTUs between control and mixed enclosures over a particular time period (Figure 3). The majority of OTUs impacted in the short term (t1) resulted in an increased abundance, and were positively correlated with the concentration of dissolved nutrients (Figure 3-I (A,B)) and negatively correlated with water temperature (Figure 3-I (B)). In contrast, the few OTUs negatively impacted, exhibited a strong positive correlation to water temperature (Figure 3-I (C)). Over the short term only two significantly affected OTUs were significantly correlated with particulate nutrient concentrations alone.

Over the medium term (t6-t42), multiple driving factors emerged with distinct settings, which impacted on the abundance of microbial taxa. (i) After one week (t6) a number of OTUs belonging mainly to *Bacteroidetes* (Figure 3-II (D)) and other bacterial groups (Figure 3-II (E)) increased with mixing, associated with the variation in temperature, proton and DOM concentrations. This increase exhibited no relation to Chl *a* (>3  $\mu\text{m}$ ) or POM. (ii) In addition, at t6 a set of cyanobacterial OTUs revealed an increased abundance in mixed relative to control mesocosms (Figure 3-II (F)). These OTUs were taxonomically assigned to *Dolichospermum* sp. (OTU002), *Planktothrix* sp. (OTU073) and one unknown cyanobacteria (OTU010). During the course of the experiment *Dolichospermum* sp. largely drove the increase in Chl *a*, as assessed by microscopic and FlowCAM analysis, thus revealing a strong positive correlation to Chl *a*. In contrast, *Planktothrix* sp. did not reveal any correlation to Chl *a* or POM, potentially being among organisms that were mixed in from the metalimnion. (iii) At t21, differences in OTU abundance (*Verrucomicrobia*, *Betaproteobacteria*) between mixed and control enclosures were mainly associated to concentrations of POM and Chl *a* (>3  $\mu\text{m}$ ) (Figure 3-III (G)). (iv) At t42 a group of *Bacteroidetes* was negatively affected in the mixed enclosures during post-bloom conditions (Figure 3-III (H)).

### 3.3 Epilimnic heterotrophic activity and bacterial abundance

Within two days, mixing increased BR-C in mixed relative to control enclosures, an observation which persisted throughout the experiment (LME  $t_1-t_{42}$ , BR-C:  $F_{1,54} = 9.084$ ,  $p < 0.024$ ) (Figure 4). In particular, unproportional high BR in relation to the present nutrient conditions could be observed at t21 associated to the decline in Chl *a* concentration in three mixed enclosure that developed a bloom of *Dolichospermum* sp. In contrast, differences in BPP-C between control and mixed enclosures could not be observed during the first three

weeks (LME<sub>t1-t14</sub>,  $p > 0.05$ ). However, effects of mixing resulted in a ca. 1.6-fold higher BPP-C than in the control on day 21 (exact two-tailed Mann-Whitney U;  $n_{\text{mixed}} = 4$ ;  $n_{\text{control}} = 4$ ;  $p = 0.02$ ), mainly driven by PA bacteria that colonized senescent cells of *Dolichospermum* sp. during the bloom decay (Figure 4, Figure S5). Subsequently, in the three mixed enclosures, which formed a bloom of *Dolichospermum* sp. PA and FL bacterial BPP-C was stimulated (LME<sub>t21-t42</sub>; PA:  $F_{1,19} = 13.69$ ,  $p = 0.014$ ; FL:  $F_{1,19} = 7.129$ ,  $p = 0.044$ ). Bacterial abundances and csBPP-C do not reveal consistent differences of mixing relative to control enclosures. However, higher bacterial abundances in mixed enclosures can be observed, when FL bacteria yielded their maximum abundance during the experiment at t14 and when PA bacteria colonized colonies of *Dolichospermum* sp. during the bloom breakdown at t21 (Figure S5).

### 3.4 Heterotrophic processes in the hypolimnion during and after the *Dolichospermum* sp. bloom

Following the epilimnic breakdown of Chl *a* in the three enclosures which developed a pronounced bloom of *Dolichospermum* sp., hypolimnic BPP-C did not increase. Between t10 and t35 we observed a decrease in BPP-C and BGE at enhanced rates of BR in the mixed enclosures relative to the controls (LME<sub>t10-t35</sub>: ER-C:  $F_{1,32} = 15.134$ ,  $p = 0.0081$ ;  $\log_2(\text{BPP-C}+1)$ :  $F_{1,16} = 12.524$ ,  $p = 0.0122$ ; BGE:  $F_{1,16} = 54.8754$ ,  $p = 0.0003$ ; Figure 5).

## 4. Discussion

### 4.1 Impact of mixing on community assembly

It is often hypothesized that ecological disturbances alter community assembly process (Myers et al., 2015). The entrainment of deep water into the epilimnion increased the physico-chemical variability between mixed enclosures compared to the controls. However, at the same time (t1) we observed lowest  $\beta$ -diversities between all mixed enclosures compared to controls in FL and PA bacterial communities (Figure 6), suggesting that the disturbance creates communities that are more similar to one another by imposing a consistent selective pressure. Thus, the disturbance itself might act as a strong selection factor reducing stochasticity (Zhou et al., 2014). The majority of OTUs, for which mixing significantly increased abundance were likely introduced from a deep water community into the epilimnion as indicated by the presence of specific OTUs and their relation to decreased water temperatures and increased dissolved nutrients (Figure 3). The increase in bacterial richness (Figure S2) after mixing diminished rapidly within one week, suggesting that most of the introduced metalimnic community was not able to persist. However, network analysis suggested that other members of the microbial community were also favored during the re-stratification of the water column and the degradation of organic matter (Figure 3-II). We identified several increased OTUs belonging to *Bacteroidetes* which were co-correlated to increased abundances of *Planktothrix* sp. with mixing at t6. *Planktothrix* sp. was potentially

mixed in from the metalimnion, but did not further increase in abundance in the mixed layer after one week. It is thus likely that *Bactroidetes* were favored from the degradation of organic matter mixed into the epilimnion or dead organisms not able to cope with the new environmental conditions. Also, we observed a pronounced increase in the proportion of filamentous cyanobacteria of the genus *Dolichospermum* sp. contributing to and structuring PA BCC within one week. This strong increase occurred across all mixed enclosures, irrespectively that only three out of four enclosures continued to finally form a bloom of *Dolichospermum* sp. with up to  $\sim 7 \mu\text{g}$  of total Chl *a*  $\text{L}^{-1}$  ca. 2.5 weeks after the mixing event. The collapse of *Dolichospermum* sp. in one of the mixed enclosures after one week (mesosom #13) was likely caused by an infection with parasites (Kagami et al., 2014; Frenken et al., 2017) or cyanophages (Šulčius and Holmfeldt, 2016; Šulčius et al., 2017). Although the precise reason remains unclear, the rapid decrease of *Dolichospermum* sp. cells in this particular enclosure points to an unexceptional lysis event, which was not directly related to mixing. Nonetheless, mixing also altered PA BCC in this particular enclosure and a different community developed compared to all controls was observed. After ca. 6 weeks after the disturbance, all bacterial communities converged towards the control structure showing a high degree of resilience. This agrees with several studies examining the resilience of lake microbial communities to different types of disturbances including perturbations by typhoons (Jones et al., 2008), nutrient addition (Shade et al., 2011) or mixing as well as whole-ecosystem mixing (Shade et al., 2012b).

## 4.2 Implications for carbon cycling

Induced mixing of the water column had the direct effect of stimulating the growth of autotrophic microorganisms with a particularly high affinity for nutrient uptake. In natural lakes, summer storm events have typically resulted in large blooms of diazotrophic cyanobacteria such as *Dolichospermum* sp. (Jennings et al., 2012; Kasprzak et al., 2017), a trend we were able to reproduce in this study. The competitive advantage of these organisms, following mixing events, is due to a combination of different traits including the storage of phosphorous and nitrogen in large organic macromolecules (Klemke et al., 2014), fixation of atmospheric nitrogen (Karlson et al., 2015; Klawonn et al., 2016) regulation of buoyancy (Reynolds et al., 1987; Klemer et al., 1996) as well as the possibility to clog the feeding apparatus of herbivorous zooplankton (Lampert, 1987). Such environmental filtering not only impacts adjacent microbial communities but also has implications for both heterotrophic and autotrophic contributions to carbon cycling (Shade et al., 2012a). Under stable stratified conditions the proliferation of cyanobacteria within the epilimnion is limited by the availability of nutrients. Within deep stratified lakes, the formation of a DCM reflects a balance between the nutrient limitation of epilimnic waters and light limitation at deeper depths. Summer storms disrupt this balance by redistributing large amounts of nutrients into the photic epilimnion where they can be rapidly assimilated into phytoplankton biomass (e.g., *Dolichospermum* sp.). This additional input of nutrients relaxes phytoplankton

from nutrient limitation allowing for a much broader distribution of phytoplankton cells across the whole epilimnion. There self-shading may shift the system from nutrient towards light and hence carbon limitation. Under these conditions decomposition of the nutrient enriched POM selects for organisms with an increased propensity for complex carbohydrate utilisation, in this case particle-associated *Betaproteobacteria* (*Burkholderiales*, *Comamonadaceae*), and free-living *Verrucomicrobia* (*Fuku\_N18*) (Martinez-Garcia et al., 2012; Cardman et al., 2014; He et al., 2017). The colonization and decomposition of senescent cells of *Dolichospermum* sp. during bloom decay strongly elevated BPP mainly on particles, and explains an unproportional high BR in relation to the present nutrient conditions at t21 (Figure 4), presumably with consequences for gas fluxes between lake and atmosphere and deposition of nutrients and carbon to the sediments. Interestingly, during increase and breakdown of *Dolichospermum* sp. we observed a significant decreased hypolimnic BPP and BGE in mixed enclosures. We argue that the high bacterial organic matter turnover on cells of *Dolichospermum* in the epilimnion enhanced the recalcitrance of exported particles, thus increasing efficient nutrient recycling in the epilimnion but decreasing POM and nutrient availability in the hypolimnion.

Surprisingly, we did not detect a contemporaneous change in BPP or abundance of heterotrophic prokaryotes (HP) due to mixing until the breakdown of Chl *a* ca. 3 weeks post mixing. Weithoff et al. (2000) performed a similar enclosure experiment in Lake Flakensee but applying two mixing events with a delay of eight days. Thereby bacterial abundance almost doubled within one day after the first mixing but remained unchanged compared to the control after the second mixing. This observation coincided with different local phytoplankton and zooplankton communities during and after both mixing events. Although Weithoff et al. (2000) report that the nutrient supply was even larger during the second mixing event, cladoceran grazing was likely an important factor in controlling bacterial abundance (Weithoff et al., 2000). In Lake Stechlin, a generally high proportion of Cryptophytes and other potential mixotrophs are contributing to phytoplankton community composition. Also, bacterivorous mixotrophs such as *Cryptomonas* species commonly dominate late summer plankton communities (Mitra et al., 2014; Grujcic et al., 2018) and are abundant during the formation of a DCM (Tittel et al., 2003), that was disrupted by mixing. Although we did not determine grazing rates, it is thus likely that effects on bacterial biomass production, in particular during the first three weeks, were masked through enhanced bacterial grazing by mixotrophs or zooplankton, rather than assuming that bacteria were not stimulated by the supply of bioavailable nutrients.

### 4.3 Conclusion

Our results highlight effects on community assembly and heterotrophic bacterial metabolism that are associated to entrainment of deep water into the mixed water layer and assess consequences for the coupling between bacterial metabolism and autochthonous DOM production in large volume clear-water lakes. We identified settings

of environmental conditions after the mixing event which impacted microbial populations, changing their relative as well as absolute abundance. Thereby, water column disturbance of a summer storm with its associated canalizing ecological drivers may act as a deterministic rather than a stochastic force greatly influencing bacterial dynamics and functions. Our investigation of post-mixing environmental conditions and biotic interactions clearly demonstrate that changes in physical-chemical gradients by mixing impact bacterial community assembly and may further exacerbate warming related increases in cyanobacterial blooms (Posch et al., 2012; Elliott, 2012; Paerl and Paul, 2012). In the long-term run, a potential selection for heterotrophic bacteria with an increased propensity for remineralisation of more complex carbohydrate pools due to mixing related shifts in phytoplankton communities and nutrient content of the organic matter is likely to increase internal eutrophication of freshwater environments.

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## 6. Conflict of Interest

The authors declare no conflict of interest.

## 7. Tables

**Table 1:** Differentially abundant bacterial OTUs in artificial mixed and control enclosures with taxonomic affiliation. Only OTUs contributing >1% to mean relative read abundance in either control or mixed enclosures are listed. The full list of significantly affected OTUs by mixing can be obtained from Table S5. Testing for differentially abundant taxa was achieved using the DESeq function in the DESeq2 package (Love et al., 2014) with multiple-inference correction (Benjamini and Hochberg, 1995) and a significance level of  $p < 0.01$ . One artificial mixed enclosure (#E13), which did not develop a bloom of *Dolichospermum* sp., was

excluded from calculations after t6. OTUs present in both FL and PA bacterial size-fractions are marked in bold.

## 8. Figures

**Figure 1: I)** First and second principal component (PC) of a principal component analysis (PCA) of water physical-chemical variables comprising dissolved and particulate organic matter, measures of carbonate chemistry, photosynthetically active radiation (PAR) and temperature (see Table S2). **Box and whisker plots of II-A)** Chl *a* concentration and **II-B)** percentage total particulate phosphorus (TPP) of total phosphorus (TP). One mixed enclosure (#13), which did not develop a bloom of *Dolichospermum* sp. after t6 is indicated by an open triangle. The lower and upper hinges correspond to the first and third quantiles (25<sup>th</sup> and 75<sup>th</sup> percentiles). Upper and lower whiskers extend from the hinges at maximum 1.5 times the inter-quantile range. Data beyond the end of whiskers are plotted individually.

**Figure 2:** Stacked bar plot of **A)** free-living (FL) and **B)** particle associated (PA) bacterial community composition during the course of the experiment. Only the most abundant taxonomic bacterial groups are shown. A more detailed phylogenetic resolution can be obtained from Figure S5.

**Figure 3:** Network representation of the dependence between environmental variables and approximated absolute abundances of significantly different OTUs between mixed and control enclosures across the entire data set, using the maximal information coefficient (MIC). NET2-subnetworks (I-III) were constructed by visualizing only correlations between environmental variables and OTUs, whose abundance was significantly impacted at **I)** t1, **II)** t6 as well as **III)** t21 and t42 (t42 marked with white numbers) (see Text). These subnetworks are primarily a representation of which variables drove the differences in abundance of single OTUs between control and mixed enclosures over a particular time period.

**Figure 4:** Box and whisker plots of **I-A)** bacterial protein production (BPP-C) and **I-B)** bacterial respiration (BR-C) in the epilimnion during the course of the experiment. **II)** Relation between bacterial respiration (BR-C) and carbon-to-phosphorous ratio (C:P) of particulate organic matter. Filaments of *Dolichospermum* sp. were heavily colonized by bacterial cells during the breakdown of a bloom of *Dolichospermum* sp., which developed in three out of four artificial mixed mesocosms. One mixed enclosure (#13) which did not develop a *Dolichospermum* sp. bloom after t6 is indicated by an open triangle. Symbols refer to mixed and control enclosures, respectively. Colors indicate the time point during the course of the experiment (legend upper right). The lower and upper hinges correspond to the first and third quantiles (25<sup>th</sup> and 75<sup>th</sup> percentiles). Upper and lower whiskers extend from the hinges at maximum 1.5 times the inter-quantile range. Data beyond the end of whiskers are plotted individually.

**Figure 5: I)** Heterotrophic processes in hypolimnion: **A)** Bacterial protein production (BPP-C), **B)** bacterial respiration (BR-C) and **C)** bacterial growth efficiency (BGE). BGE, calculated as  $BPP-C/(BPP-C + BR-C)$ , denotes how much carbon is transferred into biomass and can be used as an approximation for bacterial fitness and efficiency of carbon usage (del Giorgio and Cole, 1998). One mixed enclosure (#13) which did not develop a *Dolichospermum* sp. bloom after t6 is indicated by an open triangle.

**Figure 6:** Dissimilarities in community composition of **A)** particle-associated (PA) and **B)** free-living (FL) bacteria (Bray-Curtis distance) and **C)** differences in water physical-chemical properties (Euclidean distance). Physical and chemical variables (Table S2) were  $\log(x+1)$ -transformed, centered and scaled. Bray-Curtis similarity index was calculated based on square-root-transformed compositional community data. One mixed enclosure (#13) which revealed different Chl *a* dynamics is indicated by an open triangle. The lower and upper hinges correspond to the first and third quantiles (25<sup>th</sup> and 75<sup>th</sup> percentiles). Upper and lower whiskers extend from the hinges at maximum 1.5 times the inter-quantile range. Data beyond the end of whiskers are plotted individually. Significance is indicated by asterisks (Dunn's test of multiple comparisons following a significant Kruskal-Wallis test with correction according (Benjamini and Hochberg, 1995) to control the experimental error rate (Ogle, 2017)). Dissimilarities with one mixed enclosure (#13) which did not develop a *Dolichospermum* sp. bloom after t6 is indicated by an open triangle.

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Table 1:

OTU	taxonomic affiliation	fraction	DOE	log2-fold change	mean control (1SD)	mean mixed (1SD)	n control	n mixed
OTU021	<i>Bacteroidetes; Algoriphagus</i>	FL	1	-2.88	2.12 (1.17)	0.27 (0.12)	3	3
OTU174	<i>Proteobacteria; Limnhabitans</i>	FL	1	6.77	0.01 (0.01)	1.02 (0.97)	3	3
OTU103	<i>Tenericutes; Mycoplasma</i>	FL	1	-9.7	3.41 (4.12)	0 (0)	3	3
OTU028	<i>Verrucomicrobia; Candidatus_Methylacidiphilum</i>	FL	1	-4.44	3.58 (3.14)	0.17 (0.08)	3	3
OTU048	<i>Verrucomicrobia; Opitutae_vadinHA64_unclassified</i>	FL	1	-4.25	5.49 (5.85)	0.25 (0.19)	3	3
<b>OTU004</b>	<i>Cyanobacteria; Family_unclassified</i>	FL	6	-3.11	11.13 (2.42)	1.38 (1.08)	4	4
OTU006	<i>Actinobacteria; Sporichthyaceae_unclassified</i>	FL	21	-5.1	8.09 (6.53)	0.16 (0.23)	4	3
OTU040	<i>Cyanobacteria; Synechococcus</i>	FL	21	1.98	2.6 (0.86)	6.99 (2.15)	4	3
OTU011	<i>Verrucomicrobia; FukuN18_freshwater_group_unclassified</i>	FL	21	-3.81	1 (0.91)	0.05 (0.07)	4	3
<b>OTU027</b>	<i>Verrucomicrobia; FukuN18_freshwater_group_unclassified</i>	FL	21	8.34	0.09 (0.06)	24.06 (7.67)	4	3
OTU005	<i>Verrucomicrobia; FukuN18_freshwater_group_unclassified</i>	FL	21	4.62	0.13 (0.1)	2.24 (0.98)	4	3
OTU136	<i>Bacteroidetes; Opitutae_vadinHA64_unclassified</i>	FL	21	-2.09	11.59 (1.09)	1.77 (0.4)	4	3
OTU019	<i>Bacteroidetes; Fluviicola</i>	FL	42	-2.68	1.07 (0.26)	0.16 (0.12)	4	3
<b>OTU027</b>	<i>Proteobacteria; Gammaproteobacteria_unclassified</i>	PA	1	-4.91	1.2 (1.87)	0.04 (0.05)	3	3
OTU002	<i>Verrucomicrobia; FukuN18_freshwater_group_unclassified</i>	PA	1	1.91	0.26 (0.2)	1.07 (0.14)	3	3
<b>OTU004</b>	<i>Cyanobacteria; Dolichospermum (sensu Anabaena)</i>	PA	6	2.77	16.04 (14.31)	60.92 (6.21)	4	4
OTU014	<i>Cyanobacteria; Family_unclassified</i>	PA	6	-3.65	23.1 (13.61)	0.96 (0.57)	4	4
OTU193	<i>Proteobacteria; Burkholderiales_unclassified</i>	PA	6	3.53	0.29 (0.25)	1.92 (1.23)	4	4
OTU077	<i>Bacteria_unclassified; Bacteria_unclassified</i>	PA	21	8.72	0.06 (0.05)	17.67 (13.68)	3	3
OTU029	<i>Proteobacteria; Cellvibrio</i>	PA	21	-6.46	1.14 (0.81)	0.01 (0.01)	3	3
OTU116	<i>Proteobacteria; Comamonadaceae_unclassified</i>	PA	21	5.79	0.09 (0.07)	3.19 (4.67)	3	3
OTU081	<i>Bacteroidetes; Rhizobacterium</i>	PA	21	6.22	0.18 (0.07)	7.93 (7.63)	3	3
OTU109	<i>Bacteroidetes; Burkholderiales_unclassified</i>	PA	21	4.42	0.1 (0.08)	1.22 (1.31)	3	3
		PA	42	-4.6	2.81 (3.59)	0.07 (0.02)	4	3
		PA	42	-7.57	3.52 (5.71)	0 (0)	4	3

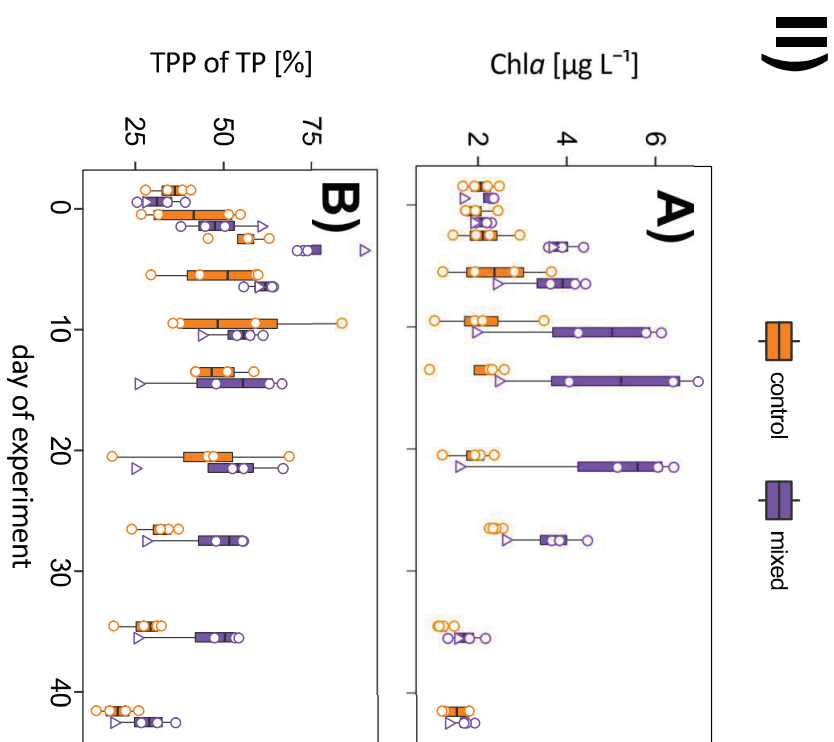
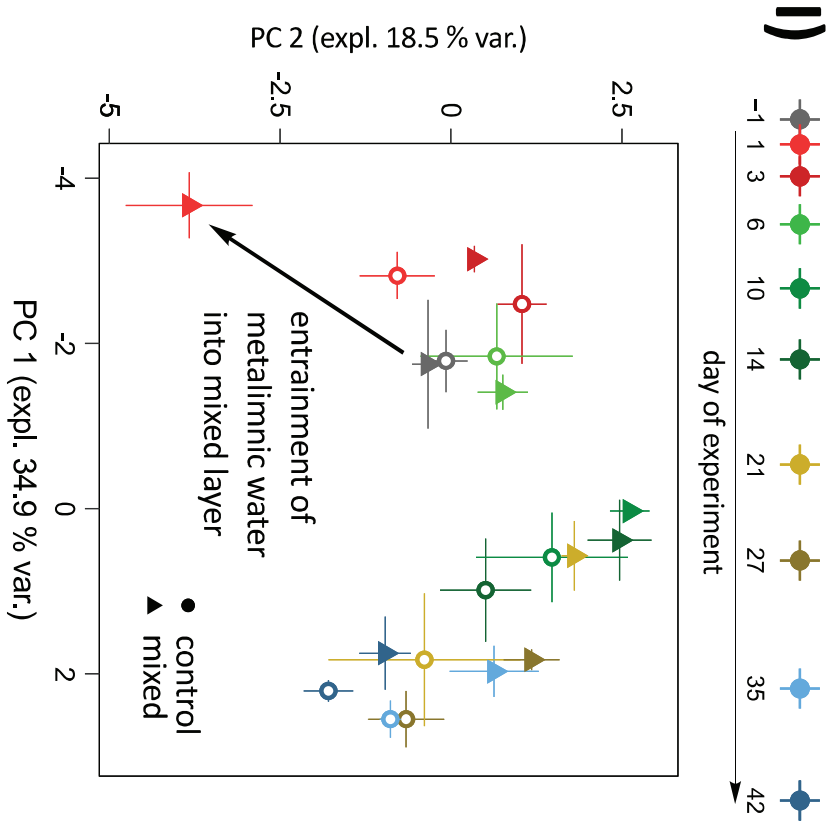


Figure 1:

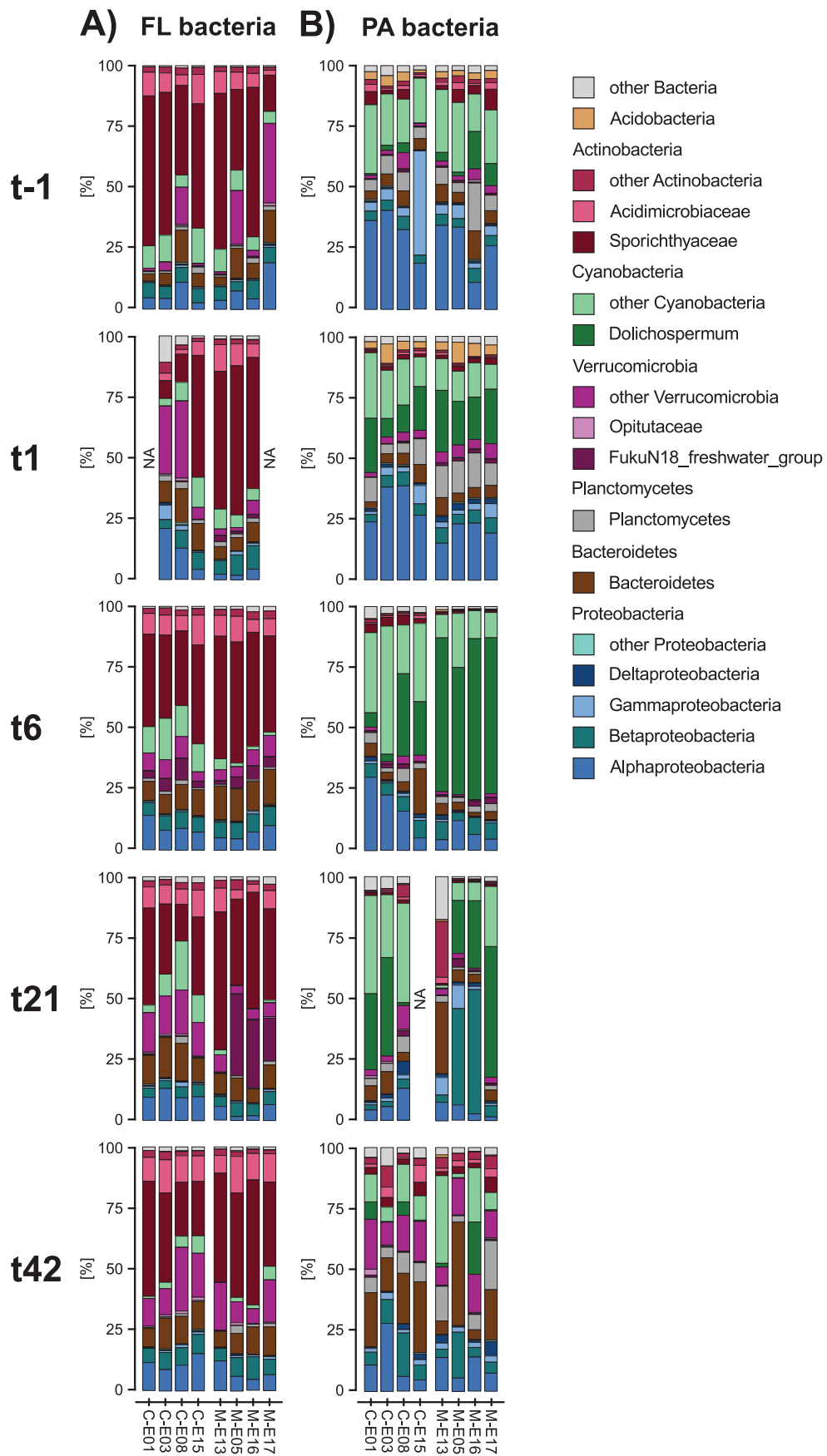


Figure 2:

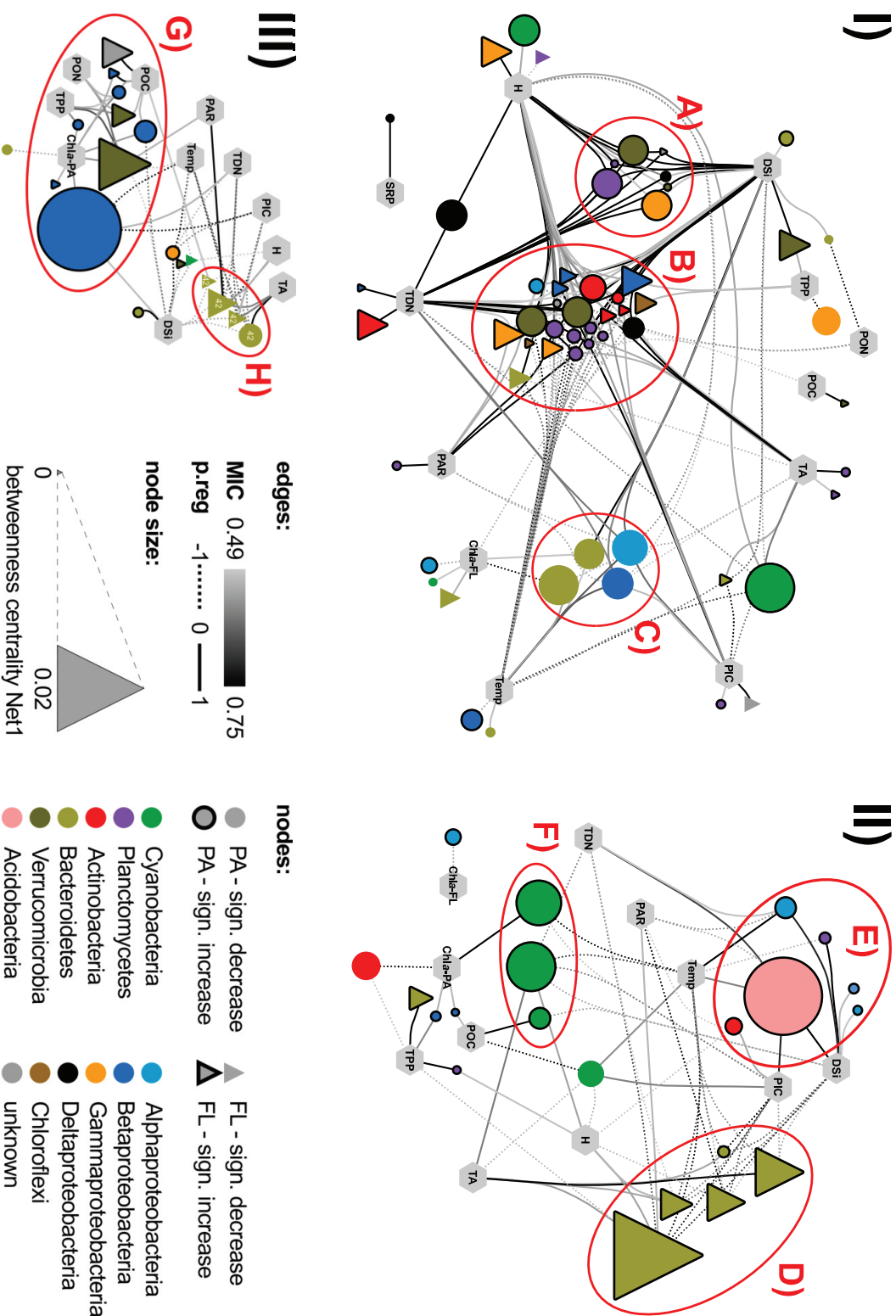


Figure 3:



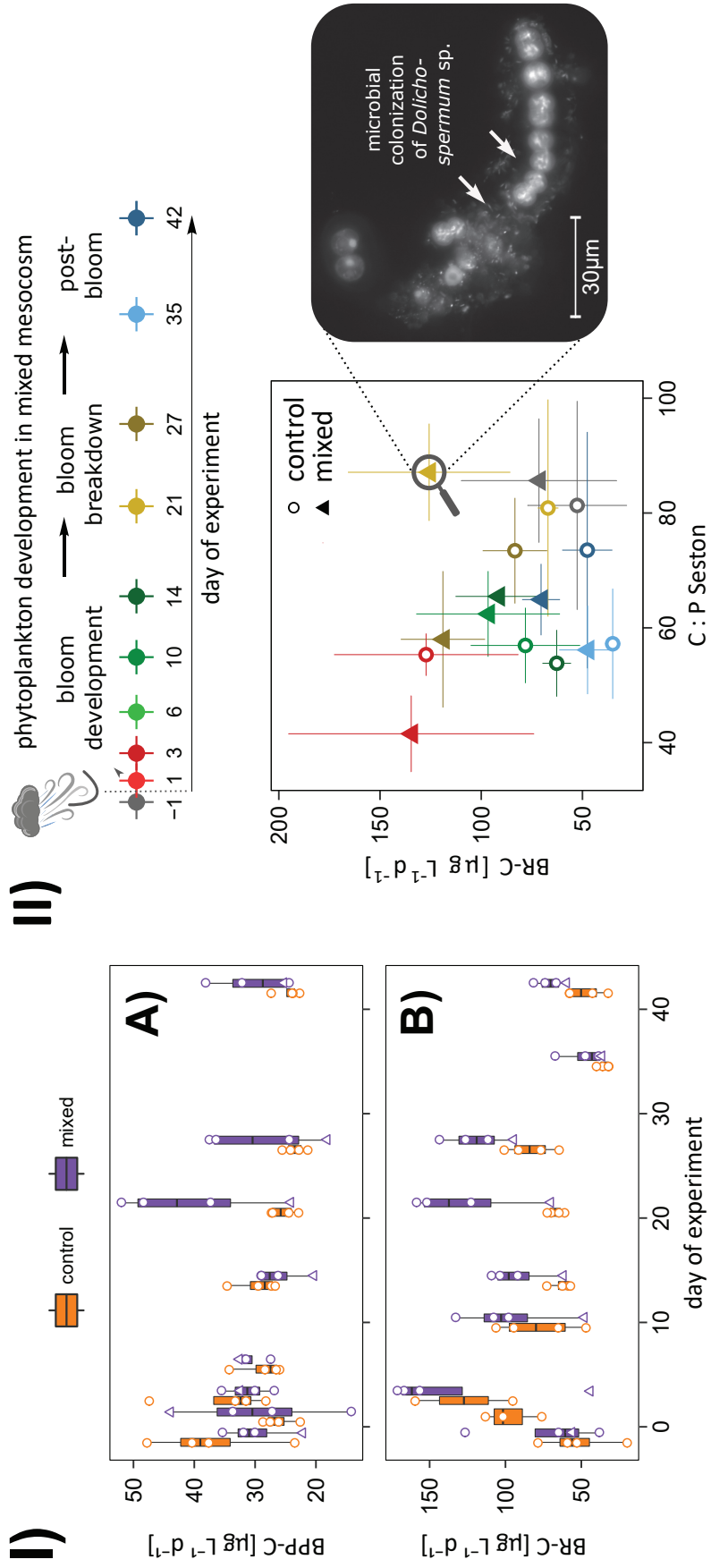


Figure 4:

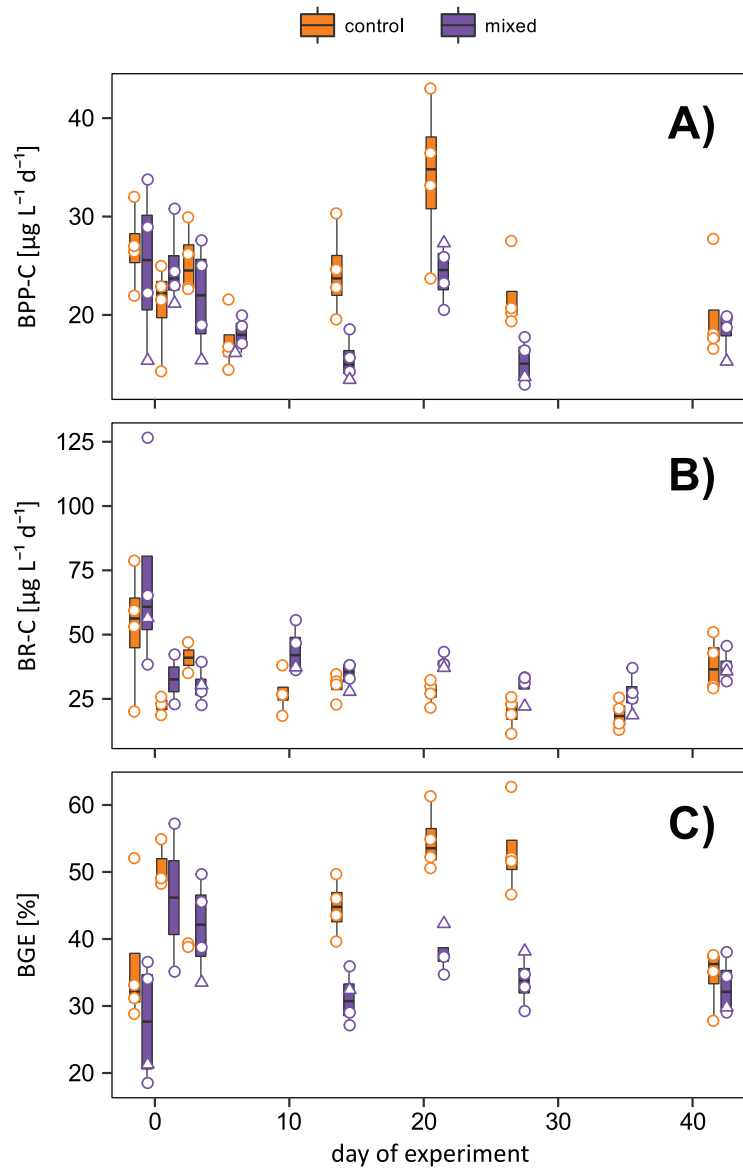


Figure 5:

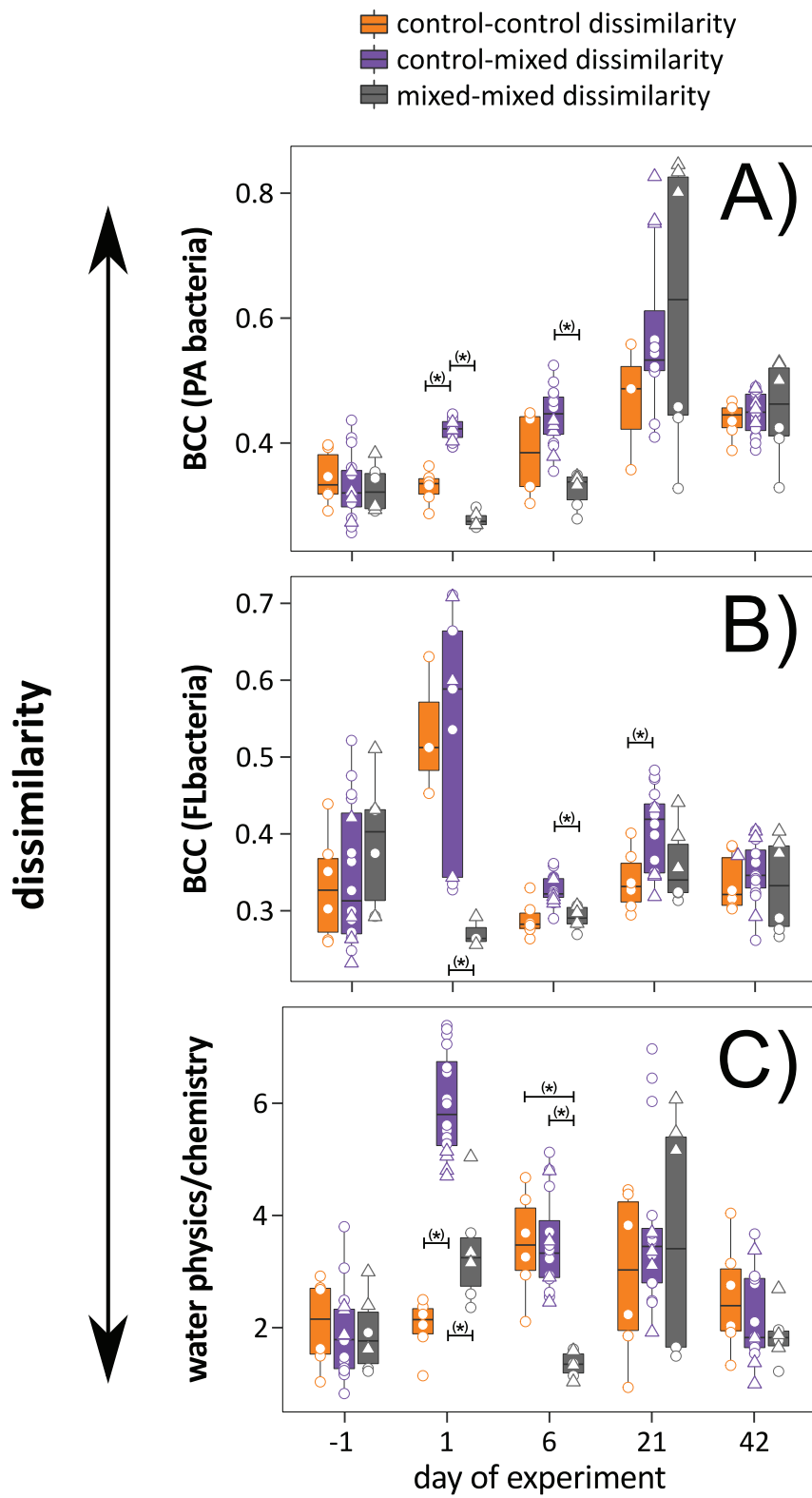


Figure 6:

## Supplement - Materials and Methods

### Experimental manipulation and sampling

The experiment was conducted in large-volume enclosures (~1300 m<sup>3</sup>) installed in Lake Stechlin, north-eastern Germany (53°08036"N, 13°01041"E; [www.lake-lab.de](http://www.lake-lab.de)). Periphyton on the curtains was removed and fish excluded prior to the experiment. The water column in eight enclosures was prepared for the experiment by first exchanging the hypolimnic (8–16 m) and thereafter epilimnic (0–8 m) water masses with water from the respective layers in the surrounding lake, during the two last weeks of July 2014. Water was exchanged by simultaneously pumping lake water in and out of the enclosures using submersible impeller pumps that cause minimum plankton disturbance, as successfully used at other enclosure facilities (Nejstgaard *et al.*, 1997, 2006). A DCM was present in the metalimnion of Lake Stechlin at this time. This plankton community was inserted into the metalimnion of each enclosure by exchanging the water between 7 m and 8 m after the water replacement. After complete water exchange with the lake, the enclosures were monitored for three days (August 3<sup>rd</sup>–5<sup>th</sup>) prior to applying the experimental treatment. A summer storm was simulated in four randomly selected enclosures on 6<sup>th</sup> August 2014 without sediment disturbance. This was achieved using the same pumps used for filling the enclosures to deepen the thermocline from 9 to 14 m (mixing for 4 h, from 03:00 to 07:00 hours). Water samples were collected with two-L water samplers (Limnos, Turku, Finland) from the well-mixed epilimnion at 2.5 m, from the metalimnion between 7.5 and 10 m, as the upper mixed layer deepened later in the season, and from the hypolimnion at 16 m.

### Chemical variables

Samples for dissolved organic carbon (DOC), total dissolved nitrogen (TDN) and soluble reactive phosphorus (SRP) were filtered through precombusted (450°C, 6 h) GF-75 filters (Sterlitech, nominal pore size of 0.3 µm) and immediately analyzed for TDN and SRP concentrations on a flow injection analyzer (FIA, MLE Dresden, Germany) according to the manufacturer's instructions. DOC concentration was determined with a TOC-V Analyzer (Shimadzu, Kyoto, Japan). Samples for dissolved silicate (DSi) were injected into a carrier stream with an ammonium molybdate reagent at pH 1.2 and adding oxalic acid using a FIASTAR 5000 (Foss Analytical AB, Höganäs, Sweden). The molybdate-reactive silica was measured at 720 nm.

Seston was filtered on precombusted GF-75 filters (Sterlitech, nominal pore size of 0.3 µm), dried at 60°C and stored in a desiccator upon analyses. Subsamples of 1 cm diameter were taken from one filter with a rotary cutter for measuring total particulate carbon (TPC), particulate inorganic carbon (PIC) and simultaneous particulate organic nitrogen (PON) and total particulate phosphorous (TPP). TPC was determined with an Eltra SC-800 Surface Carbon Determinator (Eltra GmbH, Haan, Germany) according the manufacturer's instructions. PIC was measured using infrared gas analysis of CO<sub>2</sub> subsequently to the reaction of calcite in a 10% HCl solution (Infralyt 50 SAXON Junkalor GmbH, Dessau, Germany). Concentration of particulate organic carbon (POC) was calculated by

subtracting PIC from TPC. PON and TPP were determined simultaneously using peroxodisulfate oxidation according (Ebina *et al.*, 1983). Thereby samples were autoclaved and both the concentrations of oxidation products nitrates and orthophosphates measured on a flow injection analyzer (FIA, MLE Dresden, Germany) according to the manufacturer's instructions.

Chlorophyll a was determined by filtering 200–800 mL of water onto polycarbonate membrane filters (0.2 µm; Whatman, Dassel, Germany), followed by extraction in 90% acetone at 4°C overnight and analysis with a fluorescence spectrophotometer (Hitachi F-7000, Tokyo, Japan).

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## Supplement - Tables and Figures

**Table S1: Results of a two-factorial permutational multivariate analysis of variance (PERMANOVA) on a resemblance matrix (Euclidian distance) of centered and scaled chemical and physical variables.** PERMANOVA (9999 permutations) is based on a multivariate assemblage of particulate inorganic carbon (PIC), particulate organic carbon (POC), particulate organic nitrogen (PON), total particulate phosphorous (TPP), dissolved organic carbon (DOC), dissolved silica (DSi), total dissolved nitrogen (TDN), soluble reactive phosphorous (SRP), proton concentration ( $H^+$ ), total alkalinity (TA), ammonia concentration ( $NH_4^+$ ), surface photosynthetic active radiation (PAR) and water temperature (Temp). Degree of freedom (df); sum of squares (SS). No differences in dispersion within the same geometric framework could be detected ( $p > 0.6$ ) (Anderson, 2001). Prior to the analysis data were  $\log(x+1)$ -transformed and normalized according to (Clarke and Gorley, 2006). The initial conditions between control and later mixed enclosures one day prior the treatment revealed no differences in water chemistry (PERMANOVA:  $F_{1,6} = 0.5664$ ;  $p > 0.7$ ).

**Table S2: Eigenvectors and explained variation of the first axis of a principal component analysis (PCA) on centered and scaled chemical and physical variables.** Most of the chemical and physical variables load with sizable importance on PC 1, thus depicting an overall combination of chemical variables for explaining the variation in environmental variables. PC 2 is largely explained by concentrations of particulate organic matter (POC, PON, TPP), reflecting the variation in Chl *a* (spearman's correlation:  $r_s = 0.67$ ,  $p < 0.001$ ). PC 3 represents measurements of the carbonate system. According the broken stick model (Jackson 1993) only components 1-3 should be used to interpret the PCA. PIC-particulate inorganic carbon, POC-particulate organic carbon, PON-particulate organic nitrogen, TPP-total particulate phosphorous, DOC-dissolved organic carbon, DSi-dissolved silica, TDN-total dissolved nitrogen, SRP-soluble reactive phosphorous,  $H^+$ -proton concentration, TA-total alkalinity, ammonia concentration ( $NH_4^+$ ), surface photosynthetically active radiation (PAR) and water temperature (Temp). Prior to the analysis data were  $\log(x+1)$ -transformed and normalized according to (Clarke and Gorley, 2006).

**Table S3: Collinearity among predictor variables using Pearson's coefficient  $\rho$ .** Only significant correlation coefficients are reported. Combinations of variables with  $\rho > 0.7$  were excluded from DistLM (Table S4). Particulate inorganic carbon (PIC), particulate organic carbon (POC), particulate organic nitrogen (PON), total particulate phosphorous (TPP), dissolved organic carbon (DOC), dissolved silica (DSi), total dissolved nitrogen (TDN), soluble reactive phosphorous (SRP), proton concentration ( $H^+$ ), total alkalinity (TA), ammonia concentration ( $NH_4^+$ ), concentration of chlorophyll *a* (Chl *a*) and temperature (Temp).

**Table S4: Relation between water physical-chemical variables and bacterial  $\beta$ -diversity using DistLM (distance-based linear modelling).** The marginal test (I) examines the

relation between  $\beta$ -diversity and each predictor variable individually, whereas the conditional test (II) examines the relationship by fitting all predictors into the most parsimonious model. To determine the suitability of predictor variables for use in a linear model, collinearity of the predictor variables was tested by calculating pairwise Pearson's correlation coefficients  $\rho$  (Table S3). Variables with a  $\rho > 0.7$  were not used in combination for modelling (e.g. PON and POC, TA and  $H^+$ ) the relationship between the resemblance matrix and predictor variables, since such variables contain effectively the same information and are redundant for purposes of the analysis. E.g., in case of Chl  $a$  and POC (with a comparatively low  $\rho = 0.72$ ), both variables are related to phytoplankton development and have a similar ecological meaning. Thus, including both variables in DistLM would not help gaining further information which of both factors structured the bacterial community. The overall best model solution was chosen based on Akaike's information criterion (AIC) with a second-order bias correction applied (AICc) (Hurvich and Tsai, 1989). Thereby models with AICc differences of 1 were considered as equal and a model with equal AICc but less fitted variables was generally preferred. Therefore, coupled with the before mentioned preliminary diagnostics to assess multi-collinearity (Table S3) among predictor variables, several potentially relevant models are reported (III). Significance was determined by 9999 permutations of residuals under a reduced model. DistLM is based on a resemblance matrix (Bray-curtis similarity) on the basis of multivariate species abundance data from free-living and particle-associated bacteria. Prior analyses abundance data were standardized to the total read abundance per sample (percentage) and square-root-transformed (Anderson et al. 2008). Visualization of the best model fit in multi-dimensional space was performed using the dbRDA routine (Legendre and Anderson, 1999; McArdle *et al.*, 2001) (Figure S3). Values in the table represent the estimation of the variance component (VC), the level of significance ( $p$ ) and the sum of squares (SS).

**Table S5: Differentially abundant bacterial OTUs in artificial mixed and control mesocosms with taxonomic affiliation.** Testing for differentially abundant taxa was achieved using the DESeq function in the DESeq2 package (Love *et al.*, 2014) with multiple-inference correction (Benjamini and Hochberg, 1995) and a significance level of  $p < 0.01$ . One artificial mixed enclosure (#E13), which did not develop a bloom of *Dolichospermum* sp. after t6, was excluded from calculations at t21 and t42.

**Figure S1:** Principal Coordinate Analysis (PCoA) of epilimnic bacterial community composition (BCC) during the course of the experiment. Water samples were size-fractionated for revealing free-living (FL; 0.2-5.0  $\mu\text{m}$ ) and particle-associated (PA; >5.0  $\mu\text{m}$ ) BCC. Prior analysis samples were standardized to the total read abundance per sample (%) and square-root-transformed. FL and PA bacteria comprise a significantly different BCC throughout the experiment (PERMANOVA; fraction- $F_{1,67} = 61.92$ ,  $p < 0.001$ ; time- $F_{4,67} = 6.30$ ,  $p < 0.001$ ; fraction x time- $F_{4,67} = 2.53$ ,  $p < 0.001$ ; dispersion of both size-fractions was not significantly different at each level of time;  $SS_{\text{fraction}} = 5.44$ ,  $SS_{\text{time}} = 2.22$ ).

**Figure S2:** Three orders of diversity (Hill numbers  ${}^qD$  with  $q = 0,1$  and  $2$ ) and Pielou's evenness ( $J$ ) between mixed and control enclosures during the experiment (Chao *et al.*, 2014; Pielou, 1975; Lucas *et al.*, 2017). Thereby  ${}^0D$  equals species richness,  ${}^1D$  equals exponential of Shannon's entropy index and  ${}^2D$  equals the inverse of Simpson's concentration index, respectively (Chao *et al.*, 2014). Calculations were made after rarefying to an equal number of 3904 reads per sample to control for unequal sampling effort. Significance is indicated by asterisks (two-tailed Mann-Whitney U;  $p \leq 0.05$ ). One mixed enclosure (#13), which did not develop a bloom of *Dolichospermum* sp. after t6 is indicated by an open triangle. The lower and upper hinges correspond to the first and third quantiles ( $25^{\text{th}}$  and  $75^{\text{th}}$  percentiles). Upper and lower whiskers extend from the hinges at maximum 1.5 times the inter-quantile range. Data beyond the end of whiskers are plotted individually.

**Figure S3:** dbRDA-ordination (distance-based redundancy analysis) of fitted values from the most parsimonious model (Table S4-II) revealed by distance-based linear modelling (DISTLM). DISTLM was used to model the relationship between the bacterial community composition and physical-chemical predictor variables in the epilimnion (Table S3, Table S4-I) of **A,B**) particle-associated (PA) and **C**) free-living (FL) bacteria. Arrows indicate the relationship between dbRDA coordinate axes and orthonormal variables in the final DISTLM (multiple partial correlations). These vectors can be interpreted as the effect of a given predictor variable on the construction of the constrained ordination (note: vectors are scaled to axis). One mixed enclosure (#13), which did not develop a bloom of *Dolichospermum* sp. after t6 is indicated by an open triangle and was excluded from the calculation of the 95 %- confidence interval after t6. Small numbers indicate enclosure number.

**Figure S4:** Principal Coordinate Analysis (PCoA) of epilimnic **A, B**) free-living (FL) and **B, C**) particle-associated (PA) bacterial community composition. The first three axes explain cumulatively ca. 53.3 % (FL) and 54.7 % (PA) of variance, respectively, and represent the number of "meaningful" components according to the broken-stick model (Jackson, 1993). One mixed enclosure (#13), which did not develop a bloom of *Dolichospermum* sp. after t6 is indicated by an open triangle and was excluded from the calculation of the 95 %-confidence interval after t6. Small numbers indicate enclosure number.

**Figure S5: I)** Proportion and taxonomic affiliation at phylum level of free-living (FL) and particle-associated (PA) bacterial 16s rRNA sequences of metalimnic samples from t-1 and epilimnic samples during the course of the experiment. **II-VI)** Proportion and taxonomic affiliation of *Actinobacteria*, *Bacteroidetes*, *Cyanobacteria*, *Proteobacteria* and *Verrucomicrobia*. Genera contributing less than 1% to total reads in one sample were grouped as "Others". C-control; M-mixed (e.g. C-E15 refers to control - epilimnion of mesocosm Nr. 15 or M-M16 refers to mixed - metalimnion of mesocosm Nr. 16, respectively). Three samples were excluded from further analysis due to low read abundance ( $< 1000$ , indicated by "NA").



During the entire experiment FL bacterial communities consisted mainly of the phyla *Actinobacteria* (following indicated as: min-max; mean  $\pm$  1SD; 15-75 %; 51  $\pm$  16 %), *Proteobacteria* (7-31 %; 14  $\pm$  5 %), *Verrucomicrobia* (1-37 %; 14  $\pm$  10 %), *Bacteroidetes* (3-16 %; 10  $\pm$  3 %) and *Cyanobacteria* (0-20 %; 6  $\pm$  5 %). Thereby, the most abundant OTUs across all epilimnic samples (grouped at the highest taxonomic resolution) belonged to *hgcl\_clade* (6-49 %; 30  $\pm$  10 %), *Sporichthyaceae* (2-23 %; 11  $\pm$  6 %), and *CL500-29-marine\_group* (2-15 %; 8  $\pm$  3 %) (*Actinobacteria*); *LD12\_freshwater\_group* (1-18 %; 7  $\pm$  5 %) (*Proteobacteria*); *SubsectionI\_FamilyI* (0-18 %; 6  $\pm$  5 %) (*Cyanobacteria*) and *Opitutae\_vadinHA64* (9-24 %; 8  $\pm$  6 %) as well as *FukuN18\_freshwater\_group* (0-34 %; 3  $\pm$  7 %) (*Verrucomicrobia*).

PA bacterial communities consisted mainly of the phyla *Cyanobacteria* (2-78 %; 38  $\pm$  22 %), *Proteobacteria* (8-65 %; 30  $\pm$  15 %), *Bacteroidetes* (2-43 %; 9  $\pm$  9 %), *Planktomycetes* (1-20 %; 7  $\pm$  5 %), *Verrucomicrobia* (1-24 %; 6  $\pm$  5 %) and *Actinobacteria* (0-26 %; 6  $\pm$  5 %). The most abundant OTUs across all epilimnic samples (grouped at the highest taxonomic resolution) comprising PA bacterial communities belonged to *Dolichospermum* (0-66 %; 18  $\pm$  20 %), *SubsectionI\_FamilyI* (0-41 %; 8  $\pm$  9 %) and *Snowella* (0-32 %; 7  $\pm$  7 %) (*Cyanobacteria*) as well as *Roseomonas* (0-31 %; 12  $\pm$  10 %) (*Proteobacteria*).

**Figure S6:** Box and whisker plots of **A,B**) bacterial abundance, **C,D**) bacterial protein production (BPP-C) and **(E,F)** cell-specific BPP-C in the epilimnion of **I**) free-living and **II**) particle associated bacteria during the course of the experiment. One mixed enclosure (#13), which did not develop a bloom of *Dolichospermum* sp. after t6 is indicated by an open triangle. The lower and upper hinges correspond to the first and third quantiles (25<sup>th</sup> and 75<sup>th</sup> percentiles). Upper and lower whiskers extend from the hinges at maximum 1.5 times the inter-quantile range. Data beyond the end of whiskers are plotted individually.

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Table S1:

Source of variation	df	SS	Pseudo-F	p (perm)
Treatment	1	0.07482	25.8615	0.000999 (***)
Time (day of experiment)	8	1.58088	68.3042	0.000999 (***)
Time x Treatment	8	0.09211	3.9798	0.000999 (***)
Residuals	54	0.15623		

Table S2:

Variable	PC1	PC2	PC3
PIC	-0.31		0.2
POC	-0.22	0.46	-0.24
PON	-0.18	0.47	-0.3
TPP	-0.31	0.34	-0.3
DOC			0.13
DSi	-0.38	-0.17	
TDN	-0.41	-0.19	
SRP	-0.18	-0.32	-0.27
H+	-0.11	-0.41	-0.42
TA	0.16		-0.51
NH <sub>4</sub> <sup>+</sup>	-0.43	-0.14	0.15
PAR	-0.27	-0.16	-0.16
Temp	-0.3	0.25	0.38
<b>% variation</b>	<b>34.9</b>	<b>18.5</b>	<b>16.7</b>
<b>cum % variation</b>	<b>34.9</b>	<b>53.4</b>	<b>70.1</b>

Table S3:

	PIC	POC	PON	TPP	DOC	DSi	TDN	SRP	H+	TA	Chl $\alpha$	Temp	PAR
POC													
PON		<b>0.77</b>											
TPP	0.18	0.62	<b>0.74</b>										
DOC				-0.14									
DSi	0.56			0.39	-0.39								
TDN	0.62	-0.16	-0.14	0.22	-0.32	<b>0.79</b>							
SRP	0.23	-0.3	-0.26		-0.28	0.66	<b>0.88</b>						
H <sup>+</sup>		-0.3	-0.27		-0.34	<b>0.73</b>	<b>0.87</b>	<b>0.92</b>					
TA	-0.26	-0.27	-0.18		-0.42	0.59	0.64	0.67	<b>0.75</b>				
Chl $\alpha$		<b>0.72</b>	0.66	0.42	0.2	-0.26	-0.45	-0.5	-0.52	-0.38			
Temp	0.52	0.12	0.1		0.02	0.08	0.1	-0.02	0.03	0.01	-0.1		
PAR	0.23	0.27		-0.11									
NH <sub>4</sub> <sup>+</sup>	0.67	0.08		0.39		<b>0.78</b>	<b>0.95</b>	0.36	0.33	-0.45	-0.13	0.62	0.4

Table S4:

**I) relationship between  $\beta$ -diversity and each individual predictor variable (marginal test)**

variable	PA bacteria				FL bacteria			
	SS	pseudo-F	$p^{(*)}$	VC (%)	SS	pseudo-F	$p^{(*)}$	VC (%)
Temp	8019	6.498	<b>0.0001</b>	14.93	3973.2	4.8795	<b>0.0001</b>	11.94
PAR	3129.3	2.2905	0.0088	0.06	1957.3	2.2491	0.0079	0.06
Chl $\alpha$	6478.5	5.0783	<b>0.0001</b>	12.07	2456.6	2.8685	<b>0.0019</b>	0.07
POC	6844.9	5.4075	<b>0.0001</b>	12.75	2312.4	2.6876	<b>0.0017</b>	0.07
PON	5433.6	4.147	<b>0.0001</b>	10.12	1911.6	2.1933	0.0096	0.06
TPP	6950.6	5.5035	<b>0.0001</b>	12.95	2366.5	2.7553	<b>0.0012</b>	0.07
DSi	5496.8	4.221	<b>0.0001</b>	10.24	2887.8	3.4199	<b>0.0001</b>	0.09
DOC	2246.4	1.616	0.0683	0.04	972.21	1.0831	0.3246	0.03
TDN	5139.4	3.9175	<b>0.0001</b>	0.1	2955.4	3.5077	<b>0.0007</b>	0.09
SRP	1992.1	1.426	0.1133	0.04	1176.8	1.3193	0.1377	0.04
pH	4317.1	3.2358	<b>0.0002</b>	0.08	2340.5	2.7227	<b>0.0015</b>	0.07
TA	4406.9	3.3092	<b>0.0004</b>	0.08	2345.2	2.7286	<b>0.0022</b>	0.07
NH <sub>4</sub> <sup>+</sup>	6096.2	4.7403	<b>0.001</b>	11.4	3371.1	4.0567	<b>0.001</b>	10.1

(\*) Bold numbers indicate significance after Bonferroni-correction to account for multiple testing.

**II) best model fits of DistLM (conditional test) based on AICc and number of used variable**

PA bacteria			FL bacteria		
AICc	VC (%)	variables	AICc	VC (%)	variables
273.91	35.5	POC, TDN, Temp	256.01	19.3	Chl $\alpha$ , Temp
273.98	35.4	POC, DSi, Temp			
274.04	35.3	Chl $\alpha$ , TDN, Temp			
274.17	35.1	Chl $\alpha$ , DSi, Temp			
274.3	34.9	TPP, TDN, Temp			

Table S5:

fraction	DOE	OTU	mean control	mean mixed	SD control	SD mixed	baseMean	log2FoldChange	lfcSE	stat	padj	phylum	Family	Genus
FL	1	OTU048	5.49	0.25	5.85	0.19	498.85	-4.25	1.20	-3.55521	0.00913	Verrucomicrobia	Opitutae_vadinHA64_unclassified	Opitutae_vadinHA64_unclassified
FL	1	OTU028	3.58	0.17	3.14	0.08	409.09	-4.44	1.26	-3.55828	0.00913	Verrucomicrobia	Unknown_Family	Candidatus_Methyloacidiphilum
FL	1	OTU103	3.41	0.00	4.12	0.00	405.82	-9.70	2.57	-3.77552	0.00727	Tenericutes	Mycoplasmataceae	Mycoplasma
FL	1	OTU021	2.12	0.27	1.17	0.12	205.86	-2.88	0.74	-3.87163	0.00611	Bacteroidetes	Cyclobacteriaceae	Algoriphagus
FL	1	OTU174	0.01	1.02	0.01	0.97	91.44	6.77	1.62	4.17766	0.00374	Proteobacteria	Comamonadaceae	Limnohaltans
FL	1	OTU044	0.74	0.05	0.52	0.03	69.45	-3.87	0.91	-4.25187	0.00374	Planctomycetes	Physisphaeraeae	Physisphaeraeae_unclassified
FL	1	OTU100	0.04	0.49	0.03	0.10	47.00	3.97	0.99	4.00947	0.00580	Chloroflexi	SLS6_marine_group_unclassified	SLS6_marine_group_unclassified
FL	1	OTU213	0.00	0.37	0.00	0.52	34.69	8.40	2.37	3.55176	0.00913	Proteobacteria	CABC2E06	CABC2E06_unclassified
FL	1	OTU167	0.00	0.24	0.00	0.19	21.74	8.35	2.15	3.87668	0.00611	Proteobacteria	Methylococcaceae	Methylobacter
FL	1	OTU239	0.00	0.20	0.00	0.15	18.13	8.05	2.20	3.65966	0.00875	Proteobacteria	Methylophilaceae	Methylophilaceae_unclassified
FL	1	OTU372	0.00	0.18	0.00	0.07	16.29	6.36	1.52	4.18270	0.00374	Proteobacteria	Nitrosomonadaceae	Nitrosomonadaceae_unclassified
FL	1	OTU397	0.00	0.15	0.00	0.04	13.35	7.87	2.10	3.74299	0.00727	Actinobacteria	Sporichthyaceae	Nitrosomonadaceae_unclassified
FL	1	OTU393	0.00	0.14	0.00	0.06	12.93	6.03	1.56	3.86237	0.00611	Planctomycetes	Planctomycetaceae	Planctomycetaceae_unclassified
FL	1	OTU290	0.00	0.14	0.00	0.03	12.70	7.82	2.10	3.73098	0.00727	Chlorobi	OPB56	OPB56_unclassified
FL	1	OTU468	0.00	0.14	0.00	0.08	12.56	7.68	2.17	3.53528	0.00913	Proteobacteria	Gallionellaceae	Candidatus_Nitrotoga
FL	1	OTU374	0.00	0.12	0.00	0.06	11.26	7.61	2.13	3.57246	0.00913	Actinobacteria	TM146	TM146_unclassified
FL	1	OTU560	0.00	0.11	0.00	0.01	9.96	7.52	2.10	3.57410	0.00913	Actinobacteria	Acidimicrobiaceae	CL500-29_marine_group
FL	6	OTU004	11.13	1.38	2.42	1.08	1075.10	-3.11	0.46	-6.70556	0.00000	Cyanobacteria	Family1	Family1_unclassified
FL	6	OTU123	0.14	0.62	0.10	0.19	60.06	1.95	0.52	3.73820	0.00547	Bacteroidetes	Chitinophagaceae	Filimonas
FL	6	OTU085	0.03	0.34	0.02	0.13	29.19	3.05	0.54	5.60592	0.00000	Bacteroidetes	Flavobacteriaceae	Flavobacterium
FL	6	OTU131	0.05	0.30	0.03	0.06	28.18	2.26	0.50	4.53715	0.00027	Proteobacteria	Proteobacteria_unclassified	Proteobacteria_unclassified
FL	6	OTU149	0.28	0.08	0.03	0.06	30.71	-1.97	0.52	-3.81098	0.00467	Cyanobacteria	Family1	Family1_unclassified
FL	6	OTU207	0.01	0.21	0.02	0.13	17.07	3.26	0.76	4.29733	0.00068	Bacteroidetes	Cryomorphaceae	Fluviicola
FL	6	OTU165	0.01	0.16	0.01	0.08	13.04	4.26	0.87	4.86761	0.00009	Bacteroidetes	Cryomorphaceae	Fluviicola
FL	6	OTU114	0.01	0.14	0.01	0.06	11.46	3.61	0.79	4.56512	0.00027	Bacteroidetes	env_OPS_17	env_OPS_17_unclassified
FL	6	OTU087	0.01	0.08	0.01	0.03	6.94	2.97	0.83	3.58563	0.00882	Bacteroidetes	NS11-12_marine_group	NS11-12_marine_group_unclassified
FL	21	OTU011	0.09	24.06	0.06	7.67	2529.91	8.34	0.72	11.61595	0.00000	Verrucomicrobia	FukuN18_freshwater_group	FukuN18_freshwater_group_unclassified
FL	21	OTU005	11.59	1.77	1.09	0.40	1293.65	-2.09	0.53	-3.96422	0.00311	Verrucomicrobia	Opitutae_vadinHA64_unclassified	Opitutae_vadinHA64_unclassified
FL	21	OTU004	8.09	0.16	6.53	0.23	807.66	-5.10	0.95	-5.39882	0.00001	Cyanobacteria	Family1	Family1_unclassified
FL	21	OTU006	2.60	6.99	0.86	2.15	977.30	1.98	0.54	3.64559	0.00769	Actinobacteria	Sporichthyaceae	Sporichthyaceae_unclassified
FL	21	OTU027	0.13	2.24	0.10	0.98	262.96	4.62	0.72	6.43222	0.00000	Verrucomicrobia	FukuN18_freshwater_group	FukuN18_freshwater_group_unclassified
FL	21	OTU040	1.00	0.05	0.91	0.07	99.63	-3.81	0.97	-3.94165	0.00311	Cyanobacteria	Family1	Synechococcus
FL	21	OTU149	0.20	0.01	0.07	0.01	20.59	-3.51	0.83	-4.20612	0.00128	Cyanobacteria	Family1	Family1_unclassified
FL	21	OTU014	0.00	0.19	0.00	0.12	20.30	6.92	1.32	5.23692	0.00001	Proteobacteria	Burkholderiales_unclassified	Burkholderiales_unclassified
FL	21	OTU033	0.00	0.17	0.00	0.16	16.74	6.51	1.29	5.04272	0.00003	Proteobacteria	Comamonadaceae	Comamonadaceae_unclassified
FL	21	OTU276	0.00	0.17	0.00	0.09	15.77	5.88	1.10	5.32762	0.00001	Verrucomicrobia	Chthoniobacteraceae	Chthoniobacter
FL	21	OTU353	0.16	0.00	0.24	0.00	15.35	-6.53	1.77	-3.69891	0.00681	Proteobacteria	Rhodobacteraceae	Paracoccus
FL	21	OTU378	0.09	0.00	0.04	0.00	8.17	-6.19	1.74	-3.56557	0.00966	Cyanobacteria	Family1	Synechococcus

FL	21	OTU398	0.00	0.06	0.00	0.01	5.49	6.53	1.71	3.82165	0.00459	Bacteria_unclassified	Bacteria_unclassified	Bacteria_unclassified
FL	42	OTU136	1.07	0.16	0.26	0.12	98.92	-2.68	0.68	-3.96572	0.00498	Cryomorphaceae	Cryomorphaceae	Fluviicola
FL	42	OTU094	0.58	0.06	0.48	0.03	49.40	-3.12	0.68	-4.58235	0.00125	Bacteroidetes	Bacteroidetes	Fluviicola
FL	42	OTU196	0.11	0.00	0.09	0.00	8.99	-5.07	1.16	-4.38329	0.00159	Chloroflexi	Roseiflexus	Roseiflexus
FL	42	OTU337	0.08	0.00	0.07	0.00	6.20	-5.50	1.33	-4.14846	0.00303	Bacteroidetes	Cryomorphaceae	Fluviicola
PA	1	OTU019	1.20	0.04	1.87	0.05	92.98	-4.91	1.03	-4.75966	0.00009	Proteobacteria	Gammaaproteobacteria_unclassified	Gammaaproteobacteria_unclassified
PA	1	OTU027	0.26	1.07	0.20	0.14	100.69	1.91	0.57	3.35646	0.00836	Verrucomicrobia	FukuN18_freshwater_group	FukuN18_freshwater_group_unclassified
PA	1	OTU084	0.15	0.95	0.05	0.16	83.60	2.61	0.44	5.88886	0.00000	Proteobacteria	Caulobacteraceae	Brevundimonas
PA	1	OTU167	0.00	0.77	0.00	0.73	58.78	9.63	1.82	5.30231	0.00002	Proteobacteria	Methylococcaceae	Methylobacter
PA	1	OTU111	0.61	0.07	0.78	0.05	50.95	-3.18	0.86	-3.70768	0.00353	Bacteroidetes	Flavobacteriaceae	Flavobacterium
PA	1	OTU026	0.15	0.60	0.05	0.22	57.38	1.92	0.51	3.76031	0.00296	Verrucomicrobia	OPB35_soil_group_unclassified	OPB35_soil_group_unclassified
PA	1	OTU093	0.58	0.15	0.16	0.01	57.16	-2.04	0.42	-4.86064	0.00006	Proteobacteria	Betaproteobacteria_unclassified	Betaproteobacteria_unclassified
PA	1	OTU242	0.00	0.50	0.00	0.27	37.50	7.52	1.28	5.85042	0.00000	Planctomycetes	Physcisphaeraceae	CL500-3
PA	1	OTU224	0.00	0.48	0.00	0.33	35.78	9.03	1.84	4.90349	0.00006	Proteobacteria	Comamonadaceae	Comamonadaceae_unclassified
PA	1	OTU071	0.12	0.44	0.04	0.13	43.03	1.77	0.49	3.60922	0.00472	Cyanobacteria	Family	Anabaena
PA	1	OTU200	0.03	0.40	0.03	0.19	32.18	3.51	0.72	4.87260	0.00006	Planctomycetes	Planctomycetaceae	Schlesneria
PA	1	OTU213	0.00	0.30	0.00	0.32	23.30	6.83	1.34	5.08256	0.00003	Proteobacteria	CABC2E06	CABC2E06_unclassified
PA	1	OTU197	0.29	0.06	0.10	0.01	27.11	-2.21	0.50	-4.44854	0.00029	Proteobacteria	Bradyrhizobiaceae	Bosea
PA	1	OTU128	0.02	0.28	0.02	0.17	22.99	3.45	0.76	4.51731	0.00024	Bacteroidetes	Porphyromonadaceae	Paludibacter
PA	1	OTU239	0.00	0.26	0.00	0.27	19.37	8.23	1.89	4.35258	0.00038	Proteobacteria	Methylophilaceae	Methylophilaceae_unclassified
PA	1	OTU228	0.03	0.26	0.02	0.04	21.44	3.22	0.61	5.27286	0.00002	Bacteroidetes	Chitinophagaceae	Sediminibacterium
PA	1	OTU114	0.01	0.25	0.00	0.13	19.39	4.08	0.78	5.25130	0.00002	Bacteroidetes	env.OPS_17	env.OPS_17_unclassified
PA	1	OTU351	0.00	0.24	0.00	0.16	18.02	8.17	1.88	4.35404	0.00038	Planctomycetes	Planctomycetaceae	Planctomyces
PA	1	OTU262	0.03	0.20	0.03	0.08	17.10	2.75	0.75	3.65023	0.00049	Planctomycetes	vadinHA49_unclassified	vadinHA49_unclassified
PA	1	OTU011	0.01	0.20	0.01	0.11	15.97	3.95	0.89	4.42243	0.00031	Verrucomicrobia	FukuN18_freshwater_group	FukuN18_freshwater_group_unclassified
PA	1	OTU334	0.00	0.19	0.00	0.09	14.75	5.61	1.12	5.02842	0.00003	Planctomycetes	Physcisphaeraceae	CL500-3
PA	1	OTU073	0.01	0.19	0.01	0.06	15.11	4.33	0.83	5.20053	0.00002	Cyanobacteria	Family	Planktothrix
PA	1	OTU290	0.00	0.19	0.00	0.04	14.18	7.93	1.86	4.26076	0.00055	Chlorobi	OPB56	OPB56_unclassified
PA	1	OTU172	0.00	0.17	0.00	0.10	12.65	6.13	1.36	4.50810	0.00024	Planctomycetes	Planctomycetaceae	Planctomyces
PA	1	OTU226	0.01	0.17	0.00	0.07	13.33	3.74	0.79	4.71784	0.00010	Planctomycetes	vadinHA49_unclassified	vadinHA49_unclassified
PA	1	OTU470	0.00	0.15	0.00	0.03	11.24	7.64	1.87	4.07502	0.00108	Proteobacteria	GR-WP33-58	GR-WP33-58_unclassified
PA	1	OTU086	0.15	0.01	0.11	0.01	12.40	-3.31	0.85	-3.89516	0.00204	Bacteroidetes	Bacteroidetes_unclassified	Bacteroidetes_unclassified
PA	1	OTU245	0.01	0.15	0.02	0.12	12.39	3.40	0.99	3.42852	0.00728	Cyanobacteria	Family	Pseudanabaena
PA	1	OTU493	0.00	0.15	0.00	0.06	11.09	7.60	1.89	4.02918	0.00126	Planctomycetes	OM190_unclassified	OM190_unclassified
PA	1	OTU349	0.00	0.13	0.00	0.05	9.91	7.45	1.89	3.94798	0.00170	Planctomycetes	Physcisphaeraceae	CL500-3
PA	1	OTU377	0.00	0.13	0.01	0.08	9.56	5.59	1.33	4.19643	0.00070	Proteobacteria	Sandaracinaceae	Sandaracinus
PA	1	OTU235	0.02	0.13	0.01	0.09	10.97	2.77	0.80	3.46369	0.00669	Proteobacteria	Comamonadaceae	Comamonadaceae_unclassified
PA	1	OTU500	0.00	0.12	0.00	0.05	9.00	7.34	1.89	3.88186	0.00207	Planctomycetes	Physcisphaeraceae	CL500-3
PA	1	OTU253	0.12	0.00	0.17	0.00	9.23	-5.87	1.43	-4.09036	0.00106	Bacteroidetes	Flavobacteriaceae	Flavobacterium
PA	1	OTU356	0.01	0.11	0.01	0.05	9.39	3.09	0.88	3.51043	0.00604	Proteobacteria	Rhodospirillaceae	Rhodospirillum
PA	1	OTU370	0.00	0.10	0.00	0.03	7.76	7.16	1.90	3.77791	0.00285	Actinobacteria	Actinobacteria_unclassified	Actinobacteria_unclassified
PA	1	OTU567	0.00	0.10	0.00	0.01	7.69	7.16	1.89	3.78252	0.00285	Proteobacteria	Blfdi19	Blfdi19_unclassified

PA	1	OTU283	0.10	0.01	0.07	0.01	8.79	-2.82	0.84	-3.36832	0.00836	Bacteroidetes	Flavobacteriaceae	Flavobacterium
PA	1	OTU511	0.00	0.09	0.00	0.07	6.83	6.96	1.94	3.59485	0.00474	Proteobacteria	CABC2E06	CABC2E06_unclassified
PA	1	OTU529	0.00	0.08	0.00	0.03	6.50	5.23	1.37	3.80674	0.00272	Planctomycetes	OM190_unclassified	OM190_unclassified
PA	1	OTU254	0.00	0.08	0.00	0.04	6.20	5.02	1.38	3.63193	0.00447	Verrucomicrobia	Opitutaceae	Opitutus
PA	1	OTU436	0.08	0.01	0.04	0.01	6.67	-3.18	0.89	-3.57658	0.00495	Cyanobacteria	Cyanobacteria_unclassified	Cyanobacteria_unclassified
PA	1	OTU630	0.00	0.08	0.00	0.05	5.78	6.74	1.93	3.48543	0.00632	Proteobacteria	Deltaproteobacteria_unclassified	Deltaproteobacteria_unclassified
PA	1	OTU440	0.00	0.08	0.00	0.02	5.59	6.74	1.91	3.52032	0.00597	Bacteria_unclassified	Bacteria_unclassified	Bacteria_unclassified
PA	1	OTU369	0.00	0.07	0.01	0.04	5.71	4.02	1.20	3.36508	0.00836	Planctomycetes	Planctomycetaceae	Planctomycetes
PA	1	OTU642	0.00	0.07	0.00	0.05	5.52	6.68	1.94	3.43939	0.00716	Verrucomicrobia	Verrucomicrobia_unclassified	Verrucomicrobia_unclassified
PA	1	OTU100	0.00	0.07	0.00	0.02	5.53	4.90	1.36	3.60315	0.00472	Chloroflexi	SL56_marine_group_unclassified	SL56_marine_group_unclassified
PA	1	OTU584	0.00	0.06	0.00	0.00	4.99	4.76	1.36	3.49919	0.00615	Proteobacteria	mle1-27	mle1-27_unclassified
PA	1	OTU632	0.00	0.06	0.00	0.04	4.87	4.82	1.43	3.37563	0.00836	Proteobacteria	Proteobacteria_unclassified	Proteobacteria_unclassified
PA	1	OTU180	0.00	0.06	0.00	0.02	4.76	4.69	1.38	3.38943	0.00822	Actinobacteria	Sporichthyaceae	Sporichthya
PA	1	OTU094	0.00	0.06	0.01	0.02	4.67	4.59	1.37	3.35704	0.00836	Bacteroidetes	Cyromorphaceae	Fluvicola
PA	1	OTU705	0.00	0.06	0.00	0.02	4.49	6.47	1.93	3.34274	0.00861	Verrucomicrobia	OPB35_soil_group_unclassified	OPB35_soil_group_unclassified
PA	1	OTU484	0.00	0.06	0.00	0.02	4.44	6.45	1.93	3.33572	0.00867	Verrucomicrobia	Unknown_Family	Candidatus_Methylacidiphilum
PA	6	OTU002	16.04	60.92	14.31	6.21	12401.06	2.77	0.76	3.66319	0.00668	Cyanobacteria	Familyl	Dolichospermum (sensu Anabaena)
PA	6	OTU004	23.10	0.96	13.61	0.57	2459.48	-3.65	0.71	-5.12834	0.00008	Cyanobacteria	Familyl	Familyl_unclassified
PA	6	OTU014	0.29	1.92	0.25	1.23	369.00	3.53	0.82	4.28292	0.00141	Proteobacteria	Burkholderiales_unclassified	Burkholderiales_unclassified
PA	6	OTU006	0.47	0.08	0.17	0.03	57.03	-1.65	0.45	-3.64563	0.00681	Actinobacteria	Sporichthyaceae	Sporichthyaceae_unclassified
PA	6	OTU017	0.22	0.46	0.06	0.24	98.97	1.89	0.47	4.06067	0.00291	Acidobacteria	SLA-149	SLA-149_unclassified
PA	6	OTU042	0.40	0.02	0.32	0.01	37.29	-3.62	0.79	-4.58988	0.00048	Actinobacteria	Acidimicrobiales_Incertae_Sedis	Candidatus_Microthrix
PA	6	OTU134	0.07	0.14	0.03	0.04	30.56	1.87	0.50	3.77303	0.00512	Proteobacteria	Alphaproteobacteria_unclassified	Alphaproteobacteria_unclassified
PA	6	OTU301	0.01	0.13	0.01	0.03	23.84	4.62	0.95	4.83637	0.00018	Planctomycetes	vadinHA49_unclassified	vadinHA49_unclassified
PA	6	OTU084	0.09	0.13	0.02	0.03	30.70	1.37	0.36	3.79667	0.00512	Proteobacteria	Caulobacteraceae	Brevundimonas
PA	6	OTU226	0.01	0.12	0.01	0.07	21.17	4.06	0.95	4.28441	0.00141	Planctomycetes	vadinHA49_unclassified	vadinHA49_unclassified
PA	6	OTU073	0.01	0.10	0.01	0.05	17.87	4.55	0.73	6.26145	0.00000	Cyanobacteria	Familyl	Planktothrix
PA	6	OTU114	0.03	0.10	0.02	0.08	18.68	2.84	0.77	3.71583	0.00603	Bacteroidetes	env_OPS_17	env_OPS_17_unclassified
PA	6	OTU165	0.00	0.09	0.00	0.09	14.58	5.48	1.13	4.85504	0.00018	Bacteroidetes	Cyromorphaceae	Fluviicola
PA	6	OTU429	0.00	0.06	0.00	0.07	10.95	4.87	1.24	3.94064	0.00396	Bacteria_unclassified	Bacteria_unclassified	Bacteria_unclassified
PA	6	OTU350	0.06	0.00	0.03	0.00	5.63	-3.99	1.02	-3.91980	0.00396	Bacteroidetes	LIU-11-161	LIU-11-161_unclassified
PA	6	OTU390	0.01	0.06	0.01	0.04	9.69	3.81	1.08	3.53058	0.00966	Proteobacteria	Hot_Creek_32_unclassified	Hot_Creek_32_unclassified
PA	6	OTU613	0.00	0.03	0.00	0.03	7.15	4.43	1.18	3.77140	0.00512	Cyanobacteria	Familyl	Anabaena
PA	6	OTU270	0.00	0.03	0.00	0.03	5.19	4.50	1.16	3.86821	0.00420	Proteobacteria	Holospiraceae	Holospiraceae_unclassified
PA	6	OTU489	0.00	0.03	0.00	0.02	6.09	4.24	1.07	3.96483	0.00394	Proteobacteria	Bifid19	Bifid19_unclassified
PA	6	OTU574	0.00	0.03	0.00	0.02	4.56	6.11	1.47	4.14121	0.00231	Proteobacteria_unclassified	Proteobacteria_unclassified	Proteobacteria_unclassified
PA	6	OTU596	0.00	0.03	0.00	0.02	4.57	4.04	1.10	3.66513	0.00668	Planctomycetes	vadinHA49_unclassified	vadinHA49_unclassified
PA	6	OTU821	0.00	0.02	0.00	0.01	4.08	5.86	1.51	3.87631	0.00420	Cyanobacteria	Familyl	Snowella
PA	6	OTU010	0.00	0.01	0.00	0.00	2.48	5.38	1.52	3.53387	0.00966	Cyanobacteria	Familyl	Familyl_unclassified
PA	21	OTU014	0.06	17.67	0.05	13.68	2437.70	8.72	1.19	7.32751	0.00000	Proteobacteria	Burkholderiales_unclassified	Burkholderiales_unclassified
PA	21	OTU029	0.18	7.93	0.07	7.63	1130.72	6.22	1.17	5.32188	0.00002	Proteobacteria	Comamonadaceae	Comamonadaceae_unclassified
PA	21	OTU077	0.09	3.19	0.07	4.67	414.40	5.79	1.29	4.48539	0.00059	Proteobacteria	Cellvibrionaceae	Cellvibrionaceae



PA	21	OTU116	0.10	1.22	0.08	1.31	166.16	4.42	1.21	3.66195	0.00933	Proteobacteria	Comamonadaceae	Rhizobacter
PA	21	OTU193	1.14	0.01	0.81	0.01	72.75	-6.46	1.28	-5.06214	0.00004	Bacteria_unclassified	Bacteria_unclassified	
PA	21	OTU125	0.02	0.50	0.02	0.48	68.13	5.05	1.38	3.65131	0.00933	Proteobacteria	Comamonadaceae	
PA	21	OTU115	0.29	0.00	0.38	0.00	17.76	-6.06	1.59	-3.81060	0.00638	Bacteroidetes	Bacteroidetes_unclassified	
PA	21	OTU248	0.00	0.20	0.00	0.13	26.53	6.48	1.54	4.21519	0.00161	Bacteroidetes	env.OPS_17_unclassified	
PA	21	OTU398	0.00	0.19	0.00	0.13	20.50	6.18	1.51	4.08264	0.00239	Bacteria_unclassified	Bacteria_unclassified	
PA	42	OTU109	3.52	0.00	5.71	0.00	386.63	-7.57	1.56	-4.84130	0.00053	Proteobacteria	Burkholderiales_unclassified	
PA	42	OTU081	2.81	0.07	3.59	0.02	312.85	-4.60	1.15	-4.00191	0.00857	Bacteroidetes	Flavobacterium	
PA	42	OTU422	0.24	0.00	0.32	0.00	25.94	-6.68	1.66	-4.01824	0.00857	Bacteroidetes	Elizabethkingia	

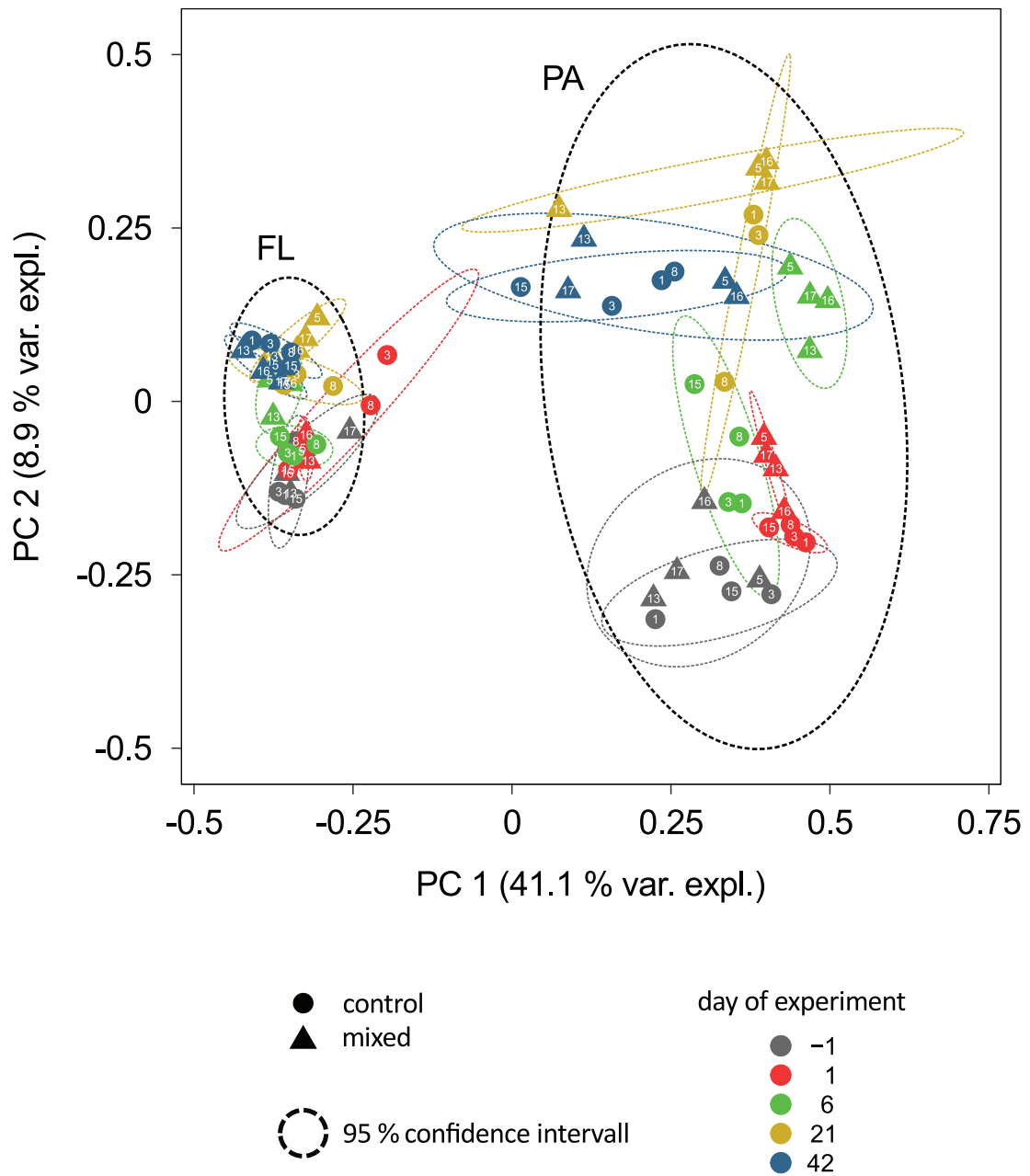


Figure S1:

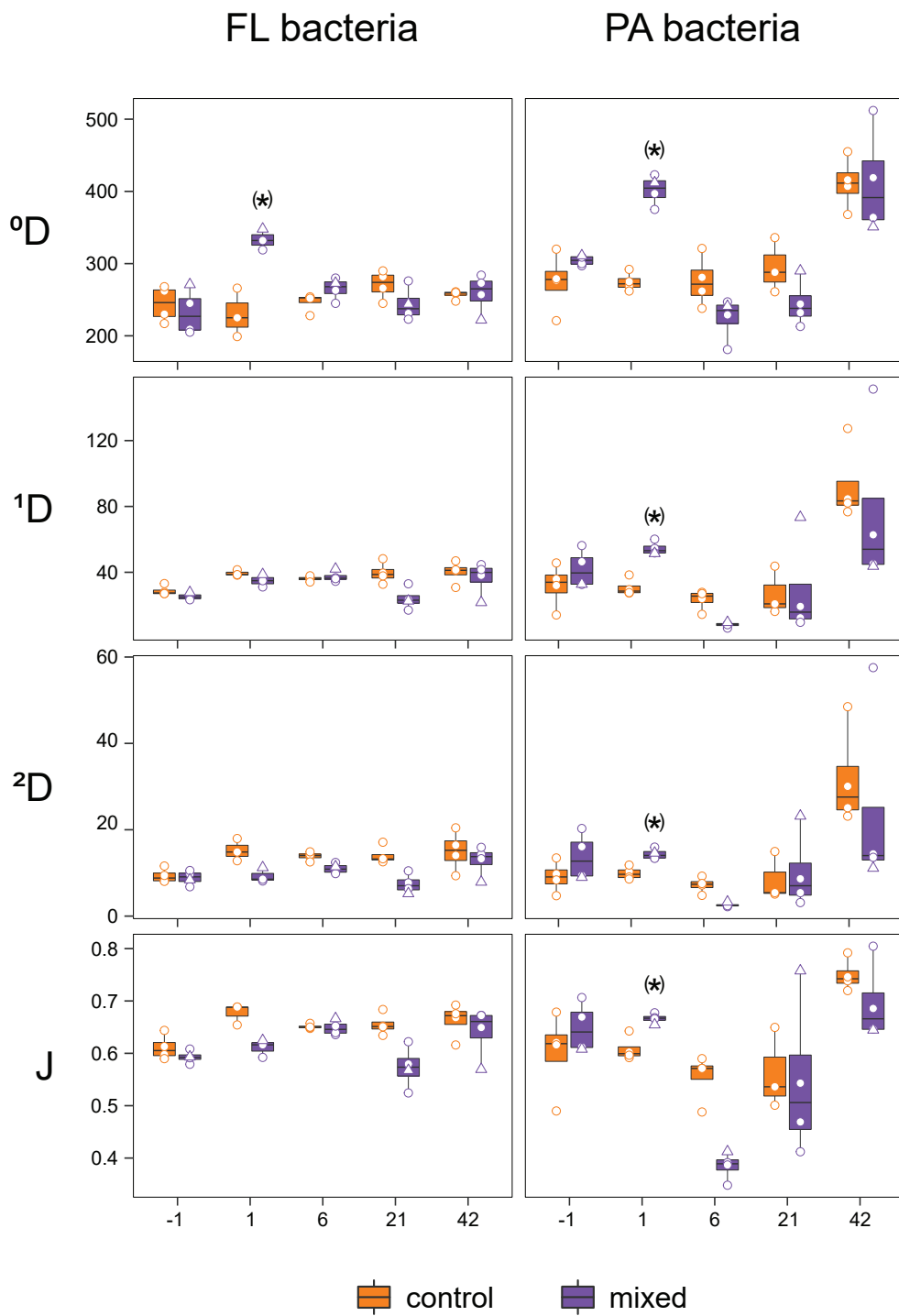


Figure S2:

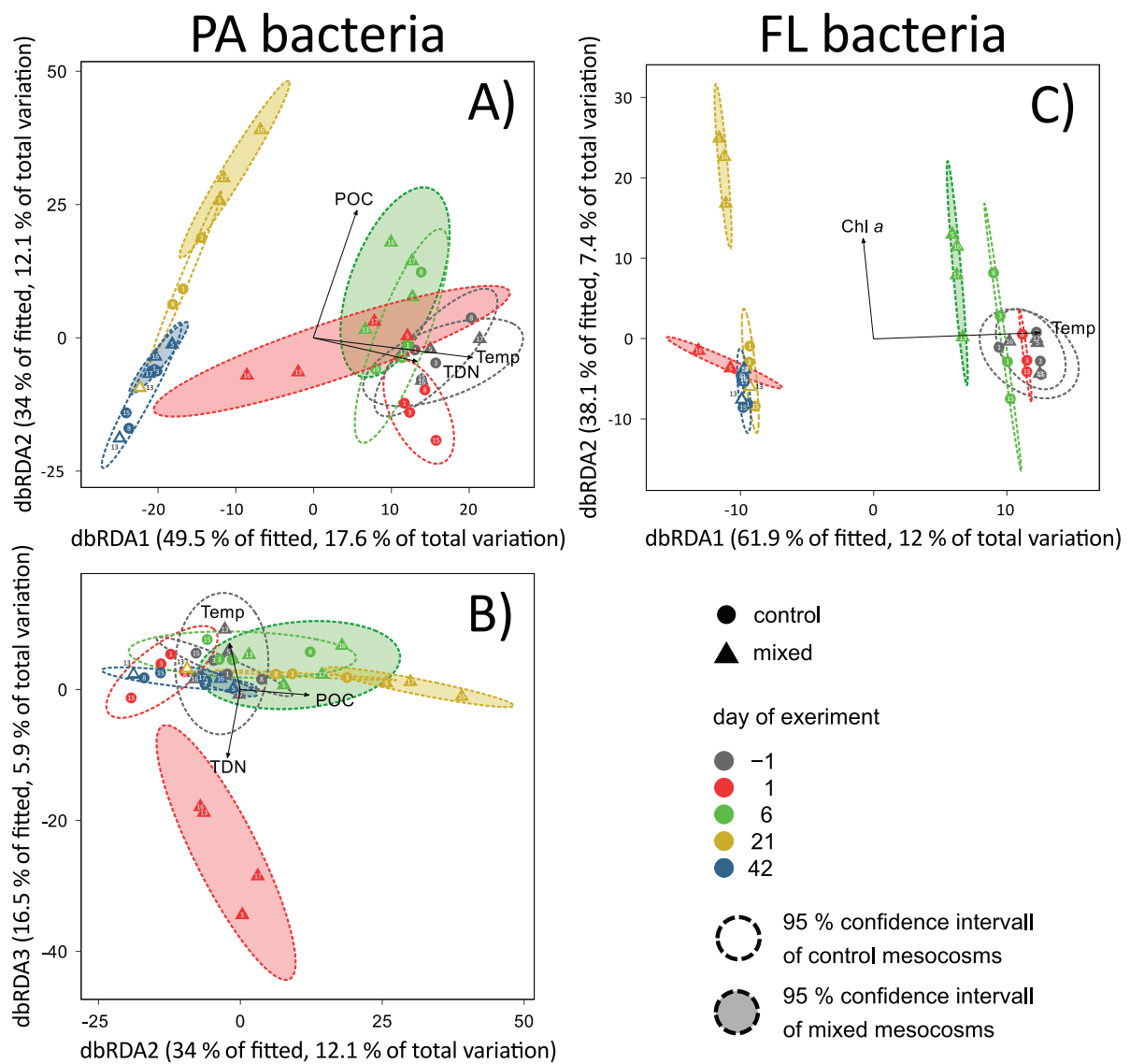


Figure S3:

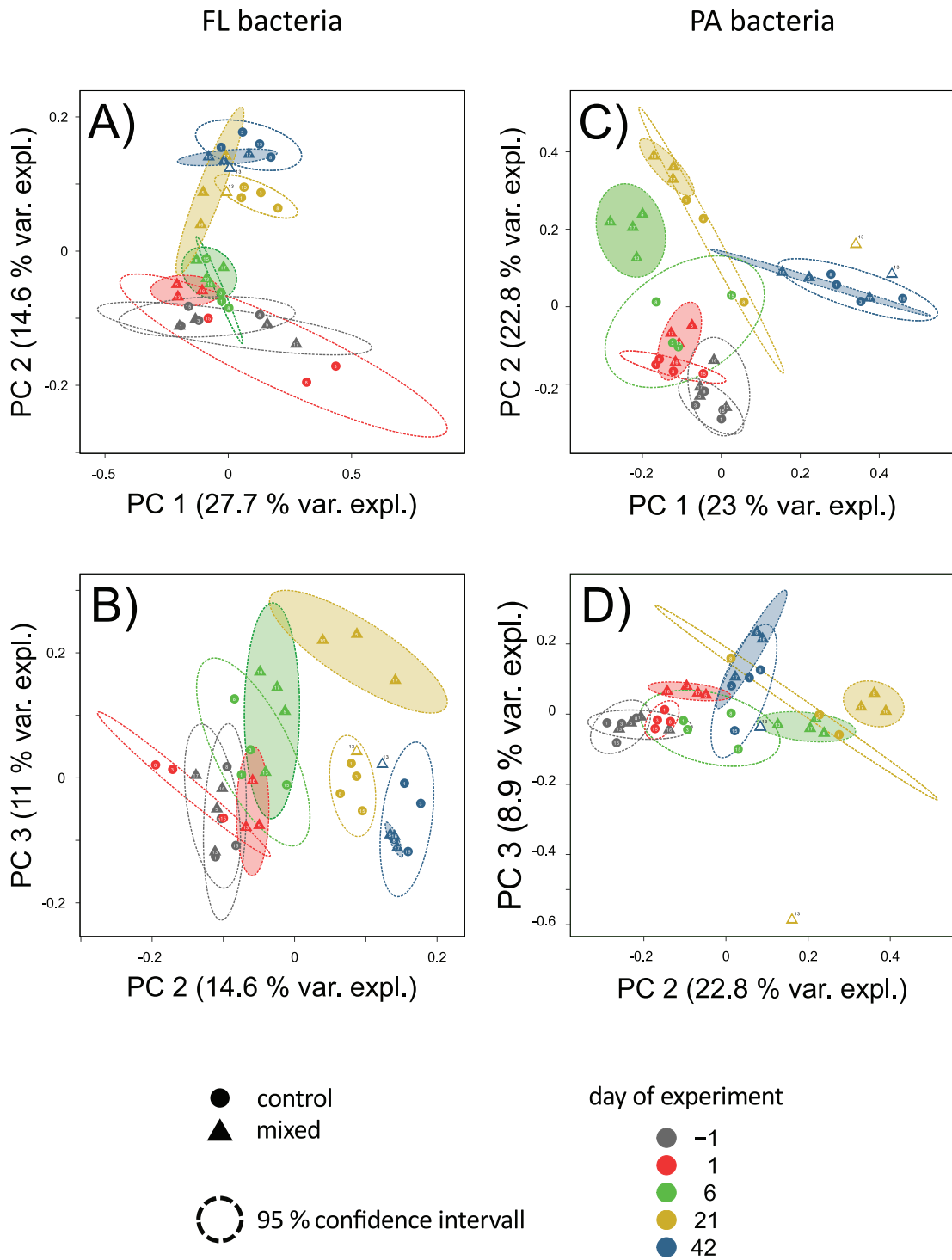


Figure S4:

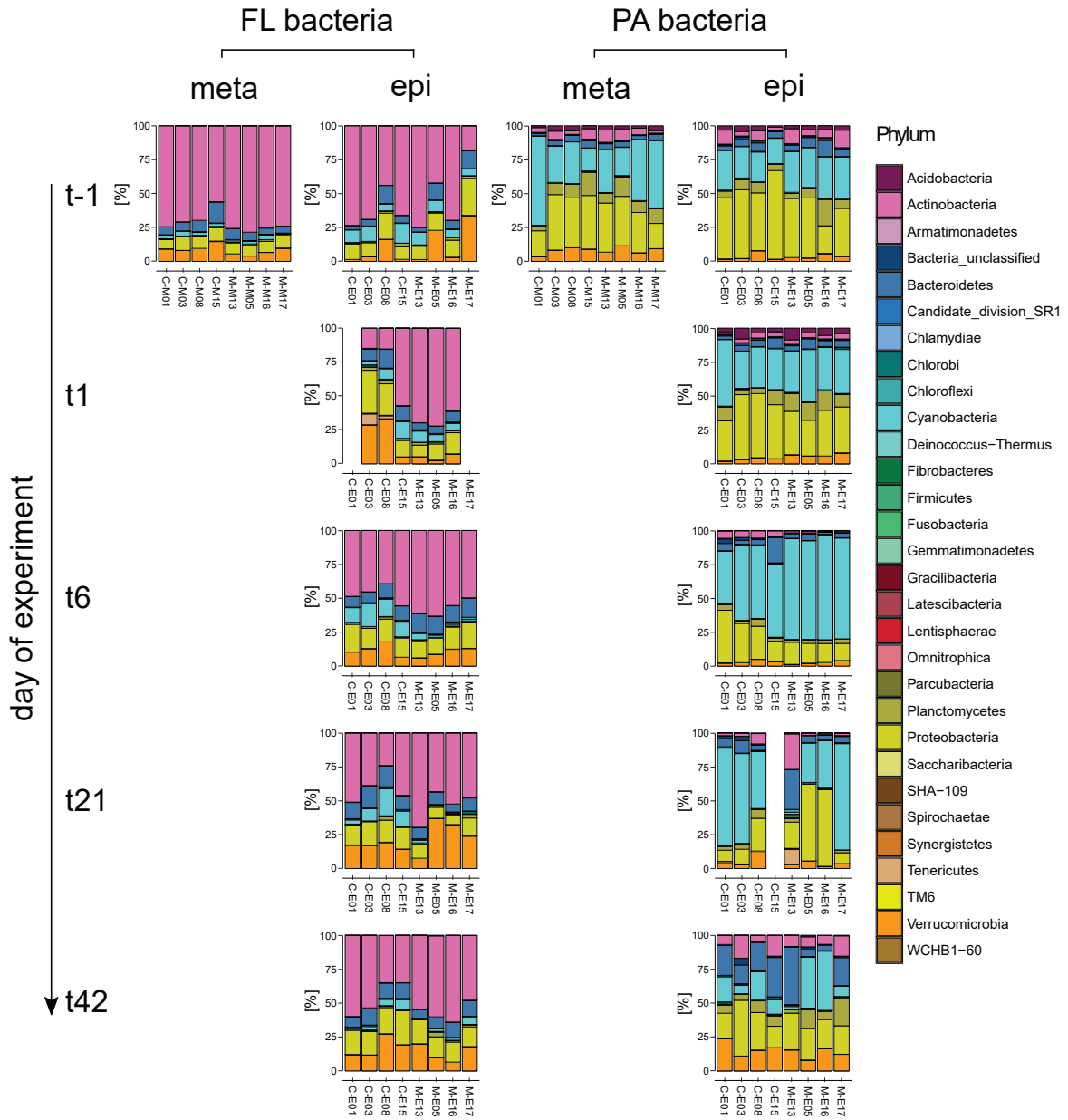


Figure S5-1:

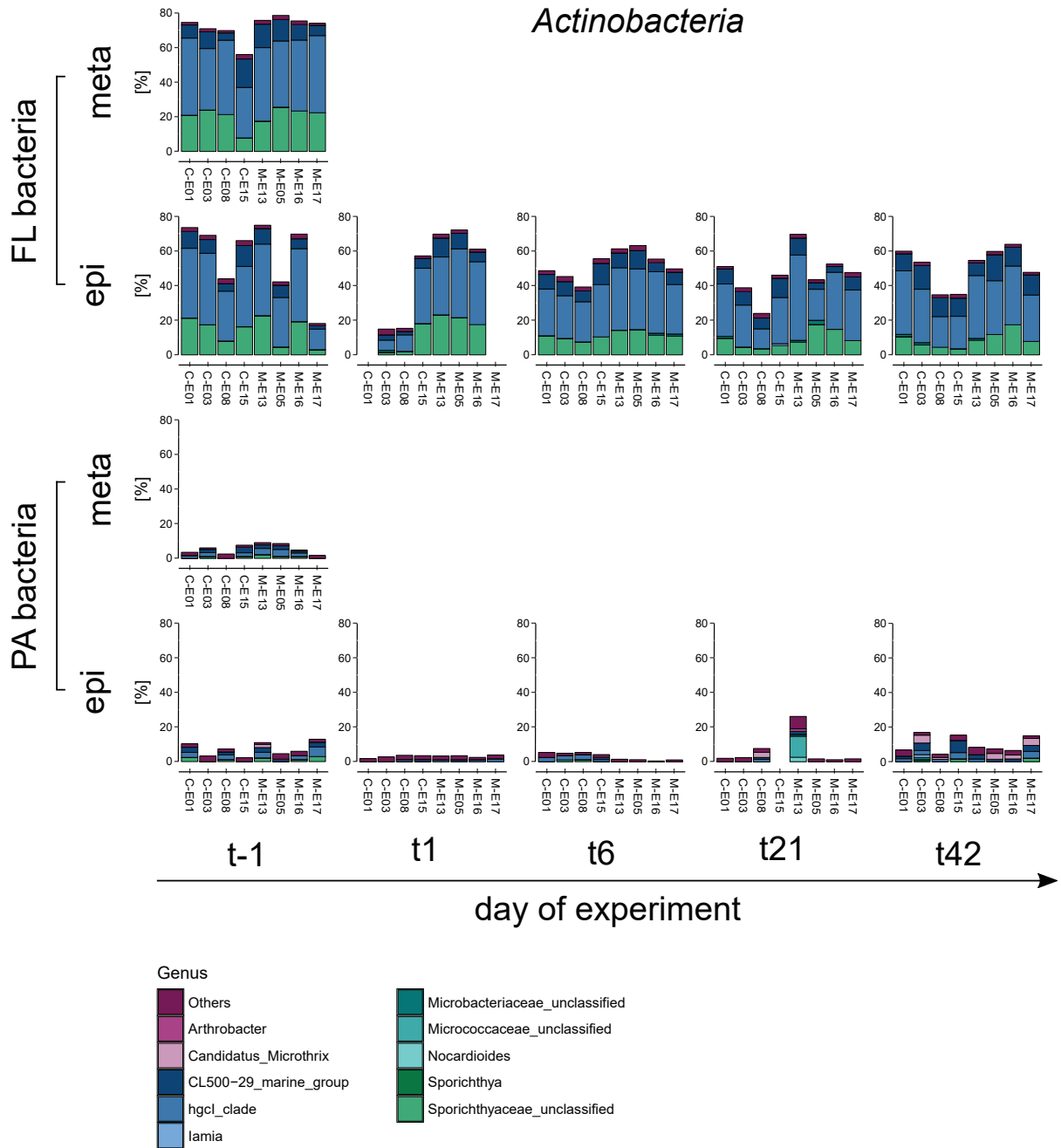


Figure S5-II:

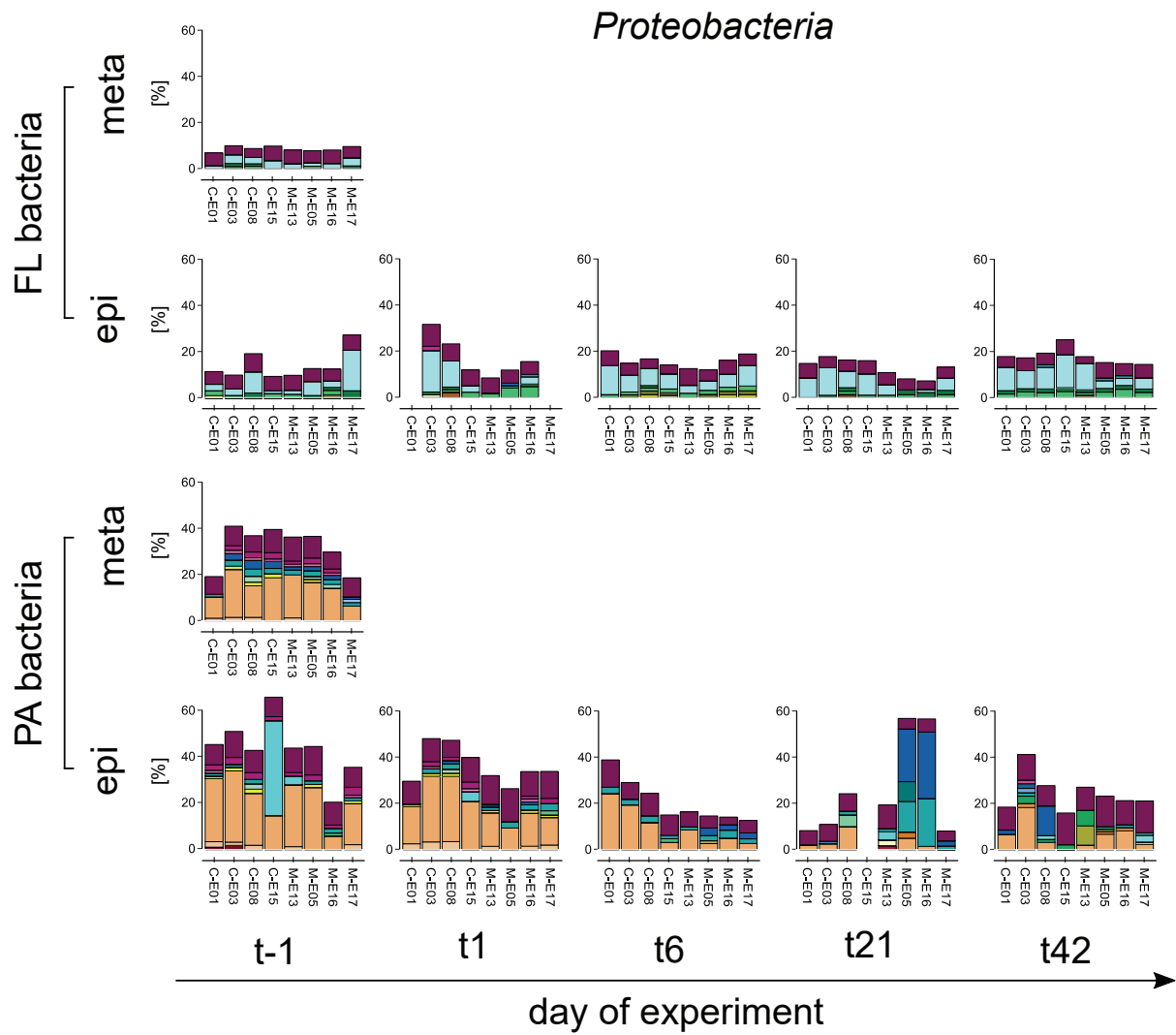


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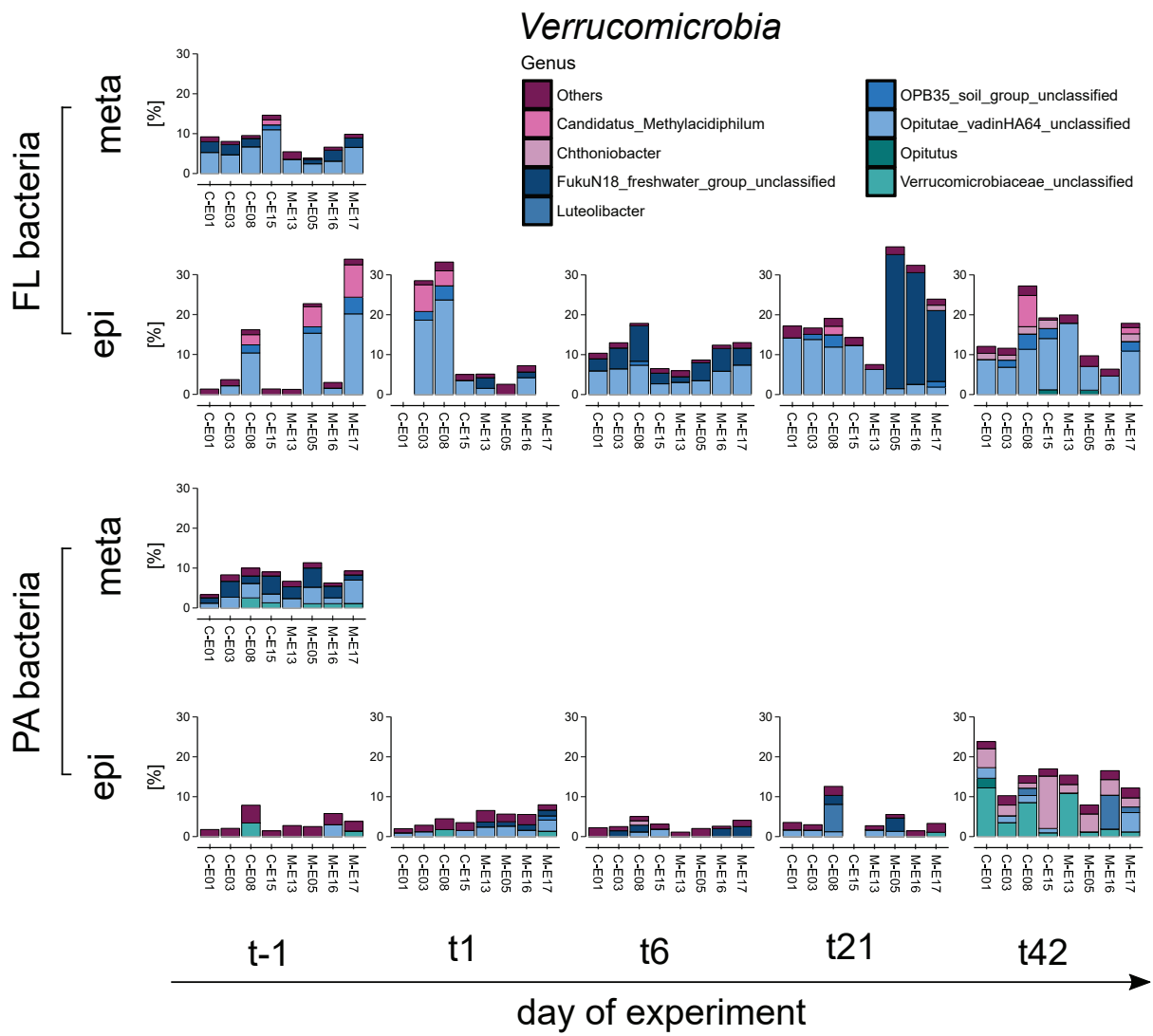


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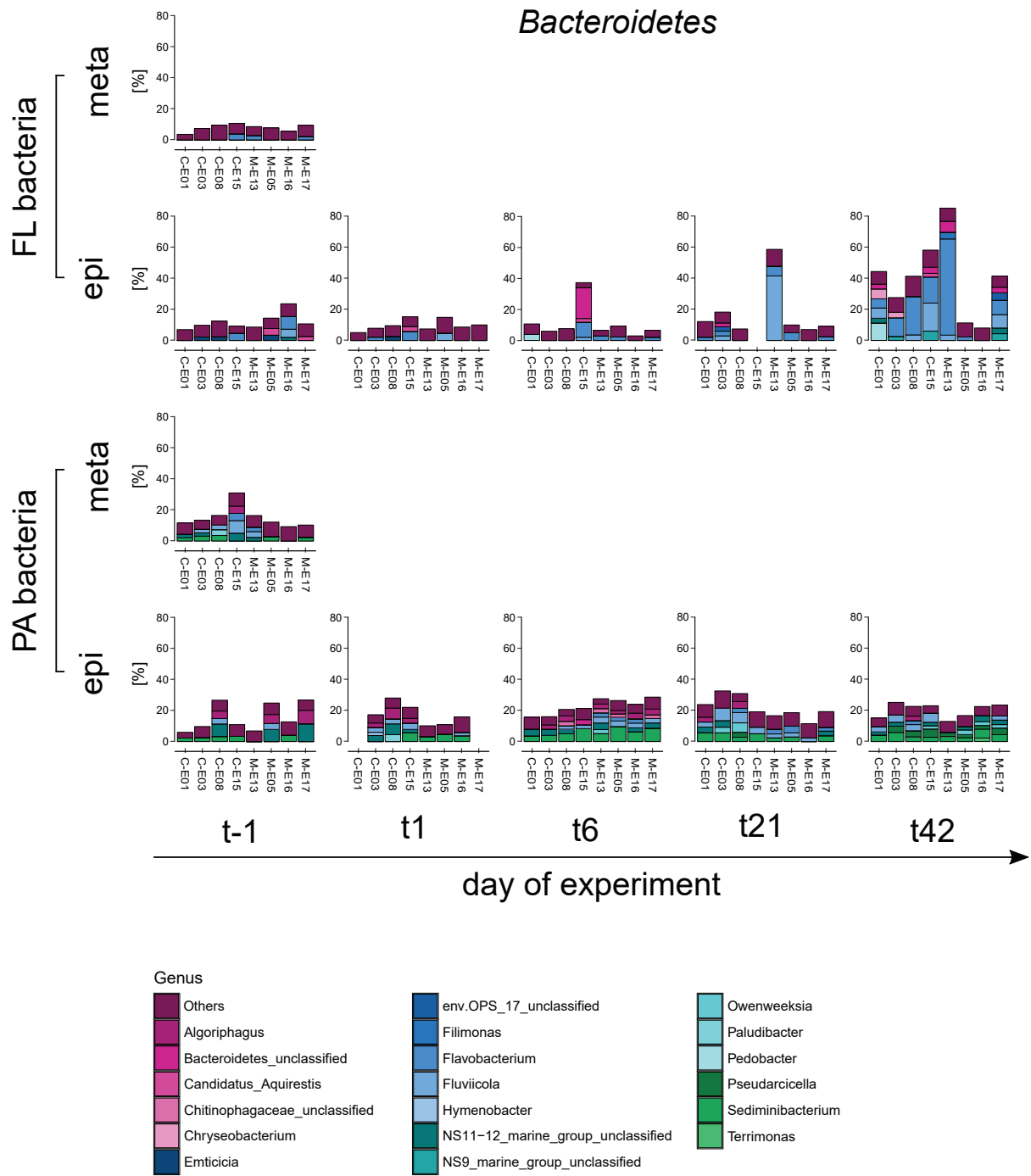


Figure S5-V:

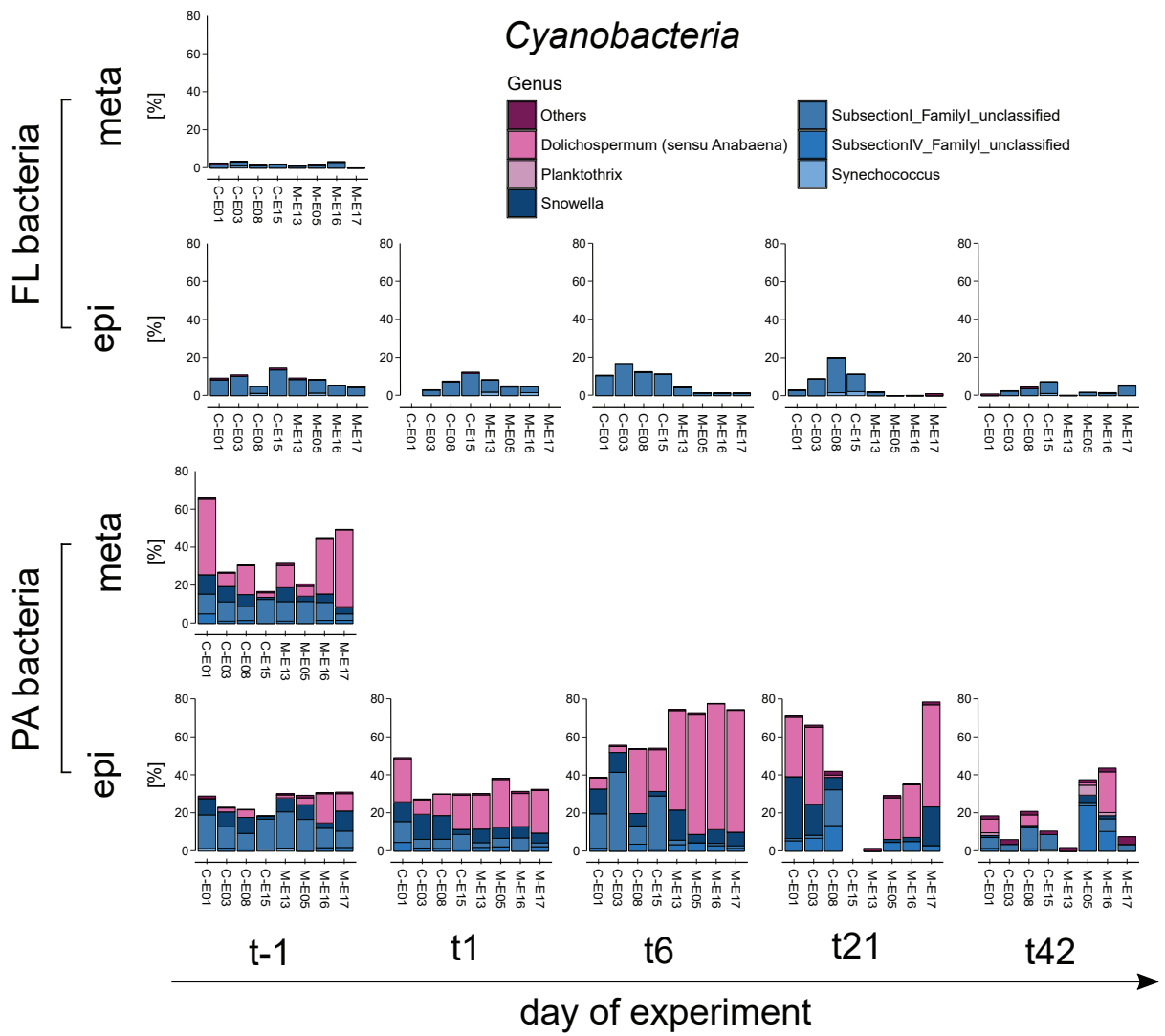


Figure S5-VI:

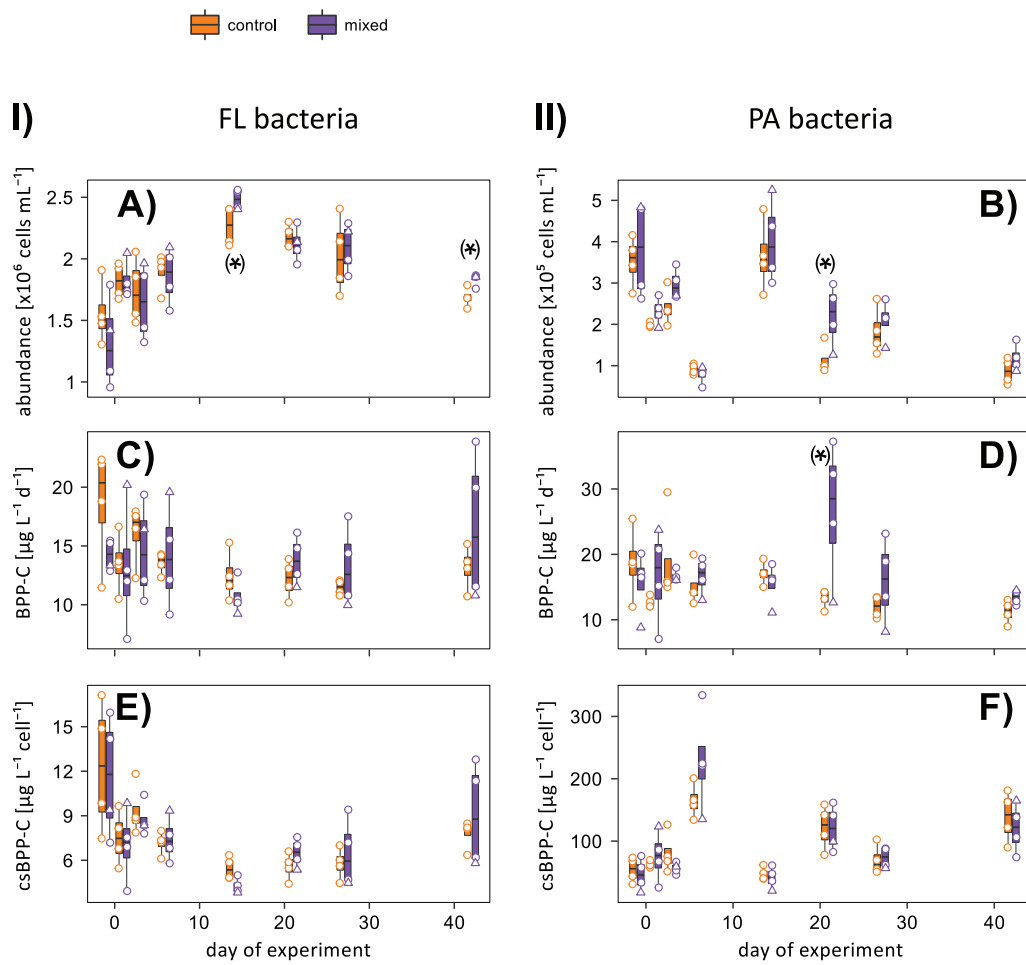


Figure S6:

## 6. Chapter 6 - Synthesis

This thesis intends to achieve a better understanding of how and to what extent ongoing ocean acidification and projected climate-change related alterations in weather patterns and climate extremes might impact heterotrophic bacteria in different aquatic ecosystems in the future. In this chapter, I synthesize important aspects presented in **Chapters 2 to 5** and summarize the major knowledge and mechanistic insights gained from several mesocosm experiments during my doctoral thesis, which have been performed at different marine locations and in Lake Stechlin. Based on this, I draw attention to and suggest research gaps where future research efforts should be made.

### 6.1 Will ocean acidification affect marine microbes?

Already in 2011, Joint et al., (2011) addressed this question in their review article, summarizing current knowledge on potential effects of OA on marine microbes after more than one decade of extensive OA research. They concluded that major biogeochemical processes in the oceans except of calcification will not be fundamentally different under future higher CO<sub>2</sub>/lower pH conditions, until evidence is obtained to the contrary. Based on comparisons made with microbes in other aquatic environments, that readily accommodate very large and rapid seasonal and spatial pH changes that are orders of magnitude greater than those projected for the twenty second century oceans, Joint et al., (2011) expected a high functional resilience of microbial communities to OA. Seven years later, I thus will ask the question again: Do we have now evidence to the contrary?

During the past years, several *in situ* experiments on OA effects have been performed to investigate potential OA-induced changes in natural plankton communities across seasons and different locations (see **APPENDIX Table 1**). The results have been evaluated within prominent projects like BIOACID ('Biological Impacts of Ocean Acidification') or MedSea ('Mediterranean Sea Acidification under changing climate') that intended to discover how the local and seasonal differences in observations influence potential OA effects and aimed to disentangle general patterns of plankton metabolic and community shifts in response to OA (Riebesell and Gattuso, 2015; Maugeudre et al., 2017; Schulz et al., 2017; Alvarez-Fernandez et al., 2018). **Chapters 2 to 4** of this doctoral thesis present results from mesocosm studies performed in the freshwater part of the Baltic Sea (Tvärminne) (**Chapter 2 and 3**), the more saline Kattegat (Gullmarsfjord) (**Chapter 4**), and the subtropical Atlantic (Gando Bay, Gran Canaria) (**Chapter 4**) addressing specific seasonal and regional conditions that cover a wide range of estuarine and marine ecosystem characteristics. All three studies, however, were performed without inorganic nutrient additions to focus solely on OA effects during periods of low-nutrient concentrations in temperate regions or oligotrophic subtropical conditions (Paul et al., 2015; Bach et al., 2016; Taucher et al., 2017a). Such conditions are representative for most parts of the oceans for extended periods throughout the year (Moore et al., 2013) and were not well represented in former studies that have

been performed mostly at high phytoplankton biomass conditions, partly provoked by nutrient amendments (see **APPENDIX Table 1**). The results from **Chapters 2 to 4** thus fill a current knowledge gap in OA research addressing metabolic responses of heterotrophic bacteria within plankton communities at low-nutrient concentrations to OA.

**Chapter 2 and 3** evaluate OA effects in a brackish coastal ecosystem during low-nutrient summer months. Indications that OA effects are greater under nutrient limitation (de Kluijver et al., 2010) and, in particular, the limited number of OA studies in brackish waters motivated this mesocosm study to be performed in the Baltic Sea. Although, the results from **Chapter 2** do not suggest a consistent  $p\text{CO}_2$ -induced effect on bulk or cell-specific rates of protein production or biovolume of heterotrophic bacteria, when considered as individual components, our multivariate analyses revealed a significant effect of the  $p\text{CO}_2$  treatment on the entire assemblages of dissolved and particulate nutrients, metabolic variables and the microbial community composition. Thereby, the impact of  $p\text{CO}_2$  on community metabolism occurred indirectly, potentially through varying physicochemical parameters and feedback mechanisms in the food web leading to changes in the microbial community composition, particularly in small-sized phytoplankton. Also a C budget examining major C pools and fluxes revealed a clear effect of increasing  $p\text{CO}_2$  concentration (**Chapter 3**). Lower C loss processes by heterotrophic bacterial respiration and mineralization at elevated  $p\text{CO}_2$  levels resulted in higher TPC and DOC pools compared to the ambient  $p\text{CO}_2$  concentration. The difference in organic carbon at elevated  $p\text{CO}_2$  levels remained fixed in the DOC pool as well as an increasing biomass of small-sized phytoplankton. Although there was an overall increase in community production, this did not stimulate heterotrophic bacterial metabolism or affect C export. **Our results suggest that during extended periods at low-nutrient concentrations increasing  $p\text{CO}_2$  levels indirectly impact the growth balance of heterotrophic bacteria and shift the ecosystem to a more autotrophic system.**

**Chapter 4** evaluates how potential OA-induced changes in bacterial biotransformation of DOM relate to qualitative changes in DOM. At this, it is important to differentiate between DOM reactivity fractions because DOM with short turnover times does not contribute significantly to oceanic carbon export, unless it aggregates to larger particles sinking to the bottom and being buried in the sediment (Hansell et al., 2009; Dittmar and Stubbins, 2014; Moran et al., 2016). Here, we specifically focussed on DOM that is transformed by microbes and accumulates on timescales of weeks or longer (i.e. semilabile DOM). Such semilabile DOM accounts for approximately one fifth of the global export production and describes an important transitional form to refractory carbon resisting further degradation and hence is stored for hundreds to thousands of years in the ocean's interior (Hansell et al., 2009; Hansell and Carlson, 2002; Ogawa and Tanoue, 2003; Dittmar and Stubbins, 2014). About 50% of the molecular formulae detected by Fourier-transform ion cyclotron resonance mass spectrometry (FT-ICR-MS) throughout the experiment correlated significantly in mass spectrometric signal intensity with cumulative BPP and were likely a product of microbial transformation. It has to be noted that not all DOM can be analysed by FT-ICR-MS due to

limitations of current extraction protocols (Dittmar et al., 2008), however, in particular a good coverage of semilabile and refractory compounds is warranted, whilst the method is limited in the detection of labile DOM compounds (e.g. small sugars, amino acids) (Mopper et al., 2007; Dittmar et al., 2008). Surprisingly, we observed similar succession patterns for individual compound pools during a phytoplankton bloom and subsequent accumulation of these compounds in mesocosm studies performed at different nutrient conditions irrespective of the  $p\text{CO}_2$  treatment. **The similar dynamics in DOM production and transformation during and subsequent to a phytoplankton bloom reveal that OA-induced changes in the dynamics of bacterial DOM transformation are unlikely to impact DOM quality.** In addition, there have been no indications that in dependence of nutrient conditions, different amounts of photosynthetic organic matter are channelled into the more recalcitrant DOM pool via the microbial loop. This provides novel insights into the general dynamics of the marine DOM pool.

The results of **Chapters 2 to 4**, embedded within current knowledge on OA research, identify the following research directions where future research efforts should be invested.

### 6.1.1 Impact of OA on natural microbial communities - Drawing attention to low-nutrient conditions and food web interactions

The net productivity and carbon sequestration in the oceans are determined by a balance between autotrophic and heterotrophic processes. Both processes have been determined to be sensitive to OA, however, responses are variable across plankton communities and further modulated by specific environmental factors. A recent meta-analysis by Alvarez-Fernandez et al. (2018) reveals an overall increase in phytoplankton standing stock in response to OA by analysing potential OA-induced changes in natural plankton communities across various *in situ* mesocosm studies targeting OA effects. Thereby, the authors identified nutrient conditions as a particularly important factor for the reactions of plankton communities to OA. Depending on the inorganic nutrient availability, the effect on primary producers was channelled differently into heterotrophic consumers (Alvarez-Fernandez et al., 2018). In particular, at N-depleted conditions changes in bacterial biomass standing stocks evolved in response to OA, while bacterial abundances were not affected during N-replete periods (Alvarez-Fernandez et al., 2018). Although the authors explained the different responses to emerge from food web effects and particularly by the increase in small-sized phytoplankton, yet, specific mechanisms remain unresolved.

#### ***Nutrient modulated OA effects on the bacterial metabolism***

**Chapter 2** addresses specifically potential OA effects on the coupling of heterotrophic bacteria to phytoplankton during nutrient depleted periods. Bacteria-phytoplankton interactions are complex and change throughout the development of a phytoplankton bloom and associated nutrient conditions. At nutrient-replete conditions, phytoplankton exudates are rapidly used by planktonic bacteria (Iturriaga and Hoppe, 1977; Obernosterer

and Herndl, 1995) which often are able to take up inorganic nutrients more efficiently than phytoplankton (Azam et al., 1983). During periods of nutrient limitation, phytoplankton exudation of carbon would lead to an apparently paradoxical situation in which phytoplankton stimulate heterotrophic bacteria actively to support growth of phytoplankton via recycling of nutrients, but at the same time compete with phytoplankton for essential nutrients (Azam et al., 1983; Bratbak and Thingstad, 1985; Suttle et al., 1990; Drakare et al., 2003; Grossart and Simon, 2007; Buchan et al., 2014). Impacts of OA on physiology and growth balance of phytoplankton or heterotrophic organisms might particularly evolve during periods when such a strong nutrient-derived phytoplankton-bacteria relation is present. This will also impact the ratio between autotrophic and heterotrophic processes affecting net ecosystem productivity and C sequestration. In **Chapter 2**, this is indicated by a decrease in the ratio between heterotrophic bacterial biovolume and the concentration of Chl *a* ( $BV_{\text{het}}:\text{Chl } a$ ) with increasing  $p\text{CO}_2$  during post-bloom conditions. Further, it is affirmed by a C budget approach in **Chapter 3** that revealed an ecosystem shifts to a more autotrophic system under OA conditions when nutrients were depleted. An impact of OA on the bacterial growth balance was also observed by Motegi et al. (2013) during a  $\text{CO}_2$  enrichment experiment in an Arctic fjord. Although this mesocosm study was performed with nutrient enrichment and no clear effects of  $p\text{CO}_2$  on bacterial respiration, bacterial carbon demand (BCD) or bacterial growth efficiency (BGE) were reported, Motegi et al. (2013) observed an enhanced leucine to thymidine ratio with increasing  $p\text{CO}_2$  at the end of the experiment when nutrient concentrations were low, suggesting that changes in  $p\text{CO}_2$  potentially influenced bacterial production, growth rate and growth balance. Furthermore, two pelagic mesocosm experiments performed within the MedSeA project in the Mediterranean Sea at oligotrophic summer and mesotrophic winter conditions revealed nutrient modulated OA effects on the bacterial metabolism. A mean deviation approach highlighted negative relations of enzyme activities as well as bacterial carbon production to  $p\text{CO}_2$  (Celussi et al., 2017). Such observations of negative impacts on the bacterial growth balance are also supported by metatranscriptome analyses showing differential gene expression patterns as a response to elevated  $p\text{CO}_2$  (Bunse et al., 2016). Thereby, Bunse et al. (2016) report on an increase in genes encoding proton pumps with elevated  $p\text{CO}_2$  including respiration complexes, proteorhodopsin and membrane transporters that might negatively impact on bacterial homeostasis. These responses were substantial for numerous pH homeostasis genes but only at low-chlorophyll conditions ( $\text{Chl } a < 2.5 \mu\text{g L}^{-1}$ ) (Bunse et al., 2016). During our experiment in the Baltic Sea (**Chapter 2**), Spilling et al. (2016a) reported on consistently lower community respiration rates in  $\text{CO}_2$ -enriched treatments with up to 40 % difference between controls and the highest  $p\text{CO}_2$  treatment. The lower respiration rates agree with observations of Teira et al. (2012) studying two bacterial isolates belonging to *Rhodobacteriaceae* and *Flavobacteriaceae*. However, in their study  $p\text{CO}_2$  did not negatively affect bacterial growth and further increased BGE. Other studies with natural plankton communities have pointed to no effect or increased respiration rates at elevated  $\text{CO}_2$  concentration (Tanaka et al., 2013).



### ***OA effects on heterotrophic bacteria-phytoplankton interactions***

Although there are indications, that the physiology of heterotrophic bacteria might be affected directly by OA, the special food web relation between phytoplankton and heterotrophic bacteria when nutrients are low is likely to be the key to understand the different responses of heterotrophic bacteria to OA at nutrient replete versus depleted conditions. Both food web components may interact indirectly if the behaviour of one component modifies the environment and thus affects the behaviour of the other component. Low nutrient conditions benefit generally small-sized plankton, which, due to their high volume-to-surface ratio as well as a small boundary layer surrounding these cells, are favoured compared to larger cells in terms of resource acquisition (Raven, 1998; Moore et al., 2013). Thus, in a small-sized plankton community, phytoplankton and bacterioplankton might be restricted to comparable uptake kinetics in terms of nutrient acquisition. Here, physiological effects caused by OA on small-sized phytoplankton could increase autotrophy by releasing such phytoplankton from physiological constraints in carbon and nutrient uptake and featuring them to a superior nutrient competitor in a small-sized plankton community (Bach et al., 2017). Indeed, there is consistency in results from several OA experiments that suggest picoeukaryotes as winners in a future ocean (Brussaard et al., 2013; Bermúdez et al., 2016; Sala et al., 2016; Bach et al., 2017; Crawford et al., 2017; Schulz et al., 2017; Alvarez-Fernandez et al., 2018). In addition to advantages associated to the size-related difference in nutrient and carbon uptake across the cell and potentially positive OA effects on the carbon concentration mechanism, the photosynthetic activity that counteracts OA within the microenvironment surrounding phytoplankton cells could represent a mechanism that favours small-sized phytoplankton (e.g. Hendriks et al., 2010). Photosynthesis might deplete  $p\text{CO}_2$  and raise pH within the boundary layer around phytoplankton cells, so that pH actually experienced by organisms may differ from that in the bulk water phase (Sand-Jensen et al., 1985; Kühl et al., 1995; Hendriks et al., 2010). In contrast, heterotrophic bacteria most likely respire carbon-rich compounds when nutrients are low that lead to an enhanced  $p\text{CO}_2$  and a reduction of pH around the cells. Hence, the actual pH experienced within the boundary layer of organisms does not necessarily correspond to the pH that occurs in the bulk water phase, as pH within the boundary layer is strongly affected by the metabolism of organisms themselves (Hendriks et al., 2010). Biological processes, thus, can affect specific food-web components differently, providing homeostasis against changes in pH in bulk waters or even increasing the effects of OA on water chemistry expected during the 21<sup>st</sup> century.

### ***Considerations for future experiments to assess nutrient modulated OA effects***

Models that aim at predicting the impacts of OA on microbial processes have to extend beyond predictions of the pH in bulk waters to consider boundary layer effects and nutrient

uptake kinetics. Also, experiments have to address more specifically interactions between food web components, organism traits and species, as discussed above. Although results gained from single species experiments might not be transferable directly to natural plankton communities, where complex species interactions between autotrophic and heterotrophic processes across several trophic levels occur, more small-scale, targeted approaches on species interactions between bacteria and phytoplankton are needed to link species physiological traits to species interactions and their feedback with the environment. In the past, this was done primarily for interactions between different phytoplankton (Dutkiewicz et al., 2015). Results emphasize that the different responses to elevated  $p\text{CO}_2$  caused sufficient changes in the competitive fitness between phytoplankton types to alter community structure significantly (Dutkiewicz et al., 2015). However, targeted experiments that disentangle physiological constraints of OA on the metabolism of heterotrophic bacteria or go even beyond the cellular level are scarce (e.g. Teira et al., 2012; Bunse et al., 2016) and should be promoted in the future. Only the incorporation of results from targeted, small-scale experiments can lead to a mechanism-based interpretation of large-scale experiments assessing whole plankton communities. Also, carbon and nutrient uptake representations in models should be more focused on individual plankton functional types and their interaction with heterotrophic bacteria with regard to OA effect and other environmental factors such as nutrient conditions (Silyakova et al., 2013). Thus, variability in stoichiometry and community production may be better understood if  $p\text{CO}_2$  sensitivities of the plankton's functional type in biogeochemical uptake kinetics and trophic interactions are better constrained (Silyakova et al., 2013). Also, the transfer of bacterial biomass to higher trophic levels and loss of bacterial biomass to viral lyses has to be better assessed under future ocean conditions. In particular, studies that address rates of bacterial grazing and viral lysis in response to OA are scarce (Larsen et al., 2008; Brussaard et al., 2013; Crawford et al., 2017; Tsiola et al., 2017). Here, animals including flagellates, ciliates and zooplankton, are known to select for high-quality food resources in proportions greater than would be predicted based on food quantity, suggesting that low-flux, high-quality subsidies may be selected that may disproportionately affect food web and ecosystem processes (Marecchelli et al., 2011). Thus, selective grazing might lead to adaptations in bacterial communities, e.g. increasing aggregation processes (Pernthaler et al., 1997; Jürgens et al., 1999). Also the role of viruses in transferring organic carbon from bacterial biomass back to the DOM pool via the lysis of cells is largely unknown. Particularly, host-virus relations between specific bacteria and viruses have to be disentangled in more detail (Larsen et al., 2008; Danovaro et al., 2011; Brum et al., 2015). Taken together, the results presented in this thesis instigate OA-related changes in heterotrophic bacteria's physiology, affecting nutrient pools and the fluxes between them. How these changes balance out in multi-trophic communities and how these alterations impact nutrient reservoirs and carbon sequestration in a future ocean should be focus of ongoing research.

## 6.1.2 Impact of OA on natural microbial communities - Drawing attention to bacterial community composition

The results presented in **Chapters 2 to 4** do not report on changes in bacterial community composition (BCC) in response to OA. However, there are indications that BCC might be impacted by OA (Arnosti et al., 2011; Allgaier et al., 2008; Krause et al., 2012). Findings by Krause et al. (2012) suggest that already moderate pH changes have the potential to cause compositional shifts in BCC. In their experiment performed in the North Sea, bacteria susceptible to changes in pH consisted of different members of *Gammaproteobacteria*, *Flavobacteriaceae*, *Rhodobacteraceae*, *Campylobacteraceae* and further less abundant groups (Krause et al., 2012). Also Allgaier et al. (2008) reported on changes of BCC with  $p\text{CO}_2$ , but only for FL bacteria, whereas the dynamics of attached bacteria seemed to be independent of  $p\text{CO}_2$  and tightly coupled to phytoplankton development. However, there are also studies that identified only weak, negligible or no impact of  $p\text{CO}_2$  on BCC (Roy et al., 2013; Newbold et al., 2012; Oliver et al., 2014; Bergen et al., 2016) or assessed OA impacts in combination with other environmental factors, such as temperature (Lindh et al., 2013; Bergen et al., 2016). In order to advance our understanding of carbon and nutrient cycling of DOM in a future ocean, upcoming research should integrate the relationship between microbial community composition and DOM cycling to account for specific metabolic interactions between microorganisms and substrate compounds (Romano et al., 2014; Shabarova et al., 2014; Osterholz et al., 2016). Although we could not determine OA effects on bacterial biotransformation in **Chapter 4** by relating cumulative BPP to dynamics of DOM compounds, OA might impact specific bacterial groups and their metabolic capacity which in turn might influence specific organic matter degradation processes and DOM characteristics. In particular, studies that target metabolic processes at the subcellular level are needed (e.g. Bunse et al., 2016).

## 6.1.3 Impact of OA on natural microbial communities – Is there a tipping point?

In **Chapter 2**, our gradient design simulating different  $\text{CO}_2$  concentrations extending from present to future conditions aimed specifically for revealing a threshold concentration beyond OA-induced changes propels a system to a new state. **Chapter 2** reveals a significant impact of OA on the correlation-based relation between different autotrophic phytoplankton and heterotrophic bacteria above about  $820 \mu\text{atm } f\text{CO}_2$ . In most measured variables, the lowest OA treatment (ca.  $500 \mu\text{atm } f\text{CO}_2$ ) showed comparable dynamics and responses than the controls (ca.  $360 \mu\text{atm } f\text{CO}_2$ ), differing from the three highest  $\text{CO}_2$ -treatments above  $820 \mu\text{atm } f\text{CO}_2$ . This indicates that plankton communities might be resistant to OA impacts up to a certain threshold. Unfortunately, three mesocosms failed during the experiment as a consequence of welding faults that captured a gradient of  $f\text{CO}_2$  between  $500 \mu\text{atm}$  and  $820 \mu\text{atm } f\text{CO}_2$ . It is thus not possible to conclude on a more detailed

CO<sub>2</sub> concentration above impacts on plankton communities might be expected. However, this information is of utmost importance for policymakers, intergovernmental agreements and actions to reduce CO<sub>2</sub> emissions. Future research should thus focus on threshold concentrations to close this knowledge gap. Therefore, highly replicated gradient designs are needed to have sufficient statistical power.

## 6.2 Do summer storms impact bacterial dynamics in lakes?

There is increasing evidence that the influence of human-caused climate change would become sufficiently strong as to push certain weather events beyond the bounds of natural variability (Herring et al., 2018). This phenomenon was first assessed for heat events where the influence of climate change is most pronounced, however, also impacts on other weather patterns such as storm events are likely to occur (Herring et al., 2018). Therefore, it is just a matter of time until retrospective analysis will reveal a clear signal of climate change (Herring et al., 2018). It is of utmost importance to assess already now, how such storm events impact lake ecosystems. In combination with projections on future climate scenarios it is essential to evaluate to what challenges mankind will be faced if frequency and magnitude of storms might change in the future to maintain certain ecosystem services provided by lakes such as the provision of water quality, food security and recreational values.

Particularly in summer, lentic systems are impacted by storms due to disturbances of the stratified water column with its associated vertical physical-chemical gradients and patterns in organism distributions. The experiment, reported in **Chapter 5**, reproduced key physical, chemical and biological responses of a severe summer storm event in large-volume mesocosms (<http://www.lake-lab.de/>) based on observations of the summer storm 'Otto' hitting Lake Stechlin in July 2011 (Kasprzak et al., 2017; Giling et al., 2017a). The artificial mixing mimicked realistically the disruption of the existing water thermal stratification and extension of the upper mixed water layer within naturally observed bounds during storm events by about 5 m (Giling et al., 2017a). Although it has to be admitted, that mesocosms are limited in their horizontal dimensions and might not be capable to reproduce all physical mechanism associated with a storm event (e.g. internal seiches) (Kasprzak et al., 2017), they allow for realistic studies on specific mechanisms that are associated with storm events at the ecosystem level. Here, the experiment specifically aimed for revealing a mechanistic understanding how the entrainment of deep water into the mixed layer of a deep clear-water lake impacts vertical environmental gradients as well as the spatial distribution and temporal development of organisms up to six weeks after the artificial mixing event. The artificial mixing increased concentrations of dissolved nutrients and inorganic carbon as well as the availability of light in the mixed layer that stimulated phytoplankton development and whole lake ecosystem metabolism as reported by Giling et al. (2017a) and assessed by more detailed multivariate analyses of water physico-chemical variables in **Chapter 5**. As such, entrainment of deep water into the mixed layer was assessed to cause important and

predictable lake ecosystem consequences at the level of primary producers in deep clear-water lakes (Giling et al., 2017a).

In **Chapter 5**, I focus on the impact of mixing on heterotrophic bacterial metabolism as well as associated changes in bacterial abundance and community composition. To my knowledge, this has not been assessed in such a consistent manner for deep clear-water lakes before. Although several studies have already evaluated effects of episodic mixing events including storms, typhoons or hurricanes on bacterial processes and community dynamics, these studies, however, have mostly been performed in shallow or small lentic systems where resuspension of sediments or the input of terrestrial matter might decrease light penetration into the water column and changes in C availability for plankton organism causes different ecosystem responses compared to deep clear-water lakes (Jennings et al., 2012; de Eyto et al., 2016; Perga et al., 2018; Vidon et al., 2018). Recently, Perga et al. (2018) assessed the effect of storms associated with high turbidity input due to inorganic minerals from the watershed in the high-altitude Lake Muzelle. Notwithstanding their intensity, the storms did not trigger long-lasting consequences to the lake characteristics when light penetration into the lake was not modified, whereas storms decreased autotrophic production and increased heterotrophic processes for the rest of the open-water season when light penetration was reduced by an increase in turbidity input from the watershed (Perga et al., 2018). However, large volume, clear-water lakes have been shown to be internally regulated even after intense precipitation events (Rantakari and Kortelainen, 2005; Ojala et al., 2011; Vachon and del Giorgio, 2014). Here, heterotrophic processes are largely determined by the availability of autochthonous, phytoplankton-derived organic matter, which production has been found to be stimulated after natural as well as artificial storm events for this particular lake type (Jennings et al., 2012; Kasprzak et al., 2017; Giling et al., 2017a). **My results highlight effects on community assembly and heterotrophic bacterial metabolism that are associated to entrainment of deep water into the mixed water layer and assess consequences of an episodic disturbance event for the coupling between bacterial metabolism and autochthonous DOM production in large-volume, clear-water lakes.**

Mixing clearly affected bacterial community assembly as assessed by relative differences in abundance of specific bacterial OTUs and in  $\alpha$ - and  $\beta$ -diversity measures across time. Mixing increased the bacterial richness in the mixed layer, most likely by introducing a deep water layer community. Although this short term response diminished rapidly within one week, mixing impacted community dynamics for about one month. About 6 weeks after the disturbance, all bacterial communities converged towards the non-treated control indicating a high degree of resilience. This agrees well with several previous studies examining the resilience of lake microbial communities to different types of disturbances including perturbations by typhoons (Jones et al., 2008), nutrient addition (Shade et al., 2011) or mixing as well as a whole-ecosystem manipulation by mixing (Shade et al., 2012b). For certain OTUs, however, a significant signal of mixing could still be detected after six

weeks, indicating potential consequences for long-term community assembly across seasons. Though, such speculative long-term impacts were not in the scope of this 42-days study, they might be focus of future research. Across the period of this study, changes in temperature, PAR and concentrations of dissolved nutrients associated to mixing caused direct responses in bacterial community assembly for about one week. Thereafter, mixing impacted indirectly bacterial communities due to a differential phytoplankton development and its associated consequences for nutrient dynamics and carbonate chemistry when compared to control mesocosms. **As such, entrainment of deep water into the mixed layer caused significant responses in the bacterial community development for a prolonged period during summer stratification.**

Artificial mixing strongly stimulated the development of filamentous cyanobacteria (mainly *Dolichospermum* sp.) that drove gross primary production (Giling et al., 2017a) and thus impacted heterotrophic bacterial metabolism and community assembly. Based on the analysis of sequencing data, the relative abundance of OTUs that could be assigned to *Dolichospermum* sp. increased and structured the PA BCC in all four mesocosms within one week after mixing. Irrespectively of the fact that only three mesocosms formed a bloom of *Dolichospermum* sp., our mixing treatments suggest that filamentous cyanobacteria might be favoured by disturbance events during summer thermal stratification, further exacerbating warming related increases in cyanobacterial blooms (Posch et al., 2012; Elliott, 2012; Paerl and Paul, 2012). Under stable stratified conditions the proliferation of cyanobacteria within the epilimnion is limited by the availability of nutrients. Within deep stratified lakes, the formation of a DCM reflects a balance between nutrient limitation in epilimnic waters and light limitation at greater depths. Summer storms disrupt this balance by redistributing large amounts of nutrients into the photic epilimnion where they can be assimilated rapidly into phytoplankton biomass (e.g. *Dolichospermum* sp.). This additional input of nutrients relaxes phytoplankton from nutrient limitation allowing for a much broader distribution of phytoplankton cells across the whole epilimnion. There self-shading may shift the system from nutrient towards light and hence carbon limitation. Under these conditions decomposition of the nutrient enriched POM selects for organisms with an increased propensity for complex carbohydrate utilisation, in this case *Betaproteobacteria* and *Verrucomicrobia* (Martinez-Garcia et al., 2012; Cardman et al., 2014; He et al., 2017). This was reflected in increased bacterial respiration rates resulting in a higher POC remineralization, presumably with consequences for gas fluxes between lake and atmosphere and deposition of nutrients and carbon to the sediments. In the long-term run, these shifts in nutrient content of the organic matter pool as well as heterotrophic bacteria with an increased propensity for remineralization of the more complex carbohydrate pools may likely increase eutrophication of these freshwater environments.

Our experimental data on mixing-induced responses of a deep, nutrient-poor lake are of utmost importance to realistically implement the natural variation of different lake types into global models predicting ecological consequences of global climate change. The

presented results highlight important features of such lakes and draw attention to large and intermediate-sized lakes that dominate the total lake surface area but are not well represented in current literature compared to small lakes, basically due to their low abundance (Verpoorter et al., 2014). In times of an increasing availability of satellite and high-resolution observatory data that allow for better predictions of environmental parameters, ecosystem-studies, as here reported, are needed to advance our knowledge on bacterial community assembly, metabolic processes and biotic interactions of heterotrophic bacteria with other components of the aquatic food web. This will allow us to better assess impacts of climate change on inland waters and their feedback mechanisms.

### 6.2.1 Impact of storms on natural microbial communities – Drawing attention to microbial community assembly

Ecological disturbances are hypothesized to alter the relative abundance distribution and diversity-productivity relationship by processes of selection, drift, speciation/diversification and dispersal (Vellend, 2010; Nemergut et al., 2013). Thereby, habitat filtering across environmental gradients changes the relative fitness of individual biological units (e.g. OTUs, phylogenetic groups, functional groups) that vary genetically and in expressed functional traits (Krause et al., 2014). Functional traits characterize morpho-physio-phenological characteristics which impact fitness indirectly via their effects on growth, reproduction and survival (Violle et al., 2007). Trait-based models are an emerging tool in ecology with the potential to link together species interactions, community dynamics, environmental responses, ecosystem processes and consequently ecosystem functioning (Violle et al., 2007; Allison, 2012). Whereas functional trait approaches are regularly used for higher organisms (e.g. in plant ecosystems), their application in microbial ecology is often restricted by an appropriate trait characterization of microbes and in particular heterotrophic bacteria (Krause et al., 2014). Here, the simulated summer storm disturbance imposed a strong selective pressure that reduced  $\beta$ -diversity of bacterial communities between mixed mesocosms, thus acting as a deterministic rather than a stochastic force that greatly influenced bacterial dynamics and functions. The combination of different traits including the capacity to store phosphorous in poly-phosphate granules (Klemke et al., 2014), the ability to fix nitrogen (Karlson et al., 2015; Klawonn et al., 2016) and to regulate buoyancy (Reynolds et al., 1987; Klemer et al., 1996) as well as mechanisms to resist grazing by herbivorous zooplankton (Lampert, 1987) likely caused the proliferation of filamentous cyanobacteria (i.e. *Dolichospermum* sp.) after the mixing disturbance. In this case, reasonable well constrained functional traits can be used for explaining *Dolichospermum* sp. development and ecosystem processes. However, in contrast to diazotrophic cyanobacteria or other autotrophic microbes, functional traits driving dynamics of most heterotrophic microbes are not well defined (Krause et al., 2014). Thus, future research should foster experimental approaches that specifically target the definition of functional traits of particular heterotrophic microbes (e.g. enzymatic capacities and genes involved in

degradation of particular compounds). In particular, a combination of eco-physiological studies with contemporary molecular tools in a trait-based framework could reinforce our ability to link microbial diversity to ecosystem processes (Krause et al., 2014). As such, eco-physiological traits of *Verrucomicrobia* could be inferred from metagenome-assembled genomes that identified their propensities for complex carbohydrate utilisation, ammonia uptake and survival at low-P conditions (He et al., 2017). These traits agree with the observations from **Chapter 5**, identifying *Verrucomicrobia* as one of the key mineralizers of cyanobacteria-derived organic matter. Irrespective of this particular exception, the majority of OTUs did not have a cultured representative, could not be assigned phylogenetically or did not allow for further trait characterization due to the lack of literature. Although the development of certain OTUs could be assigned by network analysis to particular abiotic and biotic variables, further physiological characterization and predictions were restricted by current phylogenetic resolution and trait characterization. However, such information is essential to understand abundance changes in biological units for better predicting how community changes affect ecosystem functions.

## 6.2.2 Impact of storms on natural microbial communities – Drawing attention to particle-associated processes and C-sequestration

Research during the past decades has demonstrated that the role of inland waters in C cycling is disproportionately more important than their surface area would suggest (Cole et al., 2007; Tranvik et al., 2009, 2018). Thus, impacts of climate change on inland waters have the potential to give significant climate feedbacks on a regional or even global scale. Although pristine and nutrient-poor lakes have been assumed to be less affected by climate change, our results in accordance with several other studies (Jennings et al., 2012; Kasprzak et al., 2017; Giling et al., 2017a) indicate that even nutrient-poor clear-water lake ecosystems bear the risk to face internal eutrophication and hence more pronounced phytoplankton blooms. Transformation and mineralization of phytoplankton biomass by heterotrophic bacteria might have a subsequent impact on C-sequestration and greenhouse gas exchange with the atmosphere.

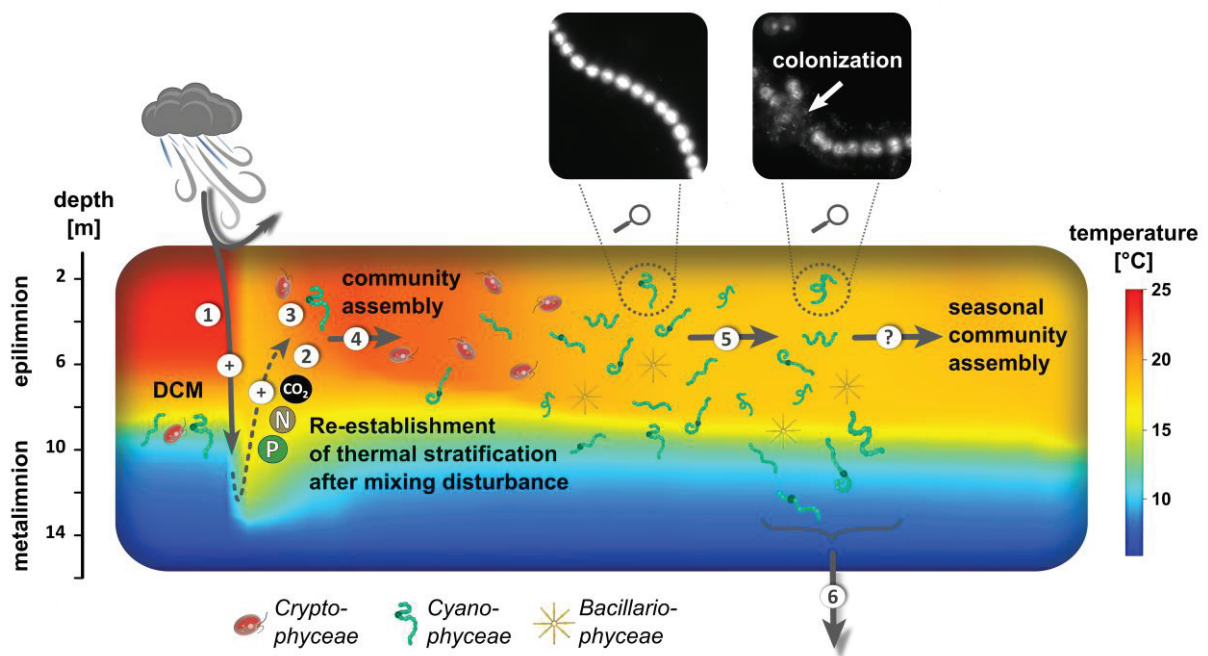
**Chapter 5** focuses on the mineralization of phytoplankton-derived organic matter by heterotrophic bacteria after an artificial mixing event and draws attention to bacterial mineralization processes on phytoplankton aggregates. Here, senescent cells of *Dolichospermum* sp. were identified as ‘hotspots’ of heterotrophic bacterial transformation and mineralization processes that affected not only PA but also FL bacterial communities and largely drove differences in BPP and BR between mixed and control mesocosms. Thereby, bacterial colonization appeared to be dependent on population dynamics and the physiological state of *Dolichospermum* sp. Highest PA bacterial abundances and activities were observed on senescent phytoplankton cells. A few studies that have reported high colonization on actively growing cells (e.g. Smith et al., 1995). However, we reported here the bacterial colonization of phytoplankton cells in the late course of the bloom cycle which



agrees with most studies examining bacterial colonization of phytoplankton in response to phytoplankton physiology and community structure (Middelboe et al., 1995; Riemann and Winding, 2001; Grossart et al., 2005; Mayali et al., 2011; Znachor et al., 2012; Bižić-Ionescu et al., 2014). My results indicate that potential physiological interactions among heterotrophic bacteria and cyanobacteria change over the course of the algal bloom cycle, suggesting temporal patterns of bacterial colonization that impact on processes of C transformation (**Fig. 4**).

Aggregates with their associated microorganisms largely contribute to the 'biological carbon pump' by transporting C to deep waters and the sediment (Alldredge and Silver, 1988; Grossart and Simon, 1998; Volkman and Tanoue, 2002). Thereby, the processes of aggregation, particle OM transformation and sedimentation are closely linked to interactions between phytoplankton and the associated microbial communities (Azam and Long, 2001; Simon et al., 2002). As such, the presence of PA bacteria has been reported to be a prerequisite for aggregation of certain phytoplankton species and can enhance aggregate formation by e.g. the production of TEP (Decho, 1990; Heissenberger and Herndl, 1994) or gluing single algal cells through bacterial micro-colonies (Grossart et al., 2006b). In contrast, aggregate breakdown by hydrolytic enzyme activity of heterotrophic bacteria may reduce the vertical flux of OM (Smith et al., 1992; Grossart and Ploug, 2001; Bižić-Ionescu et al., 2014). During this experiment, concentrations of TEP were not determined and thus it is not possible to draw conclusions on how PA bacteria influenced the phytoplankton aggregation process by microbial exopolymer formation. However, epifluorescence microscopy revealed huge numbers of PA bacteria that were associated to a microlayer surrounding cells of *Dolichospermum* sp. when disproportional high rates of epilimnic BR were observed (**Chapter 5**). These observations suggest an effective mineralization by complex heterotrophic bacterial networks on *Dolichospermum* sp. cells in the epilimnion that counteracts the sedimentation flux and potentially increased the flux of CO<sub>2</sub> at the water-atmosphere interface. In that context, filamentous cyanobacteria have been reported to create their own microenvironment with steep gradients of dissolved gases and substrates that might impact growth conditions of associated bacteria compared to the surrounding water (Eichner et al., 2017). In addition, features (e.g. buoyancy regulation, low content of ballast material (Klemer et al., 1996)) that prevent them from sinking through the water column render filamentous cyanobacteria susceptible to colonization, creating 'hotspots' of bacterial C transformation in the epilimnion. Interestingly, in our experiment, an increase and peak of *Bacillariophyceae* biomass was observed associated with the breakdown of filamentous cyanobacteria (Grossart et al., in prep.). This observation further suggests that *Bacillariophyceae* profited from heterotrophic bacterial transformation of cyanobacterial biomass and the release of DOM and CO<sub>2</sub> into the surrounding water. Although speculative, stimulation and death of *Bacillariophyceae* likely prolonged and controlled sedimentation following the bloom breakdown of the filamentous cyanobacteria, greatly impacting C sequestration in mixed mesocosms.

Future experimental approaches, thus, should consider more specifically causes and mechanisms that drive heterotrophic bacterial colonization on phytoplankton cells depending on phytoplankton species and their specific physiology (e.g. Yawata et al., 2014). Direct measurements of small-scale physical-chemical characteristics and biogeochemical processes associated with large phytoplankton, but also aggregates in general, are crucial in order to understand the overall role of PA heterotrophic bacteria in C transformation, C export and ecosystem respiration in aquatic systems (Datta et al., 2016). Since the overall C-sequestration and gas fluxes at the water-atmosphere interface are finally determined as a sum of all processes happening at different microhabitats within the aquatic continuum, the presented results should stimulate research that allows for a better resolution of spatial scales within the aquatic system.



**Figure 4: Conceptual graph summarizing the effects of a summer storm perturbation on stratified clear-water lake ecosystems.** (1) Wind energy of summer storms perturbs the stable summer stratification, extending the mixed layer and deepening the thermocline. (2) Mixing introduces nutrient- (P, N, Si) and CO<sub>2</sub>-rich water with (3) organisms from the metalimnion into the epilimnion. (4) The increase in nutrients, PAR and C-availability impacts plankton community assembly. Likely, organisms with a high P storage capacity and grazing resistance will be favoured (e.g. filamentous cyanobacteria such as *Dolichospermum* sp. and large diatoms) following the development of organisms with a high P and N scavenging potential. (5) Nutrient-limitation and self-shading of phytoplankton will restrict phytoplankton growth. Finally, colonization and mineralization of senescent phytoplankton cells by heterotrophic bacteria largely determines C-sequestration to the sediment (6).

## 6.3 Remarks and perspectives

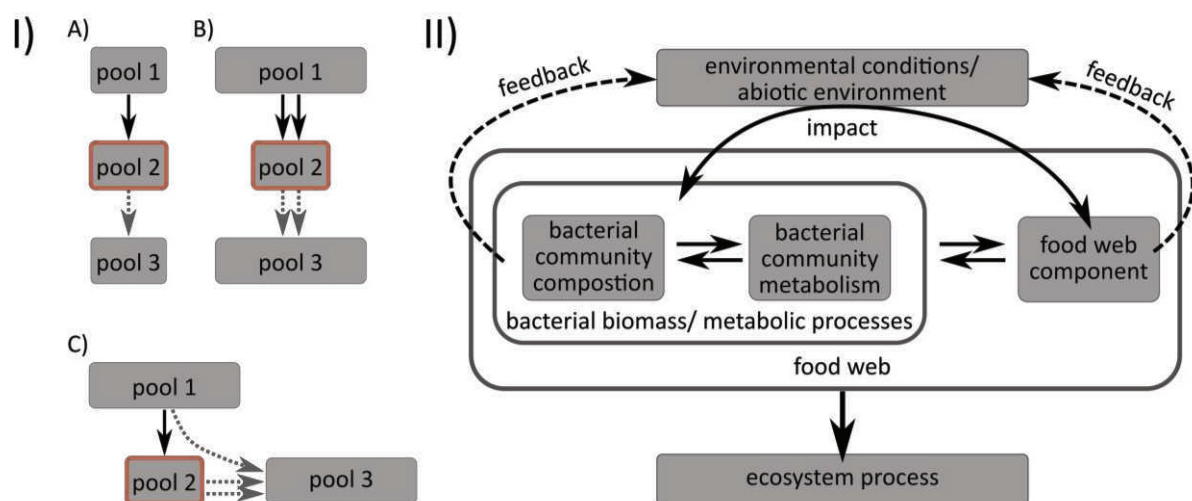
### 6.3.1 Methodological considerations

Due to the complexity of natural ecosystems not all components within the aquatic food web or an elemental cycle can be monitored routinely to better assess impacts of changing environmental conditions (e.g. change in pH/ $p\text{CO}_2$ ) on ecosystem processes. Thus, ecologists regularly monitor either single species, groups of organisms, biochemical pools or fluxes (i.e. biomasses or metabolic processes) and infer from observed differences in these parameters to impacts at the ecosystem level. Can we indeed infer from the measurement of a single pool or flux within a complex ecosystem that this particular pool or flux is impacted without taking into account the complexity of the whole ecosystem?

The following three examples highlight important C pools and fluxes in aquatic microbial ecology that interact dynamically with other biomass pools and metabolic processes within the aquatic food web. Their determination, however, is often impaired by certain methodological restrictions. One pool with a high turnover is bacterial biomass that might not alter significantly in size, although organic matter can be exchanged to a high extent between bacterial biomass and other components of the aquatic food web influencing the flow of chemical elements and energy within the ecosystem (**Fig. 5**) (e.g. Ducklow, 1983). In particular, grazing of bacterial biomass is often not assessed, thus, restricting an estimation of bacterial biomass turnover. Another pool, whose determination might be restricted to methodological considerations, is DOM. DOM usually has an operational definition as any material that passes through a glass fibre filter with a nominal pore size (e.g. GF/F or GF-75). We need to be aware that this methodological restriction does not exactly indicate the true 'dissolved phase' of organic matter. Lee et al. (1995) assessed that, for example, 22-38 % of the total bacterial biomass can pass through a filter with a nominal pore size of 0.7  $\mu\text{m}$  causing problems in separation between pools of DOM and bacteria. Also, it is well established to separate between PA and FL bacterial communities and processes driving differential microbial processes on particles, including phytoplankton, and the surrounding water, based on a size-fractionation during water sample analysis. However, bacteria attach and detach continuously from particles and there is evolving consideration to question a strict separation between PA and FL bacteria (Grossart et al., 2003; Grossart and Tang, 2010; Grossart, 2010).

These examples highlight how challenging it can be to trace certain components within the aquatic food web and to account for interactions with other components, either methodologically or statistically (**Fig.5**). To account - at least partly - for trophic interactions and methodological uncertainties that could not be assessed in the present studies, primarily multivariate statistics had been used considering simultaneously multiple variables in one analysis and accounting for these uncertainties by the portion of unexplained variance. In addition to statistical considerations, however, also improvements

of the currently used methods are needed. The next step should be to extend size-fractionated approaches, which are to date the method of choice due to experimental and methodological limitations, from the level of bulk measurements to the scale of microenvironmental measurements for better tracing species-species and species-environment interactions (e.g. Stocker, 2012). This could either be performed by linking targeted small-scale laboratory experiments with field observations or using targeted single-cell/micro-environmental approaches during ecosystem-level and field experiments. In that context, recent developments in single-cell genomics (Walker and Parkhill, 2008; Kalisky and Quake, 2011), transcriptomics (Sandberg, 2014) and proteomics (Irish et al., 2006; Newman et al., 2006) as well as biogeochemical measurements (e.g. nanoscale secondary ion mass spectrometry (Nano-SIMS; Braun et al., 2018; Eichner et al., 2017) and *in situ* high-resolution measurements at the cell-level (e.g. *in situ* cameras; Taucher et al., 2018) are promising tools that should also be used more frequently to answer targeted research question in aquatic microbial ecology.



**Figure 5: I) Conceptual graph summarizing the relationship between pool size and fluxes of any measured variable.** Sizes of rectangles and arrows represent the corresponding sizes of pools and fluxes, respectively. Although the size of pool 2 remains equal in all three cases (A-C), the fluxes between pool 1, pool 2 and pool 3 (arrows) may be different. **II) Conceptual relationship between the abiotic environment, bacterial biomass and metabolic processes (e.g. bacterial production, respiration) as well as ecosystem processes (e.g. C-sequestration).** Bacterial biomass and metabolic processes interact with other biomass pools and metabolic processes in the aquatic food web. The sum of all processes and biomass components finally determines certain ecosystem processes and functions.

### 6.3.2 Need for experiments assessing multi-stressors

Humans impact ecosystems directly or indirectly in multiple ways along the aquatic continuum from terrestrial ecosystems and inland waters to the ocean (Vitousek, 1997;

Allan, 2004; Adrian et al., 2009; Hoegh-Guldberg and Bruno, 2010; Woodward et al., 2010; Bauer et al., 2013; Regnier et al., 2013; Reichstein et al., 2013). As such, anthropogenic changes in atmospheric GHG concentrations, food-production (e.g. overfishing), land-use patterns, freshwater use, nitrogen and phosphorus deposition or use of chemical pollutants (e.g. antibiotics, toxins, micro-plastics, etc.), just to name a few, might cause changes that perturb climate, biogeochemical cycles and biotic variables (Allan, 2004; Steffen et al., 2004; Heino et al., 2009; Rockström et al., 2009; Hoegh-Guldberg and Bruno, 2010; Steffen et al., 2015). Particularly, the increase in water temperature (Adrian et al., 2009; Hoegh-Guldberg and Bruno, 2010) and water-column stratification (Capotondi et al., 2012; Taucher et al., 2014), the impacts of eutrophication (Bennett et al., 2001; Flanagan et al., 2003), deoxygenation/hypoxia (Keeling et al., 2010; Conley et al., 2011; Rabalais et al., 2014) and invasive species (Stachowicz et al., 2002; Rahel and Olden, 2008; Heino et al., 2009) as well as the increase in terrestrial organic matter due to sea-level rise, permafrost melting or surface-runoff (Labat et al., 2004; Gedney et al., 2006; Vermeer and Rahmstorf, 2009; Schuur and Abbott, 2011; Schuur et al., 2015) may act in concert with OA and episodic weather-related disturbances. Thus, aquatic organisms are often exposed to several stressors simultaneously. While ecological research documents regularly the individual effect of one of these various stressors on species and ecosystems, research on the cumulative and interactive impacts of multiple stressors is less frequent (Crain et al., 2008). However, cumulative effects might act in an additive, synergistic or antagonistic manner (Folt et al., 1999; Coors and De Meester, 2008; Crain et al., 2008). To reduce uncertainties in climate-change projections, thus, ecosystem-manipulation studies have to address also the interactions of multiple ecosystem stressors (Gruber and Galloway, 2008). In addition to an understanding of how stressors and in particular multi-stressor interactions will affect populations, we also require a better understanding of how species might respond via acclimation and adaptive evolutionary processes (Sunday et al., 2014; Schlüter et al., 2016; Monroe et al., 2018; Tong et al., 2018).

## 6.4 Epilogue

With the Paris Agreement of the 21<sup>st</sup> UNFCCC Conference of Parties (COP21), a historic climatic agreement was reached in 2015 after some 20 years of negotiations (UNFCCC, 2015; Schellnhuber et al., 2016). The Paris Agreement aims to “[...] holding the increase in the global average temperature to well below 2 °C above pre-industrial levels and to pursue efforts to limit the temperature increase to 1.5 °C above pre-industrial levels [...]” (UNFCCC, 2015). If the current mitigation efforts and future commitments are adequate to accomplish the goals of the Agreement is still under debate (Williamson, 2016; Rogelj et al., 2017; Millar et al., 2017; Lawrence et al., 2018). Especially, the withdrawal of the United States of America (USA) from the Paris Agreement in June 2017 as one of the most important industrial nations and second largest producer of GHG emissions in the world (Janssens-Maenhout et al., 2017) makes it hard to reach the goals of the Agreement. However, climate

change is clearly an intergenerational problem and major political, societal and economic decisions need to be undertaken urgently to make provisions for the needs of successor generations (Hansen et al., 2013; Wunsch et al., 2013). Therefore, it is the task of the scientific community to assess risks for ecosystems and their provided services, to evaluate and forecast climate-relevant feedbacks and to give possible solutions for preventing climate change.

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## VII. Appendix

**APPENDIX Table1:** Summary of known published studies testing the effects of ocean acidification on heterotrophic bacteria within natural pelagic plankton communities. Only studies that present original data on heterotrophic bacterial processes, community composition and abundance/biomass development are represented. In addition, there are also studies on TEP production, enzyme activity and community metabolism (net community production, community respiration) listed, since these processes are largely determined by heterotrophic bacterial processes.

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## **IX. Curriculum Vitae**

*Die Seiten 197-198 (Lebenslauf) enthalten persönliche Daten. Sie sind deshalb nicht Bestandteil der Online-Veröffentlichung.*



## **X. Statement of originality**

Erklärung gemäß §12 Abs. 7 der Promotionsordnung zur Erlangung des akademischen Grades eines Doktors der Naturwissenschaften an der Mathematisch-Naturwissenschaftlichen Fakultät der Universität Potsdam vom 18. September 2013:

Hiermit versichere ich, dass ich die vorliegende Dissertation selbstständig und ausschließlich mit den angegebenen Mitteln bzw. gekennzeichneten Quellen angefertigt habe und die Arbeit bisher an keiner anderen Hochschule eingereicht worden ist.

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The work contained in this thesis has not been previously submitted for a degree at any other higher education institution. To the best of my knowledge and belief, the thesis contains no material previously published or written by another person except where due references are made.

Potsdam, 30. Oktober 2018

Thomas Hornick